

Budget Overview



THE NEW YORK STATE OFFICE OF MENTAL HEALTH envisions a future when everyone with a mental illness will recover, when mental illnesses can be prevented or cured, when everyone with a mental illness at any stage of life has access to effective treatment and supports essential for living, working, learning and participating fully in the community.

"This future includes the elimination of disparities in access to high quality mental health services and incorporates cultural competence in service delivery."

Sharon E. Carpinello, RN, PhD, Commissioner, New York State Office of Mental Health

THE 2006-2007 EXECUTIVE BUDGET builds upon previous years' initiatives, and provides a strategic framework to further advance a public mental health system that is unparalleled. The Executive Budget recommendation continues to change the landscape of mental health care in New York State by providing targeted service expansion in evidence-based treatments, and by continuing to strengthen key community programs to maximize access to quality mental health care. Most significantly, the Executive Budget recommendation provides the framework to fundamentally transform the children's mental health service system with the single largest investment in New York State's history. Specifically, the 2006-2007 Executive Budget:

- ◆ **Enhances access to effective community-based services for children and families by:**
 - Providing funding for the early recognition of emotional disturbance in children;
 - Expanding admissions to children's mental health clinic treatment by more than 100%;
 - Expanding the Home and Community-Based Services Waiver program by almost 50%;
 - Increasing funding for rural telepsychiatry;
 - Establishing the New York State Evidence-Based Treatment Dissemination Center.
- ◆ **Enhances community-based program models to recruit and retain a qualified workforce and respond to other inflationary pressures by:**
 - Providing a three-year Consumer Price Index (CPI) based cost-of-living adjustment (COLA) for residential and various community non-residential programs;
 - Increasing funding for Supported Housing rent stipends.
- ◆ **Provides access to safe and affordable community housing by:**
 - Providing funding for the development, over ten years, of 5,550 units of supportive housing for individuals and families with serious mental illness under the New York/New York III Agreement with New York City;
 - Continuing the development of community housing for children and adults which, once completed, will support 31,100 community beds, exclusive of New York/New York III.
- ◆ **Promotes public mental health by reducing the risk of suicide**
- ◆ **Increases public safety through the civil commitment of sexually violent predators, where appropriate, to secure treatment facilities:**
 - Providing funding to provide services to support sexually violent predators upon their release from prison;
 - Providing capital resources for renovation of existing facilities and construction of a new facility related to civil commitment.
- ◆ **Promotes cross-system collaboration to enhance services:**
 - Office of Alcoholism and Substance Abuse Services (OASAS) and OMH will work to establish State-sponsored managed care programs to provide mental health and chemical dependence services for individuals;
 - OMH and the State Office for the Aging (SOFA) will implement the 2005 Geriatric Mental Health Act.
- ◆ **Provides access to efficient and high quality inpatient services:**
 - Enhancing services to inmates with mental illness;
 - Providing funding for rebuilding state-of-the-art adult and children's psychiatric centers at the Bronx;
 - Providing resources for the capital design at Kirby Psychiatric Center.
- ◆ **Provides funding for continuation of Reinvestment, Kendra's Law, the Enhanced Community Services Program and continued expansion of the forensic mental health service system**
- ◆ **Preserves New York State's position as a national leader in the mental health quality agenda, and as a leader in psychiatric research**

Enhance Access to Effective Community-Based Services for Children and Families

\$62 million (annualized gross)

This Executive Budget recommendation funds the single largest investment in new children's mental health services in this State's history and will achieve a series of highly inter-related dramatic changes. This commitment, including last year's funding, will ensure that our families have the tools they need to succeed in helping their children grow into strong New Yorkers. These initiatives include:

Children and Family Clinic-Plus (\$33 million annualized gross) - Increase the number of at-risk children and adolescents screened, assessed and treated for serious emotional disturbance. This will provide for:

- Up to 400,000 children screened
- Up to 76,000 children assessed
- Up to 36,000 additional children admitted to clinic services
- Up to 22,400 children receiving in-home treatment services

Home and Community-Based Waiver (HCBW)

(\$21.5 million annualized gross) - Increase the number of children receiving HCBW Waiver services. This will provide for:

- 450 more HCBW slots (300 OMH; 150 OCFS), bringing total slots to 1,436
- HCBW services for up to 2,160 children

Children and Adolescent Telepsychiatry for rural/shortage area (\$0.45 million annualized gross)

- Increase the number of children and adolescents receiving comprehensive evaluations in target rural areas. This will provide for:

- 600 additional comprehensive evaluations annually

Evidence-Based Practices (\$0.6 million annualized gross)

- Increase the number of clinicians with demonstrated competency. This will provide for:

- Up to 400 clinicians who are educated in EBP treatment of depression and trauma in children and adolescents

2.5% COLA for Children's Community-Based Programs (\$6.3 million annualized gross)

- The first of three annual increases linked to the CPI. This will provide for:

- Increases in the proportion of culturally competent, qualified staff recruited and retained

Enhance Community-Based Program Models to Recruit and Retain a Qualified Workforce and Respond to Other Inflationary Pressures

\$37.4 million (annualized gross)

The Executive Budget recommendation provides a three-year, annual COLA tied to the CPI for targeted OMH non-trended programs to reflect actual inflation-related growth and/or providing

targeted resources to shore up certain residential models for the second consecutive year. These initiatives include:

2.5% COLA (\$30.9 million annualized gross) - The first of three annualized increases linked to the CPI. This will provide for:

- Increases in the proportion of culturally competent, qualified clinical staff recruited and retained

Supported Housing Increase (\$6.5 million annually) - The second year of additional funding to enable Supported Housing programs to appropriately address the rehabilitation and support needs of residents, and respond to inflationary pressures. This will provide for:

- Maintaining existing base of Supported Housing capacity

Provide Access to Safe and Affordable Housing

\$1.1 billion (annualized)

The Executive Budget includes funding to develop additional housing capacity in a partnership with New York City. The New York/New York III Agreement will provide, over ten years, 9,000 units of supportive housing for individuals and families with special needs who are chronically homeless or at risk of homelessness (of which 5,550 units will be for individuals and families with serious mental illness). The balance of the units will serve individuals with HIV/AIDS; youth leaving foster care; and individuals/families with substance abuse disorders. This builds on the success of prior housing initiatives for individuals with psychiatric disabilities that will provide more than 31,000 beds in community settings when fully developed. This represents an OMH capital commitment of approximately \$211 million over the ten year period when fully implemented, and \$106.2 million in operating funds. The initiative includes:

New York/New York III Supportive Housing agreement (\$7.7 million)

- Increase the proportion of individuals and families affected by homelessness and serious mental illness who gain stable housing in the community. This will provide for:

- 450 units for individuals with serious mental illness and 400 for other populations

Promote Public Mental Health by Reducing the Risk of Suicide

\$1.9 million

The Executive Budget recommendation provides \$1.5 million annualized funding and a \$400,000 Federal grant for suicide prevention efforts, including initiatives to increase awareness of the prevalence of suicide and improve the public understanding of the causes, effects and treatment of emotional disturbances that underlie suicidal ideation and behavior. Funding will also be used toward implementation of the rec-

ommendations contained in the report "Saving Lives in New York: Suicide Prevention and Public Health," released by the Office of Mental Health in May 2005.

Implementation of the New York State Suicide Prevention Plan (\$1.5 million) - This will provide for:

- Increased number of localities that have developed and implemented local suicide prevention plans tailored to their communities
- Improved public awareness of suicide risk and preventive factors

Increase Public Safety through the Civil Commitment of Sexually Violent Predators, Where Appropriate, to Secure Treatment Facilities

\$26.8 million

The Executive Budget recommends funds to support, where appropriate, the civil commitment of sexually violent predators upon their release from prison, to receive care and treatment in secure facilities. Committing these individuals will protect the public and provide these persons with continued and needed treatment.

Care and Treatment (\$26.8 million) - Funds, where appropriate, the civil commitment of sexually violent predators upon their release from prison to secure treatment facilities and supports 697 FTEs. This will provide for:

- Care and treatment of sexually violent predators who are civilly committed for such care upon their release from prison

Capital Resources (\$165 million) - Provides for \$35 million in capital resources for the modification of existing facilities and programs related to civil commitment. The budget also provides \$130 million in capital resources including the construction of a new facility on the grounds of Camp Pharsalia in Chenango County. This will provide for:

- Short-term and long-term capability to provide care and treatment to sexually violent predators in secure facilities

Promote Cross-System Collaboration to Enhance Services

\$4.0 million (annualized)

The Executive Budget recommendation provides funding to promote cross-system collaborations (OASAS and SOFA) for co-occurring and geriatric demonstration programs. These initiatives include:

State-Sponsored Managed Care Programs (\$2.0 million) -

Increase the proportion of individuals with co-occurring substance abuse and mental illness receiving evidence-based dual disorder treatment through managed care

2005 Geriatric Mental Health Act (\$2.0 million) - Increase the proportion of older adults receiving mental health services tailored to their unique needs via the establishment of demonstration programs

Provide Access to Efficient and High Quality Inpatient Services

\$96.6 million

The Executive Budget recommendations include the continuation of forensic services and capital investment funding to improve patient milieus where health and safety deficiencies have been identified.

Enhancing Services to Inmates with Mental Illness (\$0.6 million annualized) - This will provide for:

- Increased number of inmates receiving services in correctional settings

Rebuilding Bronx Adult and Children's Psychiatric Centers (\$68 million) - Begin Phase I of a \$226 million capital plan. This will provide for:

- Increased number of adult and children's inpatient beds fully compliant with standards

Capital Design at Kirby Psychiatric Center (\$28 million) -

Begin Phase I of the plan to maintain Kirby Forensic Psychiatric Center on Ward's Island in the Dunlap Building. This will provide for:

- Increased number of forensic inpatient beds fully compliant with standards

Annualization and Continuation of Funding for Prior Years' Initiatives

- ◆ The full annual operating savings of \$7 million realized by the April 1, 2006 closure of Middletown Psychiatric Center will continue to be reinvested to expand State-operated services in Middletown's previous catchment area of Orange and Sullivan Counties.
- ◆ Continuing the Governor's commitment to quality mental health care, the 2006-2007 Executive Budget annualizes prior years' initiatives and includes: \$24 million for clinic Medicaid fee increases, \$7.0 million for forensic services and \$6.5 million for Supported Housing rent stipends.

New York State George E. Pataki, Governor

Office of Mental Health

Sharon E. Carpinello, RN, PhD, Commissioner
Barbara L. Cohn, Executive Deputy Commissioner
Martha Schaefer Hayes, Deputy Commissioner
and Chief Fiscal Officer