



**Office of
Mental Health**

November 2017 Monthly Report

OMH Facility Performance Metrics
and Community Service Investments

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November 2017 Monthly Report:

OMH facility performance metrics and community service investments

Report Overview:

This report is issued pursuant to the State Fiscal Year 2017-18 Budget agreement which requires that *“The commissioner of mental health shall provide monthly status reports of the 2017-18 community investments and the impact on inpatient census to Chairs of the Senate and Assembly fiscal committees. Such reports shall include state operated psychiatric facility census, admissions and discharges; rate of Medicaid psychiatric inpatient readmissions to any hospital within thirty days of discharge; Medicaid emergency room psychiatric visits; descriptions of 2017-18 new community service investments; average length of stay; and, number of long-term stay patients. Such reports shall include an explanation of any material census reductions, when known to the facility.”*

This report is comprised of several components:

1. State Psychiatric Center (PC) descriptive metrics;
2. Description and status of community service investments;
3. Psychiatric readmissions to hospitals and emergency rooms for State PC discharges;
4. Psychiatric readmissions to hospitals and emergency rooms for Article 28 and Article 31 hospital psychiatric unit discharges.

Statewide Overview of Service Expansion:

Utilization of services developed in 2014-15 and 2015-16 continued to grow through November, and some regions have begun planning to retool underutilized services developed in year one. Planning with local stakeholders has continued for resources funded in the SFY 2016-17 Budget, with new plans continuing to be approved through November. Additionally, planning is underway to allocate 2017-18 SFY funding to support additional locally operated expansion.

Supported housing continued developing and serving new individuals, with over 1,300 new individuals served with the expansion capacity through November. State Aid awards were issued in August to support an additional 100 supported housing units in upstate counties, and requests for proposals, which are due by January 24, 2018, have been issued for an additional 100 units in New York City and Long Island.

State-operated community services continued expanding their reach through eight facility service regions of the State. Statewide expansion has served over 10,000 new individuals through November, as outlined in the accompanying tables. Programs funded through Aid to Localities, including mobile crisis, Assertive Community Treatment (ACT), and peer crisis respite services, continue with start-up and expansion in all areas of the State. Over 32,700 new individuals have been served in the Aid to Localities-funded programs through November.

Table 1: NYS OMH State Psychiatric Center Inpatient Descriptive Metrics for November, 2017

| State Inpatient Facilities ¹ | Capital Beds | Budgeted Capacity | Capacity Change ² | Admission | Discharge ³ | | Long Stay ⁴ | Monthly Average Daily Census ⁵ | | |
|---|---------------------------------------|----------------------------------|--|--------------------------------------|--------------------------------------|---|-------------------------------------|---|--|--|
| | N | N | N | N | N | Days | N | N | N | N |
| | Capital Beds as of end of SFY 2015-16 | November, 2017 Budgeted Capacity | Budgeted Capacity change from previous month | # of Admissions during November 2017 | # of Discharges during November 2017 | Median Length of Stay for discharges during November 2017 | # of Long Stay on census 11/30/2017 | Avg. daily census 09/1/2017-09/30/2017 | Avg. daily census 10/1/2017-10/31/2017 | Avg. daily census 11/1/2017-11/30/2017 |
| Adult | | | | | | | | | | |
| Bronx | 156 | 156 | -- | 10 | 9 | 130 | 94 | 154 | 154 | 154 |
| Buffalo | 221 | 156 | -- | 13 | 10 | 155 | 78 | 153 | 154 | 155 |
| Capital District | 158 | 108 | -- | 14 | 13 | 6 | 76 | 111 | 108 | 108 |
| Creedmoor | 480 | 322 | -- | 27 | 23 | 151 | 182 | 323 | 329 | 332 |
| Elmira | 104 | 51 | -- | 11 | 10 | 65 | 15 | 51 | 51 | 51 |
| Greater Binghamton | 178 | 72 | -- | 16 | 15 | 56 | 28 | 73 | 74 | 72 |
| Hutchings | 132 | 117 | -- | 4 | 15 | 107 | 54 | 117 | 117 | 115 |
| Kingsboro | 254 | 161 | -- | 8 | 9 | 302 | 85 | 156 | 159 | 157 |
| Manhattan | 476 | 150 | -- | 18 | 19 | 91 | 66 | 147 | 147 | 149 |
| Pilgrim | 771 | 278 | (5) | 17 | 13 | 372 | 176 | 276 | 278 | 275 |
| Rochester | 222 | 86 | -- | 8 | 9 | 457 | 38 | 86 | 82 | 80 |
| Rockland | 436 | 368 | -- | 17 | 18 | 258 | 228 | 364 | 364 | 366 |
| South Beach | 362 | 238 | (2) | 20 | 23 | 251 | 91 | 238 | 233 | 233 |
| St. Lawrence | 84 | 44 | -- | 5 | 10 | 46 | 6 | 43 | 44 | 41 |
| Washington Heights | 21 | 21 | -- | 9 | 7 | 52 | 0 | 19 | 20 | 20 |
| Total | 4,055 | 2,328 | -- | 197 | 203 | 126 | 1,217 | 2,307 | 2,314 | 2,308 |
| Children & Youth | | | | | | | | | | |
| Elmira | 48 | 12 | -- | 11 | 12 | 23 | 0 | 8 | 13 | 11 |
| Greater Binghamton | 16 | 13 | -- | 11 | 14 | 29 | 0 | 12 | 13 | 13 |
| Hutchings | 30 | 23 | -- | 13 | 12 | 29 | 0 | 19 | 19 | 19 |
| Mohawk Valley | 30 | 27 | -- | 43 | 44 | 20 | 0 | 24 | 31 | 31 |
| NYC Children's Center | 184 | 102 | -- | 24 | 22 | 100 | 36 | 91 | 85 | 90 |
| Rockland CPC | 56 | 20 | -- | 19 | 21 | 28 | 0 | 16 | 20 | 22 |
| Sagamore CPC | 77 | 54 | -- | 11 | 11 | 16 | 18 | 40 | 42 | 43 |
| South Beach | 12 | 11 | -- | 2 | 3 | 78 | 2 | 7 | 10 | 9 |
| St. Lawrence | 29 | 27 | -- | 36 | 36 | 21 | 1 | 21 | 26 | 27 |
| Western NY CPC | 46 | 46 | -- | 12 | 9 | 85 | 5 | 25 | 33 | 41 |
| Total | 528 | 335 | -- | 182 | 184 | 28 | 62 | 263 | 291 | 307 |
| Forensic | | | | | | | | | | |
| Central New York | 569 | 179 | -- | 28 | 29 | 71 | 16 | 105 | 113 | 115 |
| Kirby | 476 | 193 | -- | 27 | 27 | 94 | 74 | 193 | 192 | 191 |
| Mid-Hudson | 340 | 264 | -- | 25 | 28 | 103 | 152 | 285 | 288 | 289 |
| Rochester | 84 | 84 | -- | 4 | 1 | 104 | 57 | 84 | 84 | 84 |
| Total | 1,469 | 720 | -- | 84 | 85 | 92 | 299 | 667 | 678 | 678 |

Updated as of December 11, 2017

Notes:

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.
2. Capacity reductions comply with requirement that there be a consistent ninety day period of time that the beds remain vacant, as demonstrated by the September to November census data.
3. Discharge includes discharges to the community and transfers to another State IP facility.
4. Long Stay is defined as: Length of stay over one year for adult and forensic inpatients, and over 90 days for child inpatients.
5. Monthly Average Daily Census defined as: Total number of inpatient service days for a month divided by the total number of days in the month. Population totals displayed may differ from the sum of the facility monthly census values due to rounding.

Table 2: Transformation and Article 28/31 Reinvestment Summary - By Facility

| OMH Facility | Target Population | Prior Capacity ¹ | Reinvestment Expansion | Annualized Reinvestment | Allocated | New Individuals Served |
|---|-------------------|-----------------------------|------------------------|-------------------------|---------------------|------------------------|
| HCBS Waiver Slots | | | | | | |
| Greater Binghamton | Children | 60 | 12 | \$315,516 | \$315,516 | 58 |
| Elmira | Children | 90 | 12 | \$315,516 | \$315,516 | 28 |
| St. Lawrence | Children | 78 | 12 | \$315,516 | \$315,516 | 38 |
| Sagamore | Children | 192 | 60 | \$1,488,240 | \$1,488,240 | 201 |
| Western NY | Children | 110 | 24 | \$631,032 | \$631,032 | 91 |
| Rochester | Children | 100 | - | - | - | - |
| New York City | Children | 600 | 78 | \$1,749,440 | \$1,749,440 | 145 |
| Rockland | Children | 177 | 30 | \$323,118 | \$323,118 | 118 |
| Hutchings | Children | 72 | 18 | \$473,274 | \$473,274 | 55 |
| Subtotal | | 1,479 | 246 | \$5,611,652 | \$5,611,652 | 734 |
| Supported Housing Beds | | | | | | |
| Greater Binghamton | Adults | 289 | 88 | \$687,604 | \$687,604 | 134 |
| Elmira | Adults | 517 | 82 | \$694,022 | \$694,022 | 95 |
| St. Lawrence | Adults | 306 | 55 | \$423,442 | \$423,442 | 83 |
| Pilgrim | Adults | 2,245 | 208 | \$3,261,802 | \$2,761,972 | 169 |
| Buffalo | Adults | 1,196 | 112 | \$947,324 | \$947,324 | 151 |
| Rochester | Adults | 555 | 125 | \$1,055,947 | \$1,055,947 | 175 |
| New York City | Adults | 8,776 | 364 | \$5,717,752 | \$4,551,482 | 263 |
| Rockland | Adults | 1,841 | 139 | \$1,781,476 | \$1,781,476 | 180 |
| Capital District PC | Adults | 659 | 90 | \$599,855 | \$599,855 | 62 |
| Hutchings | Adults | 837 | 42 | \$324,960 | \$324,960 | 46 |
| Subtotal | | 17,221 | 1,305 | \$15,494,184 | \$13,828,084 | 1,358 |
| State-Community | | | | | | |
| Greater Binghamton | | | | \$5,740,000 | \$4,378,500 | 3,600 |
| Elmira | | | | | | |
| St. Lawrence | | | | \$2,806,160 | \$2,806,160 | 1,832 |
| Sagamore | | | | \$3,570,000 | \$1,820,000 | 1,413 |
| Pilgrim | | | | | \$1,750,000 | 738 |
| Western NY | | | | \$1,050,000 | \$1,050,000 | 783 |
| Buffalo | | | | \$490,000 | \$490,000 | 190 |
| Rochester | | | | \$2,145,440 | \$2,145,440 | 643 |
| New York City | | | | \$2,660,000 | \$1,470,000 | 359 |
| Rockland | | | | \$770,000 | \$280,000 | 23 |
| Capital District PC | | | | | \$420,000 | 38 |
| Hutchings | | | | \$1,068,400 | \$1,068,400 | 402 |
| Subtotal | | | | \$20,300,000 | \$17,678,500 | 10,021 |
| Aid to Localities | | | | | | |
| Greater Binghamton | | | | \$1,815,000 | \$954,921 | 1,934 |
| Elmira | | | | | \$703,574 | 943 |
| St. Lawrence | | | | \$681,000 | \$680,998 | 2,667 |
| Sagamore | | | | \$5,866,000 | \$5,512,338 | 93 |
| Pilgrim | | | | | | 3,832 |
| Western NY | | | | - | - | - |
| Buffalo | | | | \$2,548,000 | \$2,672,712 | 2,735 |
| Rochester | | | | \$3,173,000 | \$3,173,000 | 1465 |
| New York City | | | | \$7,432,000 | \$7,430,938 | 896 |
| Rockland | | | | \$5,740,000 | \$4,305,414 | 5,932 |
| Capital District PC | | | | | \$430,000 | 30 |
| Hutchings | | | | \$1,077,000 | \$1,077,000 | 561 |
| Subtotal | | | | \$28,332,000 | \$26,940,895 | 21,088 |
| Statewide | | | | | | |
| Suicide Prevention, Forensics and Risk Monitoring | | | | \$2,500,000 | \$2,500,000 | N/A |
| Residential Stipend Adjustment | | | | \$5,725,636 | \$5,725,636 | |
| SNF Transition Supports | | | | \$5,500,000 | \$5,500,000 | 76 |
| Subtotal | | | | \$13,725,636 | \$13,725,636 | |
| 2017-18 Investments Available* | | | | | | |
| Aid to Localities | | | | \$8,444,000 | \$8,444,000 | |
| TOTAL TRANSFORMATION | | | | \$91,907,472 | \$77,784,767 | 33,277 |
| Article 28/31 Reinvestment | | | | | | |
| St. James Mercy (WNY) | Child & Adult | N/A | N/A | \$894,275 | \$894,275 | 2,282 |
| Medina Memorial (WNY) | Adults | N/A | N/A | \$199,030 | \$199,030 | 151 |
| Holliswood/Stony Lodge/Mt Sinai (NYC) | Child & Adult | N/A | N/A | \$10,254,129 | \$10,254,129 | 1,852 |
| Stony Lodge/Rye (Hudson River) | Child & Adult | N/A | N/A | \$4,634,577 | \$4,634,577 | 4,646 |
| LBMC/NSUH/PPK (Long Island) | Child & Adult | N/A | N/A | \$2,910,400 | \$2,910,400 | 2,672 |
| Subtotal | | | | \$18,892,411 | \$18,892,411 | 11,603 |
| GRAND TOTAL | | | | \$110,799,883 | \$96,677,178 | 44,880 |

1. Prior capacity refers to the program capacity at the end of State fiscal year 2013-14; before Transformation investments began.

*Allocated funds for SFY 2017-18 will be distributed by facility service area upon approval of local and regional plans.

Table 3a: Greater Binghamton Health Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|---|-------------------|------------------------------------|----------------|--------------------------------|---|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver | Children | Broome | 24 | 6 | | 4/1/2014 | 32 | \$157,758 |
| HCBS Waiver | Children | Chenango | 6 | | | | | - |
| HCBS Waiver | Children | Delaware | 12 | | | | | - |
| HCBS Waiver | Children | Otsego | 12 | | | | | - |
| HCBS Waiver | Children | Tioga | 6 | 6 | | 6/5/2014 | 26 | \$157,758 |
| HCBS Waiver | Children | Tompkins | 0 | | | | | - |
| SUBTOTAL: | | | 60 | 12 | | | 58 | \$315,516 |
| Supported Housing | Adult | Broome | 161 | 53 | | 8/1/2014 | 96 | \$407,856 |
| Supported Housing | Adult | Chenango | 46 | 8 | | 10/1/2014 | 9 | \$61,568 |
| Supported Housing | Adult | Delaware | 27 | 6 | | 1/1/2016 | 1 | \$46,218 |
| Supported Housing | Adult | Otsego | 30 | 8 | | 6/1/2015 | 8 | \$62,424 |
| Supported Housing | Adult | Tioga | 25 | 3 | | 7/1/2015 | 6 | \$25,278 |
| Supported Housing | Adult | Tompkins | 0 | 10 | | 11/1/2014 | 14 | \$84,260 |
| SUBTOTAL: | | | 289 | 88 | | | 134 | \$687,604 |
| State Resources: | | | N/A | | | | | |
| Mobile Integration Team ¹ | Adults & Children | Southern Tier Service Area | | 38.35 FTEs | | 6/1/2014 | 3,006 | \$1,342,250 |
| Clinic Expansion ¹ | Adult | Southern Tier Service Area | | 7.2 FTEs | | 1/1/2015 | 310 | \$252,000 |
| OnTrack NY Expansion | Adult | Southern Tier Service Area | | 3 FTE | | 2/2/2017 | 12 | \$210,000 |
| SUBTOTAL: | | | | | | | 3,316 | \$1,804,250 |
| Aid to Localities: | | Eastern Southern Tier Service Area | N/A | N/A | | | | |
| Crisis Intervention Team (CIT) | Adults & Children | Broome | | | | 9/14/2015 | 1,539 | \$80,400 |
| Engagement & Transitional Support | Adults & Children | Chenango & Delaware | | | | 12/28/2015 | 223 | \$160,800 |
| Family Stabilization Program | Children | Otsego | | | | 6/27/2016 | 25 | \$80,400 |
| Warm Line Program | Adult | Tioga | | | | 6/11/2016 | 60 | \$35,040 |
| Drop-In Center | Adult | Tioga | | | | 11/1/2015 | 87 | \$45,360 |
| Crisis Stabilization Team | Adult | Broome | | | Funding has been made available on the county State Aid Letter, and is effective January 1, 2017. | | | \$80,000 |
| Peer-In-Home Companion Respite | Adult | Broome | | | | | | \$42,000 |
| Enhanced Outreach Services | Adults & Children | Chenango & Delaware | | | Funding has been made available on the county State Aid Letter, and is effective April 1, 2017. | | | \$160,000 |
| Enhanced Child & Family Support Services | Children | Otsego | | | Funding has been made available on the county State Aid Letter, and is effective July 1, 2017. | | | \$54,958 |
| System Monitoring Support | N/A | Otsego | | | | | | \$25,042 |
| Crisis/Respite Program Expansion ² | Adults | Tompkins | | | Funding has been made available on the county State Aid Letter, and is effective January 1, 2018. | | | \$190,921 |
| SUBTOTAL: | | | | | | | 1,934 | \$954,921 |

State Resources - In Development: **\$1,306,971**

TOTAL: **5,442** **\$5,069,262**

Notes:

1. State Resources program funding is shared with Elmira service area. State Resources subtotal reflects 50% of the full Southern Tier allocation, with the remainder in Table 3b.
2. Reinvestment funding \$50,921 previously allocated for Transitional Housing Program in Tompkins county on Table 3b was reallocated to a new Crisis/Respite Program Expansion in Tompkins county on Table 3a by combining with \$140,000 unallocated Aid to Localities funding on Table 3a.

Table 3b: Elmira Psychiatric Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|--|-------------------|--|----------------|--------------------------------|---|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver | Children | Allegany | 6 | | | | | |
| HCBS Waiver | Children | Cattaraugus | 0 | | | | | |
| HCBS Waiver | Children | Chemung | 12 | | | | | |
| HCBS Waiver | Children | Ontario | 18 | | | | | |
| HCBS Waiver | Children | Schuyler | 6 | | | | | |
| HCBS Waiver | Children | Seneca | 6 | 3 | | 6/5/2014 | 9 | \$78,879 |
| HCBS Waiver | Children | Steuben | 12 | 3 | | 6/5/2014 | 11 | \$78,879 |
| HCBS Waiver | Children | Tompkins | 12 | | | | | |
| HCBS Waiver | Children | Wayne | 12 | 6 | | 6/5/2014 | 8 | \$157,758 |
| SUBTOTAL: | | | 90 | 12 | | | 28 | \$315,516 |
| Supported Housing | Adult | Allegany | 35 | 2 | | 11/1/2014 | 3 | \$16,852 |
| Supported Housing | Adult | Cattaraugus | 0 | 1 | | 2/1/2015 | 1 | \$8,426 |
| Supported Housing | Adult | Chemung | 121 | 31 | | 9/1/2014 | 37 | \$262,253 |
| Supported Housing | Adult | Ontario | 64 | 13 | | 10/1/2014 | 15 | \$110,762 |
| Supported Housing | Adult | Schuyler | 6 | 6 | | 12/1/2015 | 3 | \$50,841 |
| Supported Housing | Adult | Seneca | 28 | 9 | | 8/1/2014 | 10 | \$76,197 |
| Supported Housing | Adult | Steuben | 119 | 8 | | 9/1/2014 | 9 | \$67,408 |
| Supported Housing | Adult | Tompkins | 64 | 4 | | 9/1/2014 | 7 | \$33,704 |
| Supported Housing | Adult | Wayne | 70 | 4 | | 10/1/2014 | 6 | \$33,704 |
| Supported Housing | Adult | Yates | 10 | 4 | | 6/1/2015 | 4 | \$33,875 |
| SUBTOTAL: | | | 517 | 82 | | | 95 | \$694,022 |
| State Resources: | | | | N/A | | | | |
| Mobile Integration Team ¹ | Adults & Children | Southern Tier Service Area | | 38.35 FTEs | | 6/1/2014 | 3,006 | \$1,342,250 |
| Clinic Expansion ¹ | Adult | Southern Tier Service Area | | 7.2 FTEs | | 1/1/2015 | 310 | \$252,000 |
| Crisis/respite Unit | Children | Elmira PC Service Area | | 12.5 FTEs | | 4/16/2015 | 284 | \$875,000 |
| Clinic Expansion | Children | Elmira PC Service Area | | 1.5 FTEs | | 9/1/2014 | N/A | \$105,000 |
| SUBTOTAL: | | | | | | | 3,600 | \$2,574,250 |
| Aid to Localities: | | Western Southern Tier/ Finger Lakes Service Area | N/A | N/A | | | | |
| Respite Services | Adult | Western | | | | 3/1/2016 | 75 | \$50,368 |
| Community Support Services | Adult | Southern Tier/ | | | | 5/1/2016 | 470 | \$61,947 |
| Family Support | Adult | Finger Lakes | | | | 3/7/2017 | 52 | \$34,887 |
| Peer Training | Adult | Service Area | | | | 12/5/2015 | 281 | \$10,538 |
| Transitional Housing Program | Adult | Steuben | | | | 7/1/2015 | 41 | \$101,842 |
| Transitional Housing Program | Adult | Yates | | | | 4/8/2016 | 24 | \$50,921 |
| Mobile Psychiatric Supports | Adult | Wayne | | | Funding has been made available on the county State Aid Letter, and is effective January 1, 2017. | | | \$40,576 |
| Community Support Program Expansion - Long Stay Team | Adult | Chemung | | | Funding has been made available on the county State Aid Letter, and is effective July 1, 2016. | | | \$108,000 |
| Home-Based Crisis Intervention Program Expansion | Children | Chemung | | | Funding has been made available on the county State Aid Letter, and is effective October 1, 2017. | | | \$244,495 |
| SUBTOTAL: | | | | | | | 943 | \$703,574 |

State Resources - In Development: **\$53,786**

Aid to Localities - In Development: **\$30,793**

TOTAL: **4,666** **\$4,371,941**

Notes:

1. State Resources program funding is shared with Binghamton service area. State resources subtotal reflects 50% of the full Southern Tier allocation, with the remainder in Table 3a.
 *Note: Reinvestment funding \$50,921 previously allocated for Transitional Housing Program in Tompkins county on Table 3b was reallocated to a new Crisis/Respite Program Expansion in Tompkins county on Table 3a by combining with \$140,000 unallocated Aid to Localities funding on Table 3a.

Table 3c: St. Lawrence Psychiatric Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|--------------------------------|-------------------|------------------------------|----------------|--------------------------------|--|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver | Children | Clinton | 12 | | | | | |
| HCBS Waiver | Children | Essex | 12 | 6 | | 6/5/2014 | 14 | \$157,758 |
| HCBS Waiver | Children | Franklin | 12 | | | | | |
| HCBS Waiver | Children | Jefferson | 18 | | | | | |
| HCBS Waiver | Children | Lewis | 6 | | | | | |
| HCBS Waiver | Children | St. Lawrence | 18 | 6 | | 5/1/2014 | 24 | \$157,758 |
| SUBTOTAL: | | | 78 | 12 | | | 38 | \$315,516 |
| Supported Housing | Adult | Clinton | 54 | 8 | | 10/1/2014 | 10 | \$61,949 |
| Supported Housing | Adult | Essex | 29 | 6 | | 3/1/2015 | 6 | \$46,818 |
| Supported Housing | Adult | Franklin | 42 | 5 | | 1/1/2015 | 10 | \$38,375 |
| Supported Housing | Adult | Jefferson | 57 | 9 | | 11/1/2014 | 11 | \$69,075 |
| Supported Housing | Adult | Lewis | 51 | 2 | | 2/1/2015 | 5 | \$15,350 |
| Supported Housing | Adult | St. Lawrence | 73 | 25 | | 1/1/2015 | 41 | \$191,875 |
| SUBTOTAL: | | | 306 | 55 | | | 83 | \$423,442 |
| State Resources: | | | N/A | | | | | |
| Mobile Integration Team | Adults & Children | St. Lawrence PC Service Area | | 21 FTEs | | 6/6/2014 | 1,619 | \$1,470,000 |
| Clinic expansion | Children | Jefferson | | 6.5 FTEs | | 9/8/2015 | 144 | \$455,000 |
| Day Treatment Expansion | Children | St. Lawrence PC Service Area | | 1 FTE | | 1/1/2015 | 8 | \$70,000 |
| Crisis/respite Unit | Children | St. Lawrence PC Service Area | | 11.5 FTEs | | 10/1/2016 | 61 | \$811,160 |
| SUBTOTAL: | | | | | | | 1,832 | \$2,806,160 |
| Aid to Localities: | | St. Lawrence PC Service Area | N/A | N/A | | | | |
| Outreach Services Program | Adult | Clinton | | | | 2/1/2015 | 76 | \$46,833 |
| Mobile Crisis Program | Adult | Essex | | | | 4/28/2015 | 135 | \$23,417 |
| Community Support Program | Children | Essex | | | | 3/1/2015 | 198 | \$23,416 |
| Mobile Crisis Program | Adults & Children | St. Lawrence PC Service | | | | 7/1/2015 | 420 | \$46,833 |
| Support Services Program | Adult | Franklin | | | | 3/15/2015 | 40 | \$12,278 |
| Self Help Program | Adult | Franklin | | | | 3/15/2015 | 99 | \$12,277 |
| Outreach Services Program | Adult & Children | Franklin | | | | 3/15/2015 | 737 | \$12,278 |
| Crisis Intervention Program | Adult & Children | Franklin | | | | 6/1/2015 | 53 | \$10,000 |
| Outreach Services Program | Adults & Children | Lewis | | | | 1/4/2016 | 179 | \$46,833 |
| Outreach Services Program | Adult | Jefferson | | | | 9/28/2015 | 730 | \$46,833 |
| Non-Medicaid Care Coordination | Children | Jefferson | | | Funding has been made available on the county State Aid Letter, and is effective June 1, 2017. | | | \$200,000 |
| Child & Family Support Team | Children | St. Lawrence | | | Funding has been made available on the county State Aid Letter, and is effective July 1, 2017. | | | \$200,000 |
| SUBTOTAL: | | | | | | | 2,667 | \$680,998 |

| | | |
|---------------|--------------|--------------------|
| TOTAL: | 4,620 | \$4,226,116 |
|---------------|--------------|--------------------|

Table 3d: Sagamore Children's Psychiatric Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | Annualized Reinvestment Amount (\$) |
|----------------------------------|-------------------|------------------|----------------|--------------------------------|--|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | |
| HCBS Waiver | Children | Nassau | 90 | 24 | | 10/1/2013 | 89 | \$661,440 |
| HCBS Waiver | Children | Suffolk | 102 | 30 | | 5/6/2014 | 81 | \$826,800 |
| SUBTOTAL: | | | 192 | 54 | | | 170 | \$1,488,240 |
| State Resources: | | | N/A | | | | | |
| Family Court Evaluation | Children | Long Island | | 1 FTE | | 4/1/2014 | N/A | \$70,000 |
| Mobile Crisis | Children | Suffolk | | 1 FTE | | 7/1/2014 | 906 | \$70,000 |
| Mobile Integration Team | Children | Nassau & Suffolk | | 10 FTEs | | 11/30/2014 | 152 | \$700,000 |
| Clinic Expansion ¹ | Children | Nassau & Suffolk | | 5 FTEs | | 3/21/2016 | 71 | \$350,000 |
| Crisis/respite Unit | Children | Nassau & Suffolk | | 9 FTEs | | 3/9/2015 | 284 | \$630,000 |
| SUBTOTAL: | | | | | | | 1,413 | \$1,820,000 |
| Aid to Localities: | | | N/A | N/A | | | | |
| 6 Non-Medicaid Care Coordinators | Children | Suffolk | | | | 4/1/2016 | 81 | \$526,572 |
| 1.5 Intensive Case Managers | Children | Suffolk | | | State Aid: State Share of Medicaid* | 4/1/2016 | 12 | \$30,954 \$50,345 |
| Non-Medicaid Case Management | Children | Nassau | | | Funding has been made available on the county State Aid Letter, and is effective July 1, 2017. | | | \$85,000 |
| Mobile Crisis Team | Children & Adults | Nassau | | | | | | \$225,700 |
| SUBTOTAL: | | | | | | | 93 | \$918,571 |

| | |
|--|------------------|
| Aid to Localities - In Development: | \$280,000 |
|--|------------------|

* Gross Medicaid projected \$100,690

| | | |
|---------------|--------------|--------------------|
| TOTAL: | 1,676 | \$4,506,811 |
|---------------|--------------|--------------------|

Notes:

1. A portion of previously allocated and unused clinic FTEs have been reprogrammed for future planning.

Table 3e: Pilgrim Psychiatric Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|--|-------------------|------------------|----------------|--------------------------------|--|---------------|---------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| Supported Housing | Adult | Nassau | 885 | 83 | | 3/1/2015 | 59 | \$1,101,564 |
| Supported Housing | Adult | Suffolk | 1,360 | 125 | | 12/1/2014 | 110 | \$1,660,408 |
| SUBTOTAL: | | | 2,245 | 208 | | | 169 | \$2,761,972 |
| State Resources: | | | | | | | | |
| Clinic Expansion | Adult | Nassau & Suffolk | | 5 FTEs | | 11/20/2015 | 30 | \$350,000 |
| Mobile Integration Team | Adult | Nassau & Suffolk | | 20 FTEs | | 1/11/2016 | 708 | \$1,400,000 |
| SUBTOTAL: | | | | | | | 738 | \$1,750,000 |
| Aid to Localities: | | | | | | | | |
| 2 Assertive Community Treatment teams (48 slot teams in Nassau and expansion of an existing 48 team to a 68 slot team in Suffolk)* | Adult | Nassau & Suffolk | | 136 | State Aid | | | \$241,112 |
| Three (3) Mobile Crisis Teams | Adults & Children | Suffolk | | | State Share of Medicaid* | 3/1/2015 | 148 | \$917,187 |
| Hospital Alternative Respite Program | Adult | Suffolk | | | | 8/1/2015 | 2,932 | \$758,740 |
| Recovery Center | Adult | Suffolk | | | | 7/6/2016 | 40 | \$532,590 |
| Mobile Crisis Team Expansion - Long Stay Team ¹ | Adult | Nassau & Suffolk | | | | 4/15/2016 | 446 | \$250,000 |
| Mobile Residential Support Team Expansion - Long Stay Team | Adult | Suffolk | | | | 7/1/2016 | See Table 3n ¹ | \$272,948 |
| Crisis Program Expansion - Long Stay Team | Adult | Nassau | | | Funding has been made available on the county State Aid Letter, and is effective July 1, 2016. | | | \$230,864 |
| Crisis Stabilization Center | Adult | Suffolk | | | Funding has been made available on the county State Aid Letter, and is effective July 1, 2017. | | | \$804,440 |
| Mobile Crisis Team ² | Adults & Children | Nassau | | | | | | \$225,700 |
| Client Financial Management Services ² | Adult | Nassau | | | | | | \$85,000 |
| SUBTOTAL: | | | | | | | 3,832 | \$4,593,767 |

| | |
|---|--------------------|
| Supported Housing - In Development | \$499,830 |
| Aid to Localities - In Development² | \$74,160 |
| TOTAL: | 4,739 |
| | \$9,679,729 |

* Gross Medicaid projected \$1,827,048; State Share adjusted to reflect current model

Notes:

1. The Mobile Crisis Team expansion in Suffolk County is funded by Long Island Art. 28 reinvestment funding and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on Table 3n, so as not to duplicate the number of individuals served.
2. Previously undeveloped State FTE resources converted to support new local Mobile Crisis and Client Financial Management programming. Additional unallocated resources shifted to Table 3h.

Table 3f: Western NY Children's - Buffalo Psychiatric Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|--|-------------------|-----------------------------|----------------|--------------------------------|---|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver | Children | Allegany | 0 | 6 | | 6/5/2014 | 18 | \$157,758 |
| HCBS Waiver | Children | Cattaraugus | 12 | 6 | | 11/1/2013 | 19 | \$157,758 |
| HCBS Waiver | Children | Chautauqua | 6 | 6 | | 6/5/2014 | 26 | \$157,758 |
| HCBS Waiver | Children | Erie | 78 | 6 | | 4/1/2014 | 28 | \$157,758 |
| HCBS Waiver | Children | Niagara | 14 | | | | | |
| SUBTOTAL: | | | 110 | 24 | | | 91 | \$631,032 |
| Supported Housing | Adult | Cattaraugus | 104 | 12 | | 7/1/2014 | 16 | \$101,607 |
| Supported Housing | Adult | Chautauqua | 86 | 12 | | 8/1/2014 | 15 | \$101,664 |
| Supported Housing | Adult | Erie | 863 | 66 | | 8/1/2014 | 92 | \$557,826 |
| Supported Housing | Adult | Niagara | 143 | 22 | | 9/1/2014 | 28 | \$186,227 |
| SUBTOTAL: | | | 1,196 | 112 | | | 151 | \$947,324 |
| State Resources: | | | N/A | | | | | |
| Mobile Integration Team | Children | Western NY CPC Service Area | | 10 FTEs | | 12/19/2014 | 612 | \$700,000 |
| Clinic Expansion | Children | Western NY CPC Service Area | | 4 FTEs | | 2/5/2015 | 131 | \$280,000 |
| Mobile Mental Health Juvenile Justice Team | Children | Western NY CPC Service Area | | 1 FTE | | 12/1/2015 | 40 | \$70,000 |
| Mobile Integration Team | Adult | Buffalo PC Service Area | | 7 FTEs | | 1/12/2016 | 190 | \$490,000 |
| SUBTOTAL: | | | | | | | 973 | \$1,540,000 |
| Aid to Localities: | | | | | | | | |
| Peer Crisis Respite Center (including Warm Line) | Adult | Chautauqua and Cattaraugus | | | | 11/18/2015 | 138 | \$315,000 |
| Mobile Transitional Support Teams (2) | Adult | Chautauqua and Cattaraugus | | | | 1/1/2015 | 418 | \$234,000 |
| Peer Crisis Respite Center (including Warm Line) | Adult | Erie | | | | 1/26/2015 | 477 | \$353,424 |
| Mobile Transitional Support Teams (3) | Adult | Erie | | | | 1/26/2015 | 356 | \$431,000 |
| Crisis Intervention Team | Adult | Erie | | | | 1/1/2015 | 690 | \$191,318 |
| Peer Crisis Respite Center (including Warm Line) | Adult | Niagara | | | | 12/1/2014 | 494 | \$256,258 |
| Mobile Transitional Support Team | Adult | Niagara | | | | 1/20/2015 | 162 | \$117,000 |
| Community Integration Team - Long Stay Team | Adult | Erie | | | | 10/27/2016 | 45 | \$350,000 |
| Living Room Model Crisis Center | Adult | Erie | | | Funding has been made available on the county State Aid Letter, and is effective April 1, 2017. | | | \$424,712 |
| SUBTOTAL: | | | | | | | 2,735 | \$2,672,712 |

| | | |
|---------------|--------------|--------------------|
| TOTAL: | 3,950 | \$5,791,068 |
|---------------|--------------|--------------------|

Table 3g: Rochester Psychiatric Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | Annualized Reinvestment Amount (\$) |
|---|-------------------|--|----------------|--------------------------------|---------------------------------------|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | |
| Supported Housing | Adult | Genesee | 45 | 2 | | 1/1/2016 | 3 | \$16,852 |
| Supported Housing | Adult | Livingston | 38 | 2 | | 2/1/2015 | 4 | \$16,852 |
| Supported Housing | Adult | Monroe | 427 | 103 | | 10/1/2014 | 158 | \$868,049 |
| Supported Housing | Adult | Orleans | 25 | 6 | | 7/1/2015 | 2 | \$51,666 |
| Supported Housing | Adult | Wayne | 0 | 6 | | 12/1/2014 | 4 | \$51,666 |
| Supported Housing | Adult | Wyoming | 20 | 6 | | 11/1/2014 | 4 | \$50,862 |
| SUBTOTAL: | | | 555 | 125 | | | 175 | \$1,055,947 |
| State Resources: | | | N/A | | | | | |
| Mobile Integration Team | Adult | Rochester PC Service Area | | 24 FTEs | | 10/30/2014 | 519 | \$1,680,000 |
| OnTrackNY Expansion | Adult | Rochester PC Service Area | | 2 FTEs | | 3/21/2016 | 27 | \$185,440 |
| Clinic Expansion | Adult | Rochester PC Service Area | | 4 FTEs | | 1/1/2015 | 97 | \$280,000 |
| SUBTOTAL: | | | | | | | 643 | \$2,145,440 |
| Aid to Localities: | | Rochester PC Service Area | N/A | N/A | | | | |
| Peer Bridger Program | Adult | Genesee & Orleans | | | | 6/4/2015 | 15 | \$30,468 |
| Community Support Team | Adult | Rochester PC Service Area | | | | 3/1/2015 | 137 | \$500,758 |
| Peer Bridger Program | Adult | Livingston Monroe Wayne Wyoming | | | | 2/1/2015 | 135 | \$262,032 |
| Crisis Transitional Housing | Adult | Livingston | | | | 2/15/2015 | 31 | \$112,500 |
| Peer Run Respite Diversion | Adult | Monroe | | | | 5/7/2015 | 582 | \$500,000 |
| Assertive Community Treatment Team | Adult | Monroe | | 48 | State Aid State Share of Medicaid* | 7/1/2015 | 58 | \$79,624 \$310,764 |
| Assertive Community Treatment Team | Adult | Monroe | | 48 | State Aid State Share of Medicaid* | | | \$79,624 \$310,764 |
| Peer Support ¹ | Adult | Monroe | | | | 1/15/2016 | 71 | \$30,006 |
| Crisis Transitional Housing | Adult | Orleans | | | | 7/30/2015 | 23 | \$112,500 |
| Crisis Transitional Housing | Adult | Wayne | | | | 4/8/2015 | 37 | \$112,500 |
| Crisis Transitional Housing | Adult | Wyoming | | | | 2/28/2015 | 33 | \$112,500 |
| Enhanced Recovery Supports | Adult | Wyoming | | | | 9/1/2014 | 220 | \$51,836 |
| Recovery Center | Adult | Genesee & Orleans | | | | 5/7/2015 | 73 | \$217,124 |
| Community Support Team - Long Stay Team | Adult | Monroe | | | | 5/1/2016 | 50 | \$350,000 |
| SUBTOTAL: | | | | | | | 1,465 | \$3,173,000 |
| TOTAL: | | | | | | | 2,283 | \$6,374,387 |

*Gross Medicaid projected \$621,528 per ACT Team (\$1,243,056)

Notes:

1. Peer support is an enhancement of the ACT model, and individuals served by the ACT Team also receive peer support.

Table 3h: New York City Psychiatric Centers

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | Annualized Reinvestment Amount (\$) |
|-------------------------------------|-------------------|--------------------------|----------------|--------------------------------|--|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | |
| HCBS Waiver | Children | Bronx | 144 | 33 | | 10/1/2013 | 57 | \$916,566 |
| HCBS Waiver | Children | Kings | 180 | 12 | | 1/1/2014 | 53 | \$332,745 |
| HCBS Waiver | Children | New York | 132 | 6 | | 6/1/2015 | 15 | \$167,385 |
| HCBS Waiver | Children | Queens | 108 | 12 | | 10/1/2013 | 20 | \$332,745 |
| HCBS Waiver | Children | Richmond | 36 | | | | | |
| SUBTOTAL: | | | 600 | 63 | | | 145 | \$1,749,440 |
| Supported Housing | Adult | Bronx | 2,120 | 50 | | 5/1/2015 | 52 | \$752,150 |
| Supported Housing | Adult | Kings | 2,698 | 40 | | 7/1/2016 | 20 | \$637,460 |
| Supported Housing | Adult | New York | 1,579 | 104 | | 3/1/2015 | 132 | \$1,564,472 |
| Supported Housing | Adult | Queens | 1,887 | 55 | | 12/1/2016 | 29 | \$879,320 |
| Supported Housing | Adult | Richmond | 492 | 45 | | 4/1/2016 | 30 | \$718,080 |
| SUBTOTAL: | | | 8,776 | 294 | | | 263 | \$4,551,482 |
| State Resources: | | | N/A | | | | | |
| Mobile Integration Team | Adult | Queens | | 7 FTEs | | 3/21/2016 | 131 | \$490,000 |
| Mobile Integration Team | Adult | New York | | 7 FTEs | | 12/23/2016 | 98 | \$490,000 |
| Mobile Integration Team | Children | Bronx Kings Queens | | 7 FTEs | | 1/1/2017 | 130 | \$490,000 |
| SUBTOTAL: | | | | | | | 359 | \$1,470,000 |
| Aid to Localities: | | | | | | | | |
| Respite Capacity Expansion | Adult | NYC | N/A | N/A | | 7/1/2015 | 433 | \$2,884,275 |
| Pathway Home Program | Adult | NYC | | | | 4/1/2016 | 319 | \$3,546,663 |
| Crisis Pilot Program (3 Year) | Adult | NYC | | | | 9/1/2016 | 144 | \$462,760 |
| Hospital Based Care Transition Team | Adult | NYC | | | Funding has been made available on direct contract, effective April 1, 2017. | | | \$537,240 |
| SUBTOTAL: | | | | | | | 896 | \$7,430,938 |

| | |
|---|---------------------|
| Supported Housing - In Development | \$1,166,270 |
| State Resources - In Development: | \$1,190,000 |
| TOTAL: | 1,663 |
| | \$17,558,130 |

Table 3i: Rockland and Capital District Psychiatric Centers

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|---|-------------------|----------------------------------|----------------|--------------------------------|---|---------------|---------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver | Children | Dutchess | 18 | | | | | |
| HCBS Waiver | Children | Orange | 21 | 6 | | 11/1/2013 | 31 | \$157,758 |
| HCBS Waiver | Children | Putnam | 12 | | | | | |
| HCBS Waiver | Children | Rockland | 24 | 6 | | 6/5/2014 | 17 | \$165,360 |
| HCBS Waiver | Children | Sullivan | 12 | | | | | |
| HCBS Waiver | Children | Ulster | 30 | | | | | |
| HCBS Waiver | Children | Westchester | 60 | | | | | |
| SUBTOTAL: | | | 177 | 12 | | | 48 | \$323,118 |
| Supported Housing | Adult | Dutchess | 229 | 20 | | 12/1/2014 | 24 | \$261,066 |
| Supported Housing | Adult | Orange | 262 | 30 | | 10/1/2014 | 44 | \$391,206 |
| Supported Housing | Adult | Putnam | 67 | 4 | | 5/1/2015 | 5 | \$54,156 |
| Supported Housing | Adult | Rockland | 173 | 19 | | 7/1/2014 | 36 | \$269,822 |
| Supported Housing | Adult | Sullivan | 61 | 10 | | 11/1/2014 | 7 | \$93,184 |
| Supported Housing | Adult | Ulster | 142 | 28 | | 1/1/2015 | 33 | \$275,880 |
| Supported Housing | Adult | Westchester | 907 | 28 | | 4/1/2015 | 25 | \$436,162 |
| Supported Housing | Adult | Albany | 276 | 11 | | 3/1/2017 | 2 | \$105,036 |
| Supported Housing | Adult | Columbia | 39 | 8 | | 1/1/2017 | 7 | \$76,377 |
| Supported Housing | Adult | Greene | 35 | 9 | | 3/1/2015 | See Table 3m ¹ | \$85,907 |
| Supported Housing | Adult | Rensselaer | 125 | 10 | | 6/1/2017 | 4 | \$95,437 |
| Supported Housing | Adult | Saratoga | 50 | 6 | | | | \$57,317 |
| Supported Housing | Adult | Schenectady | 153 | 3 | | 10/1/2015 | See Table 3m ¹ | \$28,590 |
| Supported Housing | Adult | Schoharie | 31 | 8 | | 2/1/2017 | 5 | \$76,377 |
| Supported Housing | Adult | Warren & Washington | 54 | 8 | | 11/1/2017 | 5 | \$74,814 |
| SUBTOTAL: | | | 2,604 | 202 | | | 197 | \$2,381,331 |
| State Resources: | | | | | | | | |
| Mobile Integration Team | Adult | Rockland PC Service Area | | 4 FTEs | | 2/2/2017 | 23 | \$280,000 |
| Mobile Integration Team | Adult | Capital District PC Service Area | | 6 FTEs | | 10/1/2016 | 38 | \$420,000 |
| SUBTOTAL: | | | | | | | 61 | \$700,000 |
| Aid to Localities: | | | | | | | | |
| | | Rockland PC Service Area | N/A | N/A | | | | |
| Hospital Diversion/Crisis Respite | Adult | Dutchess | | | | 2/12/2015 | 146 | \$200,000 |
| Supported Housing | Adult | Orange | | 6 | | 4/1/2015 | 6 | \$77,298 |
| Outreach Services | Adult | Orange | | | | 12/1/2014 | 22 | \$36,924 |
| Outreach Services | Children | Orange | | | | 10/1/2014 | 383 | \$85,720 |
| Advocacy/Support Services | Adult | Putnam | | | | 9/28/2015 | 33 | \$23,000 |
| Self-Help Program | Adult | Putnam | | | | 2/1/2015 | 42 | \$215,000 |
| Mobile Crisis Intervention Program ² | Adults & Children | Rockland | | | | 3/31/2015 | 1,402 | \$449,668 |
| Hospital Diversion/ Transition Program ² | Adult | Sullivan | | | | 11/24/2014 | 897 | \$225,000 |
| Mobile Crisis Services ² | Adults & Children | Ulster | | | | 2/9/2015 | 2,448 | \$400,000 |
| Assertive Community Treatment team expansion (48 to 68 slots) | Adult | Ulster | | 20 | State Aid: State Share of Medicaid: | 12/1/2014 | 39 | \$33,952 \$66,664 |
| Outreach Services | Adult | Westchester | | | | 4/1/2015 | 84 | \$267,328 |
| Crisis Intervention/ Mobile Mental Health Team | Children | Westchester | | | | 11/1/2014 | 112 | \$174,052 |
| Family Engagement & Support Services Program | Children | Rockland | | | | 1/1/2017 | 273 | \$95,000 |
| Outreach Team - Long Stay Team | Adult | Albany | | | | 9/6/2016 | 21 | \$230,000 |
| | | Schenectady | | | | 9/9/2016 | 9 | \$200,000 |
| | | Dutchess | | | | 12/12/2016 | 7 | \$225,000 |
| | | Orange | | | | 9/14/2016 | 13 | \$225,000 |
| | | Rockland | | | | 8/17/2016 | 15 | \$225,000 |
| | | Westchester | | | | 10/4/2016 | 9 | \$225,000 |
| Respite Services Program | Children | Dutchess | | | | 7/27/2017 | 1 | \$275,000 |
| Home Based Crisis Intervention Services | Children | Westchester | | | Funding has been made available on the county State Aid Letter, and is effective April 1, 2017. | | | \$189,048 |
| | | Orange | | | | | | \$100,000 |
| | | Rockland | | | | | | \$160,000 |
| | | Sullivan | | | | | | \$100,000 |
| | | Ulster | | | | | \$81,976 | |
| Family Support Services | Children | Westchester | | | | | | \$149,784 |
| SUBTOTAL: | | | | | | | 5,961 | \$4,735,414 |

Aid to Localities -In Development:

\$1,074,192

* Gross Medicaid projected \$229,156

TOTAL: 6,267 \$9,214,055

Notes:

- Greene and Schenectady Counties currently receive Stony-Lodge Rye Article 28 funding for supported housing, and utilization is reported on Table 3m. Additional supported housing units were awarded to these counties through Rockland PC Aid to Localities. All utilization will continue to be reported on the Table 3m to prevent duplication.
- Mobile Crisis programs in Rockland, Sullivan and Ulster Counties are funded by the Rockland PC Aid to Localities funding and Stony-Lodge Rye Article 28 funding. The number of newly served individuals is only reflected on the Rockland PC table so as not to duplicate the number of individuals served.



Table 3: Hutchings Psychiatric Center

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | Annualized Reinvestment Amount (\$) |
|---|-------------------|---------------------------|----------------|--------------------------------|---|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | |
| HCBS Waiver | Children | Cayuga | 12 | 6 | | 7/1/2014 | 16 | \$157,758 |
| HCBS Waiver | Children | Cortland | 6 | 6 | | 7/1/2014 | 16 | \$157,758 |
| HCBS Waiver | Children | Madison | 6 | | | | | |
| HCBS Waiver | Children | Onondaga | 42 | 6 | | 4/1/2014 | 23 | \$157,758 |
| HCBS Waiver | Children | Oswego | 6 | | | | | |
| SUBTOTAL: | | | 72 | 18 | | | 55 | \$473,274 |
| Supported Housing | Adult | Cayuga | 61 | 9 | | 1/1/2016 | 6 | \$54,154 |
| Supported Housing | Adult | Cortland | 53 | 4 | | 1/1/2016 | 5 | \$30,924 |
| Supported Housing | Adult | Fulton | 30 | 3 | | 2/1/2017 | 1 | \$23,230 |
| Supported Housing | Adult | Hamilton | 4 | 3 | | 1/1/2017 | 0 | \$23,193 |
| Supported Housing | Adult | Herkimer | 30 | 1 | | 1/1/2017 | 3 | \$7,731 |
| Supported Housing | Adult | Madison | 28 | 4 | | 4/1/2017 | 4 | \$30,961 |
| Supported Housing | Adult | Montgomery | 37 | 3 | | 1/1/2017 | 3 | \$23,230 |
| Supported Housing | Adult | Oneida | 232 | 8 | | 2/17/2017 | 9 | \$61,848 |
| Supported Housing | Adult | Onondaga | 300 | 4 | | 10/1/2017 | 1 | \$30,997 |
| Supported Housing | Adult | Oswego | 62 | 5 | | 12/1/2015 | 14 | \$38,692 |
| SUBTOTAL: | | | 837 | 44 | | | 46 | \$324,960 |
| State Resources: | | | | | | | | |
| Crisis/respice unit | Children | Hutchings PC Service Area | N/A | 12 FTEs | | 11/5/2014 | 365 | \$840,000 |
| OnTrackNY Expansion | Adults & Youth | Hutchings PC Service Area | N/A | 3 FTEs | | 8/1/2015 | 37 | \$228,400 |
| SUBTOTAL: | | | | | | | 402 | \$1,068,400 |
| Aid to Localities: | | | | | | | | |
| | | Hutchings PC Service Area | N/A | N/A | | | | |
| Children's Respite Program | Children | Onondaga | | | | | | \$96,750 |
| Clinical Services & Support | Children | Onondaga | | | | | | \$430,555 |
| Respite/Clinical Oversight | Children | Onondaga | | | | | 551 | \$99,695 |
| Long Stay Reduction Transition Team | Adult | Onondaga | | | | 11/9/2016 | 10 | \$300,000 |
| Enhanced Outreach and Clinical Support Services | Adults & Children | Hamilton | | | Funding has been made available on the county State Aid Letter, and is effective April 1, 2017. | | | \$37,500 |
| | | Herkimer | | | | | \$37,500 | |
| | | Fulton | | | | | \$37,500 | |
| Enhanced Child & Family Support Services | Children | Montgomery | | | | | | \$37,500 |
| SUBTOTAL: | | | | | | | 561 | \$1,077,000 |

| | | |
|---------------|--------------|--------------------|
| TOTAL: | 1,064 | \$2,943,634 |
|---------------|--------------|--------------------|

Article 28 and 31 Hospital Reinvestment Summaries

Pursuant to Chapter 53 of the Laws of 2014 for services and expenses of the medical assistance program to address community mental health service needs resulting from the reduction of psychiatric inpatient services.

| Hospital | Target Population | County/Region | Annualized Reinvestment Amount |
|----------------------------------|---------------------|-------------------------------|--------------------------------|
| St. James Mercy | Children and Adults | Allegany, Livingston, Steuben | \$894,275 |
| Medina Memorial | Adults | Niagara, Orleans | \$199,030 |
| Holliswood/Stony Lodge/Mt. Sinai | Children and Youth | New York City | \$10,254,129 |
| Stony Lodge & Rye | Children and Adults | Hudson River | \$4,634,577 |
| LBMC/NSUH/PK | Children and Adults | Nassau, Suffolk | \$2,910,400 |
| Subtotal: | | | \$18,892,411 |

Table 3k: Western Region Article 28 Hospital Reinvestment

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|--|-------------------|-------------------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| Article 28: | | | | | | | | |
| St. James Mercy | | | | | | | | |
| Intensive Intervention Services | Adult | Allegany | | | | 8/25/2014 | 92 | \$95,000 |
| Post Jail Transition Coordinator/Forensic Therapist | Adult | Livingston | | | | 1/5/2015 | 929 | \$59,275 |
| Enhanced Mobile Crisis Outreach | Adults & Children | Steuben | | | | 11/3/2014 | 1,165 | \$490,000 |
| Intensive In-Home Crisis Intervention (Tri-County) | Children & Youth | Allegany, Livingston, Steuben | | | | 6/1/2015 | 96 | \$250,000 |
| SUBTOTAL: | | | | | | | 2,282 | \$894,275 |
| Medina Memorial Hospital | | | | | | | | |
| Mental Hygiene Practitioner to handle crisis calls (late afternoon and evenings) | Adults & Children | Niagara | | | | 8/15/2014 | 151 | \$68,030 |
| Enhanced Crisis Response | Adults & Children | Orleans | | | | 7/1/2014 | N/A ¹ | \$131,000 |
| SUBTOTAL: | | | | | | | 151 | \$199,030 |

| | | |
|---------------|--------------|--------------------|
| TOTAL: | 2,433 | \$1,093,305 |
|---------------|--------------|--------------------|

Notes:

1. Utilization data for this program has been temporarily removed pending review of data quality issues.

Table 3I: New York City Region Article 28 Hospital Reinvestment

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|--|-------------------|--------|----------------|--------------------------------|--------------------------|---------------|---------------------------|-------------------------------------|
| | | | | | | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| Holliswood Hospital | | | | | | | | |
| HCBS Waiver | Children | Bronx | 144 | 15 | State Share of Medicaid: | 2/1/2016 | See Table 3h ¹ | \$418,500 |
| Crisis Beds | Children | NYC | | 5 | | | | \$210,000 |
| Rapid Response Mobile | Children | NYC | | | | 1/1/2014 | 301 | \$1,150,000 |
| Family Advocates | Children | NYC | | | | 1/1/2014 | 709 | \$450,000 |
| 4.5 Rapid Response Teams | Children | NYC | | | | 4/28/2015 | 308 | \$1,989,569 |
| Family Resource Center ¹ | Children | NYC | | | | 2/1/2016 | 500 | \$1,335,777 |
| High Fidelity Wrap Around | Children | NYC | | | | | | \$181,865 |
| SUBTOTAL: | | | | | | | 1,818 | \$5,735,711 |
| Stony Lodge Hospital | | | | | | | | |
| Partial Hospitalization Program & Day Treatment Program (Bellevue) | Children | NYC | | | State Share of Medicaid: | | | \$386,250 |
| Home Based Crisis Intervention Team (Bellevue) | Children | NYC | | | | 11/1/2015 | 34 | \$300,000 |
| Family Resource Center ² | Children | NYC | | | | 2/1/2016 | See Note ¹ | \$728,622 |
| High Fidelity Wraparound | Children | NYC | | | | | | \$185,128 |
| SUBTOTAL: | | | | | | | 34 | \$1,600,000 |
| Mount Sinai Hospital | | | | | | | | |
| Mt. Sinai Partial Hospitalization (15 slots) | Adult | NYC | | 15 | State Share of Medicaid: | | | \$303,966 |
| 4 Assertive Community Treatment Teams (68 slots each) | Adult | NYC | | 272 | State Share of Medicaid: | | | \$1,855,694 |
| 1 Assertive Community Treatment Team (48 slots) | Adult | NYC | | 48 | State Share of Medicaid: | | | \$384,666 |
| Expanded Respite Capacity | Adult | NYC | | | | | | \$374,093 |
| SUBTOTAL: | | | | | | | | \$2,918,418 |

| | | |
|---------------|--------------|---------------------|
| TOTAL: | 1,852 | \$10,254,129 |
|---------------|--------------|---------------------|

Notes:

1. Waiver slots in Bronx County are funded by the NYC Aid to Localities reinvestment funding and Stony Lodge Article 28 funding. All waiver utilization is reported on the Table 3h - New York City to prevent duplication in the number of people served.
2. The Family Resource Center is funded by the Holliswood Art. 28 reinvestment funding and Stony Lodge Art. 28 reinvestment funding. The number of newly served individuals is only reflected in the Holliswood Reinvestment so as not to duplicate the number of individuals served.

Table 3m: Hudson River Region Article 28 Hospital Reinvestment

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|---|-------------------|-------------|----------------|--------------------------------|--------------------------|---------------|---------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| Article 28: Stony Lodge/Rye Hospital | | | | | | | | |
| HCBS Waiver Slots | Children | Albany | | 6 | State Share of Medicaid: | 12/1/2015 | 18 | \$157,704 |
| | | Saratoga | | 3 | State Share of Medicaid: | 1/1/2015 | 21 | \$78,803 |
| | | Warren | | 3 | State Share of Medicaid: | 1/1/2015 | 12 | \$78,803 |
| | | Westchester | | 6 | State Share of Medicaid: | 1/1/2015 | 19 | \$157,704 |
| SUBTOTAL: | | | | | | | 70 | \$473,014 |
| Article 28: | | | | | | | | |
| Supported Housing | Adult | Albany | | 2 | | 9/1/2015 | 5 | \$18,570 |
| | | Greene | | 5 | | 3/1/2015 | 14 | \$46,425 |
| | | Rensselaer | | 7 | | 5/1/2015 | 11 | \$64,995 |
| | | Schenectady | | 7 | | 10/1/2015 | 14 | \$64,995 |
| Mobile Crisis Services | Adult | Columbia | | | | 7/1/2015 | 1,262 | \$180,636 |
| | | Greene | | | | 7/1/2015 | 1145 | \$203,859 |
| | | Sullivan | | | | 11/24/2014 | See Table 3i ¹ | \$81,447 |
| Hospital Diversion Respite | Adult | Columbia | | | | 11/1/2015 | 16 | \$43,560 |
| | | Greene | | | | 3/1/2015 | 4 | \$20,337 |
| Respite Services | Children | Columbia | | | | 3/30/2015 | 16 | \$15,750 |
| | | Greene | | | | 3/30/2015 | 26 | \$65,670 |
| | | Orange | | | | 6/30/2015 | 18 | \$30,000 |
| | | Sullivan | | | | 4/1/2015 | 23 | \$25,000 |
| Respite Services | Adult | Dutchess | | | | 3/1/2015 | 160 | \$25,000 |
| | | Orange | | | | 3/20/2015 | 31 | \$60,000 |
| | | Putnam | | | | 6/1/2015 | 11 | \$25,000 |
| | | Westchester | | | | 6/1/2015 | 36 | \$136,460 |
| Self Help Program | Adult | Dutchess | | | | 2/12/2015 | 353 | \$60,000 |
| | | Orange | | | | 6/17/2015 | 43 | \$30,000 |
| | | Westchester | | | | 4/8/2015 | 121 | \$388,577 |
| Family Support Services | Children | Orange | | | | 2/18/2015 | 133 | \$30,000 |
| | | Schoharie | | | | 2/23/2015 | 340 | \$170,000 |
| Adult Mobile Crisis Team (5 Counties: Rensselaer, Saratoga, Schenectady, Warren-Washington) | Adult | Rensselaer | | | | 10/1/2015 | 271 | \$1,000,190 |
| Capital Region Respite Services (3 Counties: Albany, Rensselaer, Schenectady) | Children | Rensselaer | | | | 7/8/2015 | 39 | \$30,000 |
| Mobile Crisis Intervention | Adult | Rockland | | | | 3/30/2015 | See Table 3i ¹ | \$400,000 |
| | | Ulster | | | | 2/9/2015 | See Table 3i ¹ | \$300,000 |
| Mobile Crisis Team (Tri-County: Saratoga, Warren-Washington) | Children | Warren | | | | 1/1/2016 | 226 | \$545,092 |
| Home Based Crisis Intervention (Tri-County: Saratoga, Warren-Washington) | Children | Warren | | | | 11/26/2013 | 258 | \$100,000 |
| SUBTOTAL: | | | | | | | 4,576 | \$4,161,563 |

| | | |
|---------------|--------------|--------------------|
| TOTAL: | 4,646 | \$4,634,577 |
|---------------|--------------|--------------------|

Notes:
 1. Mobile Crisis programs in Rockland, Sullivan and Ulster Counties are funded by the Rockland PC Aid to Localities funding and Stony Lodge-Rye Article 28 funding. The number of newly served individuals is only reflected on the Rockland PC table so as not to duplicate the number of individuals served.

Table 3n: Long Island Region Article 28 Hospital Reinvestment

| Service | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress | | | |
|--|-------------------|------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
| | | | | | Status Update | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| Article 28: | | | | | | | | |
| Long Beach Medical Center/North Shore University Hospital/Partial Hospitalization Program Operated by Pederson-Krag | | | | | | | | |
| HCBS Waiver Slots | Children | Suffolk | | 6 | State Share of Medicaid: | | 31 | \$165,400 |
| SUBTOTAL: | | | | | | | 31 | \$165,400 |
| Article 28: | | | | | | | | |
| (6) Mobile Residential Support Teams | Adult | Nassau | | | | 7/1/2015 | 302 | \$1,344,000 |
| Mobile Crisis Team Expansion ¹ | Adult | Nassau & Suffolk | | | | 8/1/2015 | 2,204 | \$212,000 |
| Satellite Clinic Treatment Services | Adult | Nassau | | | State Share of Medicaid: | | | \$155,000 |
| (5) OnSite Rehabilitation | Adult | Nassau | | | | 2/1/2016 | 73 | \$200,000 |
| Residential Support Teams | Adult | Nassau | | | | | | \$200,000 |
| Help/Hot Line Expansion | Adult | Nassau | | | | | | \$50,000 |
| On-Site MH Clinic | Children | Nassau | | | | | | \$50,000 |
| (3) Clinic Treatment Services | Adult | Nassau | | | | 8/18/2016 | 93 | \$375,000 |
| Family Advocate | Children | Nassau | | | | | | \$84,000 |
| Peer Outreach | Adult | Suffolk | | | | | | \$30,000 |
| SUBTOTAL: | | | | | | | 2,672 | \$2,745,000 |

| | | |
|---------------|--------------|--------------------|
| TOTAL: | 2,703 | \$2,910,400 |
|---------------|--------------|--------------------|

*Gross Medicaid projected \$420,800

Notes:

1. The Mobile Crisis Team expansion in Suffolk County is funded by Long Island Art. 28 reinvestment funding and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on the Long Island Art. RIV table (Table 3n) so as not to duplicate the number of individuals served.

Table 4: NYS OMH State Psychiatric Center Inpatient Discharge Metrics

| State Inpatient Facilities ¹ | Metrics Post Discharge | |
|---|---|---|
| | Readmission ^{2, 4} | ER Utilization ^{3, 4} |
| | For discharge cohort (Feb, 2017-Apr, 2017), % Having Psychiatric Readmission within 30 days | For discharge cohort (Feb, 2017-Apr, 2017), % Utilizing Psychiatric Emergency Room within 30 days |
| Adult | | |
| Bronx | 10.5%* | 7.7%* |
| Buffalo | 20.6% | 7.1%* |
| Capital District | 15.6% | 16.0% |
| Creedmoor | 11.1% | 4.3% |
| Elmira | 0.0% | 0.0%* |
| Greater Binghamton | 4.2% | 8.3%* |
| Hutchings | 18.5% | 18.8%* |
| Kingsboro | 13.8% | 0.0% |
| Manhattan | 16.7% | 2.8% |
| Pilgrim | 5.3% | 0.0%* |
| Rochester | 5.6%* | 11.1%* |
| Rockland | 9.5% | 0.0% |
| South Beach | 16.4% | 5.0% |
| St. Lawrence | 6.7%* | 0.0%* |
| Washington Heights | 24.0% | 13.3%* |
| Total | 12.8% | 5.9% |
| Children & Youth | | |
| Elmira | 5.3%* | 6.3%* |
| Greater Binghamton | 14.3% | 31.8% |
| Hutchings | 27.0% | 6.1% |
| Mohawk Valley | 4.9% | 5.1% |
| NYC Children's Center | 11.8% | 14.9% |
| Rockland CPC | 11.1%* | 6.3%* |
| Sagamore CPC | 7.1% | 14.3% |
| South Beach | 0.0%* | 0.0%* |
| St. Lawrence | 6.9% | 8.2% |
| Western NY CPC | 3.2% | 9.5% |
| Total | 9.6% | 10.1% |
| Forensic | | |
| Central New York | 6.3% | 0.0% |
| Kirby | 2.8% | 2.8% |
| Mid-Hudson | 20.8% | 0.0% |
| Rochester | 0.0%* | 0.0%* |
| Total | 7.8% | 1.1% |

Updated as of Dec 29, 2017

Notes:

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.
 2. Readmissions were defined as State PC and Medicaid (Article 28 /31) psychiatric inpatient readmission events occurring within 1 to 30 days after the State PC discharge. The first readmission within the 30 days window was counted. The denominator for this measure was based on State inpatient discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort but who had a state operated service in the 3 months post discharge were retained in the discharge cohort.
 3. ER utilization was identified using Medicaid claims and encounters only. The numerator included the first Psychiatric ER/CPEP event that occurred within thirty days post discharge. The denominator for this measure was based on State inpatient discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort.
 4. The Medicaid system has had difficulty with the timely updating of managed care encounter data due to system transitions beginning in the fall of 2015. Therefore the Medicaid encounter data included in the rate calculations may not fully represent all managed care inpatient readmission or ER encounters during this reporting time period.
- *Note this rate may not be stable due to small denominator (less than 20 discharges in the denominator).

Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates¹

| Region | County ² | Hospital Name ³ | Auspice | Capacity (as of 11/1/17) | | | Metrics Post Discharge ⁴ | | | | | |
|-------------|---------------------|--|------------|--------------------------|-------|-------|---|---------|--------|--------------------------------|---------|--------|
| | | | | | | | Readmission ^{5,18} | | | ER Utilization ^{7,18} | | |
| | | | | | | | For discharge cohort (Feb, 2017-Apr, 2017), % Having Psychiatric Readmission within 30 days | | | | | |
| Total | Adults | Child | Total | Adult ⁶ | Child | Total | Adult | Child | | | | |
| Central | Broome | United Health Services Hospitals, Inc. | Article 28 | 56 | 56 | 0 | 15.2% | 15.2% | . | 9.7% | 9.7% | . |
| Central | Cayuga | Auburn Community Hospital | Article 28 | 14 | 14 | 0 | 13.3% | 13.3% | . | 0.0% | 0.0% | . |
| Central | Clinton | Champlain Valley Physicians Hospital Med Ctr. ⁸ | Article 28 | 30 | 18 | 12 | 13.3% | 4.5% | 23.1% | 18.1% | 11.4% | 25.6% |
| Central | Cortland | Cortland Regional Medical Center, Inc. | Article 28 | 11 | 11 | 0 | 16.7% | 16.7% | . | 9.5% | 9.5% | . |
| Central | Franklin | Adirondack Medical Center | Article 28 | 12 | 12 | 0 | 36.4% * | 36.4% * | . | 18.2% * | 18.2% * | . |
| Central | Jefferson | Samaritan Medical Center | Article 28 | 32 | 32 | 0 | 14.0% | 14.0% | . | 14.0% | 14.0% | . |
| Central | Montgomery | St. Mary's Healthcare | Article 28 | 20 | 20 | 0 | 9.6% | 9.6% | . | 7.4% | 7.4% | . |
| Central | Oneida | Faxton - St. Luke's Healthcare | Article 28 | 26 | 26 | 0 | 14.0% | 14.0% | . | 10.7% | 10.7% | . |
| Central | Oneida | Rome Memorial Hospital, Inc. | Article 28 | 12 | 12 | 0 | 0.0% * | 0.0% * | . | 20.0% * | 20.0% * | . |
| Central | Oneida | St. Elizabeth Medical Center | Article 28 | 24 | 24 | 0 | 19.4% | 19.4% | . | 17.2% | 17.2% | . |
| Central | Onondaga | St. Joseph's Hospital Health Center | Article 28 | 30 | 30 | 0 | 11.4% | 11.4% | . | 27.8% | 27.8% | . |
| Central | Onondaga | SUNY Health Science Center-University Hospital | Article 28 | 49 | 49 | 0 | 19.8% | 19.8% | . | 17.8% | 17.8% | . |
| Central | Oswego | Oswego Hospital, Inc. | Article 28 | 28 | 28 | 0 | 15.2% | 15.2% | . | 16.7% | 16.7% | . |
| Central | Otsego | Bassett Healthcare | Article 28 | 20 | 20 | 0 | 9.3% | 9.3% | . | 4.7% | 4.7% | . |
| Central | Saint Lawrence | Claxton-Hepburn Medical Center | Article 28 | 28 | 28 | 0 | 8.7% | 8.7% | . | 4.3% | 4.3% | . |
| Hudson | Albany | Albany Medical Center | Article 28 | 26 | 26 | 0 | 19.3% | 19.3% | . | 15.2% | 15.2% | . |
| Hudson | Columbia | Columbia Memorial Hospital | Article 28 | 22 | 22 | 0 | 17.6% | 17.6% | . | 29.4% | 29.4% | . |
| Hudson | Dutchess | Westchester Medical /Mid-Hudson Division | Article 28 | 40 | 40 | 0 | 19.0% | 19.0% | . | 13.7% | 13.7% | . |
| Hudson | Orange | Bon Secours Community Hospital | Article 28 | 24 | 24 | 0 | 14.8% | 14.8% | . | 13.1% | 13.1% | . |
| Hudson | Orange | Orange Regional Medical Center - Arden Hill Hospital | Article 28 | 30 | 30 | 0 | 10.8% | 10.8% | . | 15.7% | 15.7% | . |
| Hudson | Putnam | Putnam Hospital Center | Article 28 | 20 | 20 | 0 | 23.8% | 23.8% | . | 11.1% | 11.1% | . |
| Hudson | Rensselaer | Northeast Health - Samaritan Hospital | Article 28 | 63 | 63 | 0 | 22.1% | 22.1% | . | 14.9% | 14.9% | . |
| Hudson | Rockland | Nyack Hospital | Article 28 | 26 | 26 | 0 | 15.1% | 15.1% | . | 15.1% | 15.1% | . |
| Hudson | Saratoga | FW of Saratoga, Inc. | Article 31 | 88 | 31 | 57 | 11.5% | 14.1% | 10.3% | 8.3% | 6.4% | 9.1% |
| Hudson | Saratoga | The Saratoga Hospital | Article 28 | 16 | 16 | 0 | 13.8% | 13.8% | . | 20.7% | 20.7% | . |
| Hudson | Schenectady | Ellis Hospital | Article 28 | 52 | 36 | 16 | 15.9% | 17.1% | 14.1% | 18.8% | 18.7% | 18.8% |
| Hudson | Sullivan | Catskill Regional Medical Center | Article 28 | 18 | 18 | 0 | 8.2% | 8.2% | . | 16.3% | 16.3% | . |
| Hudson | Ulster | Health Alliance Hospital Mary's Ave Campus | Article 28 | 40 | 40 | 0 | 17.9% | 17.9% | . | 18.9% | 18.9% | . |
| Hudson | Warren | Glens Falls Hospital | Article 28 | 30 | 30 | 0 | 20.0% | 20.0% | . | 12.0% | 12.0% | . |
| Hudson | Westchester | Four Winds, Inc. | Article 31 | 178 | 28 | 150 | 11.1% | 16.7% | 10.7% | 8.5% | 8.3% | 8.5% |
| Hudson | Westchester | Montefiore Mount Vernon Hospital, Inc. | Article 28 | 22 | 22 | 0 | 16.1% | 16.1% | . | 14.5% | 14.5% | . |
| Hudson | Westchester | New York Presbyterian Hospital | Article 28 | 252 | 207 | 45 | 18.9% | 20.2% | 14.0% | 12.1% | 12.9% | 9.3% |
| Hudson | Westchester | Northern Westchester Hospital Center | Article 28 | 15 | 15 | 0 | 18.2% * | 18.2% * | . | 18.2% * | 18.2% * | . |
| Hudson | Westchester | Phelps Memorial Hospital Center | Article 28 | 22 | 22 | 0 | 29.2% | 29.2% | . | 25.0% | 25.0% | . |
| Hudson | Westchester | St Joseph's Medical Center | Article 28 | 149 | 136 | 13 | 19.1% | 21.5% | 7.0% | 14.6% | 16.0% | 7.0% |
| Hudson | Westchester | Westchester Medical Center | Article 28 | 101 | 66 | 35 | 11.8% | 12.1% | 0.0% * | 14.0% | 14.3% | 0.0% * |
| Long Island | Nassau | Mercy Medical Center | Article 28 | 39 | 39 | 0 | 17.4% | 17.4% | . | 10.9% | 10.9% | . |
| Long Island | Nassau | Nassau Health Care Corp/Nassau Univ Med Ctr | Article 28 | 128 | 106 | 22 | 9.4% | 10.1% | 5.7% | 13.7% | 13.6% | 14.3% |
| Long Island | Nassau | North Shore University Hospital @Syosset ⁹ | Article 28 | 20 | 20 | 0 | 8.0% | 8.0% | . | 4.0% | 4.0% | . |
| Long Island | Nassau | South Nassau Communities Hospital | Article 28 | 36 | 36 | 0 | 27.0% | 27.0% | . | 22.5% | 22.5% | . |

Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates¹

| Region | County ² | Hospital Name ³ | Auspice | Capacity (as of 11/1/17) | | | Metrics Post Discharge ⁴ | | | | | |
|-------------|---------------------|---|------------|--------------------------|-------|-------|---|-------|--------|---|-------|---------|
| | | | | | | | Readmission ^{5, 18} | | | ER Utilization ^{7, 18} | | |
| | | | | | | | For discharge cohort (Feb, 2017-Apr, 2017), % Having Psychiatric Readmission within 30 days | | | For discharge cohort (Feb, 2017-Apr, 2017), % Utilizing Psychiatric Emergency Room within 30 days | | |
| Total | Adults | Child | Total | Adult ⁶ | Child | Total | Adult | Child | | | | |
| Long Island | Suffolk | Brookhaven Memorial Hospital Medical Center | Article 28 | 20 | 20 | 0 | 17.3% | 17.3% | . | 17.3% | 17.3% | . |
| Long Island | Suffolk | Brunswick Hospital Center, Inc. ¹⁰ | Article 31 | 124 | 87 | 37 | 19.6% | 23.1% | 13.5% | 18.3% | 21.5% | 12.6% |
| Long Island | Suffolk | Eastern Long Island Hospital Association | Article 28 | 23 | 23 | 0 | 20.7% | 20.7% | . | 22.4% | 22.4% | . |
| Long Island | Suffolk | Huntington Hospital | Article 28 | 21 | 21 | 0 | 15.4% | 15.4% | . | 7.7% | 7.7% | . |
| Long Island | Suffolk | John T. Mather Memorial Hospital | Article 28 | 37 | 27 | 10 | 18.9% | 24.6% | 0.0% * | 14.9% | 14.0% | 17.6% * |
| Long Island | Suffolk | St. Catherine's of Siena Hospital | Article 28 | 42 | 42 | 0 | 19.1% | 19.1% | . | 22.5% | 22.5% | . |
| Long Island | Suffolk | State University of NY at Stony Brook | Article 28 | 40 | 30 | 10 | 10.1% | 10.8% | 7.4% | 12.4% | 11.8% | 14.8% |
| Long Island | Suffolk | The Long Island Home | Article 31 | 206 | 141 | 65 | 17.4% | 20.4% | 15.0% | 14.0% | 20.4% | 8.7% |
| NYC | Bronx | Bronx-Lebanon Hospital Center ¹¹ | Article 28 | 104 | 79 | 25 | 19.0% | 21.0% | 10.0% | 20.6% | 21.8% | 15.0% |
| NYC | Bronx | Montefiore Medical Center | Article 28 | 55 | 55 | 0 | 11.0% | 11.0% | . | 10.3% | 10.3% | . |
| NYC | Bronx | NYC-HHC Jacobi Medical Center | Article 28 | 107 | 107 | 0 | 21.1% | 21.1% | . | 16.4% | 16.4% | . |
| NYC | Bronx | NYC-HHC Lincoln Medical & Mental Health Ctr. | Article 28 | 60 | 60 | 0 | 20.2% | 20.2% | . | 17.0% | 17.0% | . |
| NYC | Bronx | NYC-HHC North Central Bronx Hospital | Article 28 | 70 | 70 | 0 | 16.9% | 16.9% | . | 23.8% | 23.8% | . |
| NYC | Bronx | St. Barnabas Hospital | Article 28 | 49 | 49 | 0 | 22.2% | 22.2% | . | 22.2% | 22.2% | . |
| NYC | Kings | Brookdale Hospital Medical Center | Article 28 | 61 | 52 | 9 | 14.4% | 15.2% | 11.6% | 20.5% | 22.4% | 14.5% |
| NYC | Kings | Interfaith Medical Center, Inc. | Article 28 | 120 | 120 | 0 | 25.2% | 25.2% | . | 21.4% | 21.4% | . |
| NYC | Kings | Kingsbrook Jewish Medical Center | Article 28 | 58 | 58 | 0 | 16.2% | 16.2% | . | 22.1% | 22.1% | . |
| NYC | Kings | Maimonides Medical Center | Article 28 | 70 | 70 | 0 | 17.4% | 17.4% | . | 15.9% | 15.9% | . |
| NYC | Kings | NYC-HHC Coney Island Hospital | Article 28 | 64 | 64 | 0 | 19.9% | 19.9% | . | 21.2% | 21.2% | . |
| NYC | Kings | NYC-HHC Kings County Hospital Center | Article 28 | 205 | 160 | 45 | 15.9% | 17.1% | 9.6% | 18.8% | 18.3% | 21.7% |
| NYC | Kings | NYC-HHC Woodhull Medical & Mental Health Ctr. | Article 28 | 135 | 135 | 0 | 20.6% | 20.6% | . | 22.4% | 22.4% | . |
| NYC | Kings | New York Methodist Hospital | Article 28 | 50 | 50 | 0 | 18.7% | 18.7% | . | 14.0% | 14.0% | . |
| NYC | Kings | New York University Hospitals Center | Article 28 | 35 | 35 | 0 | 19.2% | 19.2% | . | 20.0% | 20.0% | . |
| NYC | New York | Beth Israel Medical Center | Article 28 | 92 | 92 | 0 | 21.6% | 21.6% | . | 20.0% | 20.0% | . |
| NYC | New York | Lenox Hill Hospital | Article 28 | 27 | 27 | 0 | 26.3% | 26.3% | . | 24.6% | 24.6% | . |
| NYC | New York | Mount Sinai Medical Center ¹² | Article 28 | 46 | 46 | 0 | 10.2% | 10.2% | . | 12.6% | 12.6% | . |
| NYC | New York | NYC-HHC Bellevue Hospital Center | Article 28 | 330 | 285 | 45 | 22.7% | 24.1% | 16.0% | 21.0% | 21.0% | 20.6% |
| NYC | New York | NYC-HHC Harlem Hospital Center | Article 28 | 52 | 52 | 0 | 28.4% | 28.4% | . | 21.1% | 21.1% | . |
| NYC | New York | NYC-HHC Metropolitan Hospital Center | Article 28 | 122 | 104 | 18 | 28.1% | 30.5% | 9.1% | 20.7% | 22.3% | 7.3% |
| NYC | New York | New York Gracie Square Hospital, Inc. ¹³ | Article 31 | 133 | 133 | 0 | 19.8% | 19.8% | . | 19.4% | 19.4% | . |
| NYC | New York | New York Presbyterian Hospital | Article 28 | 91 | 91 | 0 | 13.0% | 13.0% | . | 15.4% | 15.4% | . |
| NYC | New York | New York University Hospitals Center | Article 28 | 22 | 22 | 0 | 19.2% | 19.2% | . | 20.0% | 20.0% | . |
| NYC | New York | St. Luke's-Roosevelt Hospital Center | Article 28 | 110 | 93 | 17 | 19.4% | 20.4% | 16.7% | 16.3% | 19.4% | 8.3% |
| NYC | Queens | Episcopal Health Services Inc. | Article 28 | 43 | 43 | 0 | 20.9% | 20.9% | . | 20.2% | 20.2% | . |
| NYC | Queens | Jamaica Hospital Medical Center ¹⁴ | Article 28 | 52 | 52 | 0 | 21.9% | 21.9% | . | 30.4% | 30.4% | . |
| NYC | Queens | Long Island Jewish Medical Center | Article 28 | 234 | 212 | 22 | 16.4% | 17.6% | 9.5% | 12.2% | 12.4% | 10.8% |
| NYC | Queens | NYC-HHC Elmhurst Hospital Center | Article 28 | 177 | 151 | 26 | 16.6% | 18.3% | 6.5% | 15.5% | 15.7% | 14.3% |
| NYC | Queens | NYC-HHC Queens Hospital Center ¹⁵ | Article 28 | 53 | 53 | 0 | 16.2% | 16.2% | . | 24.9% | 24.9% | . |
| NYC | Queens | New York Flushing Hospital and Medical Center | Article 28 | 18 | 18 | 0 | 38.6% | 38.6% | . | 24.6% | 24.6% | . |
| NYC | Richmond | Richmond University Medical Center | Article 28 | 65 | 55 | 10 | 19.9% | 18.4% | 25.6% | 53.4% | 52.6% | 56.4% |
| NYC | Richmond | Staten Island University Hospital ¹⁶ | Article 28 | 35 | 35 | 0 | 15.3% | 15.3% | . | 16.9% | 16.9% | . |
| Western | Cattaraugus | Olean General Hospital | Article 28 | 14 | 14 | 0 | 14.1% | 14.1% | . | 10.9% | 10.9% | . |

Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates¹

| Region | County ² | Hospital Name ³ | Auspice | Capacity (as of 11/1/17) | | | Metrics Post Discharge ⁴ | | | | | |
|------------------------|---------------------|---|------------|--------------------------|--------------|------------|---|--------------|--------------|---|--------------|--------------|
| | | | | | | | Readmission ^{5, 18} | | | ER Utilization ^{7, 18} | | |
| | | | | | | | For discharge cohort (Feb, 2017-Apr, 2017), % Having Psychiatric Readmission within 30 days | | | For discharge cohort (Feb, 2017-Apr, 2017), % Utilizing Psychiatric Emergency Room within 30 days | | |
| Total | Adult ⁶ | Child | Total | Adult | Child | Total | Adult | Child | | | | |
| Western | Chautauqua | TLC Health Network | Article 28 | 20 | 20 | 0 | 17.6% | 17.6% | . | 5.9% | 5.9% | . |
| Western | Chautauqua | Woman's Christian Assoc. of Jamestown, NY | Article 28 | 40 | 30 | 10 | 17.7% | 20.9% | 12.5% | 11.6% | 9.9% | 14.3% |
| Western | Chemung | St. Joseph's Hospital | Article 28 | 25 | 25 | 0 | 14.2% | 14.2% | . | 7.1% | 7.1% | . |
| Western | Erie | Brylin Hospitals, Inc. | Article 31 | 88 | 68 | 20 | 17.2% | 25.0% | 11.1% | 14.1% | 21.4% | 8.3% |
| Western | Erie | Erie County Medical Center ¹⁷ | Article 28 | 136 | 120 | 16 | 9.9% | 10.9% | 0.0% | 13.5% | 14.1% | 8.1% |
| Western | Monroe | Rochester General Hospital | Article 28 | 30 | 30 | 0 | 7.3% | 7.3% | . | 14.5% | 14.5% | . |
| Western | Monroe | The Unity Hospital of Rochester | Article 28 | 40 | 40 | 0 | 5.2% | 5.2% | . | 12.1% | 12.1% | . |
| Western | Monroe | Univ of Roch Med Ctr/Strong Memorial Hospital | Article 28 | 93 | 66 | 27 | 10.1% | 11.2% | 7.6% | 18.5% | 21.1% | 12.1% |
| Western | Niagara | Eastern Niagara Hospital, Inc. | Article 28 | 12 | 0 | 12 | 0.0% | . | 0.0% | 11.8% | . | 11.8% |
| Western | Niagara | Niagara Falls Memorial Medical Center | Article 28 | 54 | 54 | 0 | 13.4% | 13.4% | . | 14.5% | 14.5% | . |
| Western | Ontario | Clifton Springs Hospital and Clinic | Article 28 | 18 | 18 | 0 | 11.6% | 11.6% | . | 20.9% | 20.9% | . |
| Western | Tompkins | Cayuga Medical Center at Ithaca, Inc. | Article 28 | 26 | 20 | 6 | 15.4% | 12.8% | 22.2% * | 10.8% | 10.6% | 11.1% * |
| Western | Wayne | Newark-Wayne Community Hospital, Inc. | Article 28 | 16 | 16 | 0 | 15.2% | 15.2% | . | 10.9% | 10.9% | . |
| Western | Wyoming | Wyoming County Community Hospital | Article 28 | 12 | 12 | 0 | 2.2% | 2.2% | . | 4.3% | 4.3% | . |
| Western | Yates | Soldiers & Sailors Memorial Hospital | Article 28 | 10 | 10 | 0 | 0.0% * | 0.0% * | . | 0.0% * | 0.0% * | . |
| Statewide Total | | | | 5,941 | 5,161 | 780 | 17.5% | 18.5% | 11.4% | 17.1% | 17.8% | 12.9% |

Updated as of Dec 29, 2017

Source: Concerts, Medicaid, MHARS

Notes:

1. Private (Article 31) hospitals are classified as Institutes for Mental Diseases (IMD), and as such, are not reimbursed by Medicaid for inpatient treatment in their facilities for persons aged 22-64.
2. Data are presented by county of discharging hospital location and age group (child or adult). If an entity operates more than one hospital and county is not available on the records (e.g., managed care encounters), the discharges and readmissions are assigned to one of the hospitals.
3. Hospitals that closed prior to 11/1/2017 are excluded.
4. The denominators for the metrics were based on discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort.
5. Readmissions were defined as State PC and Medicaid psychiatric (Article 28 /31) inpatient events occurring within 1 to 30 days after the Article 28 /31 discharge. The readmission was only counted once.
6. When the psychiatric unit is a child or adolescent unit, persons aged 21 or younger are counted as a child. For adult units, persons aged 16 or older are counted as adults.
7. ER data were extracted from Medicaid claims and encounters only. The numerator included the first Psychiatric ER/CPEP event that occurred within thirty days post discharge.
8. Change at Champlain Valley Physicians Hospital Med Ctr. was made to reduce adult beds by 4(from 22 to 18) effective on 5/25/2017.
9. North Shore University Hospital @ Syosset was not appearing in this report prior to June 2017, due to a Medicaid data matching issue that has now been resolved.
10. Changes at Brunswick Hospital Center, Inc. adult capacity expended by 8 bed from 79 to 87 and child capacity reduced by 8 from 45 to 37 effective on 9/9/2016
11. Changes at Bronx-Lebanon Hospital Center adult capacity is expanded by 6 bed from 73 to 79 effective on 10/20/2017
12. Changes at Mount Sinai Medical Center adult capacity is reduced by 30 bed from 76 to 46 effective on 7/1/2016
13. Changes at New York Gracie Square Hospital, Inc. adult capacity is reduced by 24 bed from 157 to 133 effective on 9/15/2017
14. Changes at Jamaica Hospital Medical Center adult capacity is expanded by 2 bed from 50 to 52 effective on 9/6/2016
15. Changes at NYC-HHC Queens Hospital Center adult capacity is reduced by 18 bed from 71 to 53 effective on 10/16/2017
16. Changes at Staten Island University Hospital adult capacity reduced by 29 bed from 64 to 35 due to one of units has been functionally closed and effective on 7/15/2016
17. Changes at Erie County Medical Center adult capacity expended by 4 bed from 116 to 120 effective on 7/19/2017
18. The Medicaid system has had difficulty with the timely updating of managed care encounter data due to system transitions beginning in the fall of 2015. Therefore the Medicaid encounter data included in the rate calculations may not fully represent all managed care inpatient readmission or ER encounters during this reporting time period.

*Note: This rate may not be stable due to small denominator (less than 20 discharges in the denominator).

Glossary of Services

1. **Supported Housing:** Supported Housing is a category of community-based housing that is designed to ensure that individuals who are seriously and persistently mentally ill (SPMI) may exercise their right to choose where they are going to live, taking into consideration the recipient's functional skills, the range of affordable housing options available in the area under consideration, and the type and extent of services and resources that recipients require to maintain their residence with the community. Supported Housing is not as much considered a "program" which is designed to develop a specific number of beds; but rather, it is an approach to creating housing opportunities for people through the development of a range of housing options, community support services, rental stipends, and recipient specific advocacy and brokering. As such, this model encompasses community support and psychiatric rehabilitation approaches.

The unifying principle of Supported Housing is that individual options in choosing preferred long term housing must be enhanced through:

- Increasing the number of affordable options available to recipients;
- Ensuring the provision of community supports necessary to assist recipients in succeeding in their preferred housing and to meaningfully integrate recipients into the community; and
- Separating housing from support services by assisting the resident to remain in the housing of his choice while the type and intensity of services vary to meet the changing needs of the individual.

2. **Home and Community Based Services Waiver (HCBS):** HCBS was developed as a response to experience and learning gained from other state and national grant initiatives. The goals of the HCBS waiver are to:

- Enable children to remain at home, and/or in the community, thus decreasing institutional placement.
- Use the Individualized Care approach to service planning, delivery and evaluation. This approach is based on a full partnership between family members and service providers. Service plans focus upon the unique needs of each child and builds upon the strengths of the family unit.
- Expand funding and service options currently available to children and adolescents with a diagnosis of serious emotional disturbance and their families.
- Provide services that promote better outcomes and are cost-effective.

The target population of children eligible for the waiver are children with a diagnosis of serious emotional disturbance who without access to the waiver would be in psychiatric institutional placement. Parent income and resources are not considered in determining a child's eligibility.

The HCBS waiver includes six new services not otherwise available in Medicaid:

- **Individualized Care Coordination** includes the components of intake and screening, assessment of needs, service plan development, linking, advocacy, monitoring and consultation.

- **Crisis Response Services** are activities aimed at stabilizing occurrences of child/family crisis where it arises.
 - **Intensive In-home Services** are ongoing activities aimed at providing intensive interventions in the home when a crisis response service is not enough.
 - **Respite Care** are activities that provide a needed break for the family and the child to ease the stress at home and improve family harmony.
 - **Family Support Services** are activities designed to enhance the ability of the child to function as part of a family unit and to increase the family's ability to care for the child in the home and in community based settings.
 - **Skill Building Services** are activities designed to assist the child in acquiring, developing and addressing functional skills and support, both social and environmental.
3. **Mobile Integration Teams (MIT):** Mobile Integration Teams provide an array of services delivered by multidisciplinary professionals and paraprofessionals to successfully maintain each person in his or her home or community. The intent of this program is to address the social, emotional, behavioral and mental health needs of the recipients and their families to prevent an individual from needing psychiatric hospitalization. Examples of services include, but are not limited to, health teaching, assessment, skill building, psychiatric rehabilitation and recovery support, in-home respite, peer support, parent support and skills groups, crisis services, linkage and referral, outreach and engagement. The population to be served includes children and adolescents, their families, and adults. The services provided by this team can be provided in any setting, including an individual's residence, schools, as well as inpatient or outpatient treatment settings.
 4. **Respite Services:** Temporary services (not beds) provided by trained staff in the consumer's place of residence or other temporary housing arrangement. Includes custodial care for a disabled person in order that primary care givers (family or legal guardian) may have relief from care responsibilities. The purpose of respite services is to provide relief to the primary care provider, allow situations to stabilize and prevent hospitalizations and/or longer term placements out of the home. Maximum Respite Care services per Consumer per year are 14 days.
 5. **Outreach:** Outreach programs/services are intended to engage and/or assess individuals potentially in need of mental health services. Outreach programs/services are not crisis services. Examples of applicable services are socialization, recreation, light meals, and provision of information about mental health and social services. Another type of service within this program code includes off-site, community based assessment and screening services. These services can be provided at forensic sites, a consumer's home, other residential settings, including homeless shelters, and the streets.
 6. **Assertive Community Treatment (ACT) Program:** ACT Teams provide mobile intensive treatment and support to people with psychiatric disabilities. The focus is on the improvement of an individual's quality of life in the community and reducing the need for inpatient care, by providing intense community-based treatment services by an interdisciplinary team of mental health professionals. Building on the successful components of the Intensive Case Management (ICM) program, the ACT program has low staff-outpatient ratios; 24-hour-a-day, seven-day-per-week availability; enrollment of consumers, and flexible service dollars. Treatment is focused on individuals who have been unsuccessful in traditional forms of treatment.
 7. **Advocacy/Support Services:** Advocacy/support services may be individual advocacy or systems advocacy (or a combination of both). Examples are warm lines, hot lines, teaching daily

living skills, providing representative payee services, and training in any aspect of mental health services. Individual advocacy assists consumers in protecting and promoting their rights, resolving complaints and grievances, and accessing services and supports of their choice. Systems advocacy represent the concerns of a class of consumers by identifying patterns of problems and complaints and working with program or system administrators to resolve or eliminate these problems on a systemic, rather than individual basis.

- 8. Intensive Case Management (ICM):** In addition to providing the services in the general Targeted Case Management program description above, ICM is set at a case manager/client ratio of 1:12. Medicaid billing requirements for the Traditional ICM model requires a minimum of four (4) 15 minute face-to-face contacts per individual per month. For programs serving Children and Families, one contact may be collateral. The Flexible ICM model requires a minimum of two (2) 15 minute minimum face-to-face contacts per individual, per month but must maintain a minimum aggregate of 4 face-to-face contacts over the entire caseload. For programs serving Children and Families, 25% of the aggregate contacts can be collaterals.
- 9. Crisis Intervention:** Crisis intervention services, applicable to adults, children and adolescents, are intended to reduce acute symptoms and restore individuals to pre-crisis levels of functioning. Examples of where these services may be provided include emergency rooms and residential settings. Provision of services may also be provided by a mobile treatment team, generally at a consumer's residence or other natural setting (not at an in-patient or outpatient treatment setting). Examples of services are screening, assessment, stabilization, triage, and/or referral to an appropriate program or programs. This program type does not include warm lines or hot lines.
- 10. Non-Medicaid Care Coordination:** Activities aimed at linking the consumer to the service system and at coordinating the various services in order to achieve a successful outcome. The objective of care coordination in a mental health system is continuity of care and service. Services may include linking, monitoring and case-specific advocacy. Care Coordination Services are provided to enrolled consumers for whom staff is assigned a continuing care coordination responsibility. Thus, routine referral would not be included unless the staff member making the referral retains a continuing active responsibility for the consumer throughout the system of service. Persons with Medicaid may receive services from this program, however the program does not receive reimbursement from Medicaid.
- 11. Recovery Center:** A program of peer support activities that are designed to help individuals with psychiatric diagnosis live, work and fully participate in communities. These activities are based on the principle that people who share a common condition or experience can be of substantial assistance to each other. Specific program activities will: build on existing best practices in self-help/peer support/mutual support; incorporate the principles of Olmstead; assist individuals in identifying, remembering or discovering their own passions in life; serve as a clearinghouse of community participation opportunities; and then support individuals in linking to those community groups, organizations, networks or places that will nurture and feed an individual's passions in life. Social recreation events with a focus on community participation opportunities will be the basis for exposing individuals to potential passion areas through dynamic experiences, not lectures or presentations.
- 12. Self Help Program:** To provide rehabilitative and support activities based on the principle that people who share a common condition or experience can be of substantial assistance to each other. These programs may take the form of mutual support groups and networks, or they may be more formal self-help organizations that offer specific educational, recreational, social or other program opportunities.

- 13. Clinic Treatment:** A clinic treatment program shall provide treatment designed to minimize the symptoms and adverse effects of illness, maximize wellness, and promote recovery. A clinic treatment program for adults shall provide the following services: outreach, initial assessment (including health screening), psychiatric assessment, crisis intervention, injectable psychotropic medication administration (for clinics serving adults), psychotropic medication treatment, psychotherapy services, family/collateral psychotherapy, group psychotherapy, and complex care management. The following optional services may also be provided: developmental testing, psychological testing, health physicals, health monitoring, and psychiatric consultation. A clinic treatment program for children shall provide the following services: outreach, initial assessment (including health screening), psychiatric assessment, crisis intervention, psychotropic medication treatment, psychotherapy services, family/collateral psychotherapy, group psychotherapy, and complex care management. The following optional services may also be provided: developmental testing, psychological testing, health physicals, health monitoring, psychiatric consultation, and injectable psychotropic medication administration.
- 14. Home-Based Crisis Intervention:** The Home-Based Crisis Intervention Program is a clinically oriented program with support services by a MSW or Psychiatric Consultant which assists families with children in crisis by providing an alternative to hospitalization. Families are helped through crisis with intense interventions and the teaching of new effective parenting skills. The overall goal of the program is to provide short-term, intensive in-home crisis intervention services to a family in crisis due to the imminent risk of their child being admitted to a psychiatric hospital. The target population for the HBCI Program is families with a child or adolescent ages 5 to 17 years of age, who are experiencing a psychiatric crisis so severe that unless immediate, effective intervention is provided, the child will be removed from the home and admitted to a psychiatric hospital. Families referred to the program are expected to come from psychiatric emergency services.
- 15. Crisis Housing/Beds (Adult):** Non-licensed residential program, or dedicated beds in a licensed program, which provide consumers a homelike environment with room, board and supervision in cases where individuals must be removed temporarily from their usual residence.
- 16. Children & Youth Crisis/Respite:** The intent of the crisis/respite program is to provide a short-term, trauma-sensitive, safe and therapeutic living environment, and crisis support to children and adolescents with serious emotional disturbances, their families and residential service providers.

The goal of the program is to:

- Stabilize the crisis situation and support the family or service provider's efforts to maintain the child in his or her current residence;
- Provide immediate access to treatment services;
- Increase engagement with peer and family support services;
- Improve the family/caregiver's ability to respond to the environmental/social stressors that precipitated the need for respite; and
- Decrease the inappropriate use of emergency departments, inpatient hospitalizations and/or other out-of-home placements.

This program is intended to be an opportunity to provide intense support and guidance to the youth and their family/caregivers so as to prevent a reoccurrence of the situation preceding the admission.

- 17. Transportation:** The provision of transportation to and from facilities or resources specified in the Consumer's individual treatment plan as a necessary part of his/her service for mental disability. This includes all necessary supportive services for full and effective integration of the Consumer into community life.

- 18. Flexible Recipient Service Dollars:** Flexible Recipient Service Dollars are not based on a particular fiscal model and are available to provide for a recipient's emergency and non-emergency needs. These funds are to be used as payment of last resort. The use of the service dollars should include participation of the recipient of services, who should play a significant role in the planning for, and the utilization of, service dollars. Services purchased on behalf of a recipient, such as Respite or Crisis Services, should be reported using this Service Dollar program code. Examples of services may include housing, food, clothing, utilities, transportation and assistance in educational, vocational, social or recreational and fitness activities, security deposits, respite, medical care, crisis specialist, homemakers and escorts. This program code cannot be allocated for AHSCM, ICM, SCM, BCM, ACT, RTF Transition Coordinators or Home and Community Based Waiver Services. Agency administrative costs allocated to the operating costs of this program via the Ratio Value allocation methodology are redistributed to other OMH programs in the CFR.
- 19. Family Support Services:** Family support programs provide an array of formal and informal services to support and empower families with children and adolescents having serious emotional disturbances. The goal of family support is to reduce family stress and enhance each family's ability to care for their child. To do this, family support programs operate on the principles of individualized care and recognizing every child and family is unique in their strengths and needs. Connecting family members to other families with children with serious emotional problems helps families to feel less isolated and identify their own strengths. Family support programs ideally provide the following four core services: family/peer support, respite, advocacy, and skill building/educational opportunities.
- 20. OnTrackNY:** OnTrackNY program is intended for early identification of psychotic symptoms and the development of early intervention strategies to mitigate the onset of psychotic disorders. These programs generally focus on serving transition-aged youth and young adults experiencing their first episode of psychosis.
- 21. On-Site Rehabilitation:** Program objective is to assist mentally ill adults living in adult congregate care settings, supervised or supported living arrangements to achieve their treatment and community living rehabilitation goals. Services include one or a combination of:
- (1) consumer self-help and support interventions;
 - (2) community living;
 - (3) academic and/or social leisure time rehabilitation training and support services.
- Services are provided either at the residential location of the resident or in the natural or provider-operated community and are provided by a team that is either located at the residential site or which functions as a mobile rehabilitation team traveling from site to site.
- 22. Pathway Home Teams:** Pathway Home teams are multi-disciplinary, staffed by masters-level clinicians, case managers, registered nurses, and peers. Teams follow the evidence-based practice of the critical time intervention model of care, engaging clients intensively during the first 30 days. The team will work clients until they have settled back into the community and are linked with the services they need. While every situation is unique, this takes about six to nine months on average.
- 23. Family Resource Centers:** Family Resource Centers aim to strengthen secure attachment between parent and child relationships, and to promote healthy social-emotional development in children age five and under from high risk families residing in eight communities in the Bronx and Harlem.
- 24. High Fidelity Wraparound (HFW)** is a youth-guided, family-driven planning process that allows youth and their family achieve treatment goals that they have identified and prioritized, with

assistance from their natural supports and system providers, while the youth remains in his or her home and community setting.

- 25. Mobile Residential Support Teams** focus on transitioning adults living in supported housing apartments into community living. Once these individuals are living in the community, Mobile Residential Support Teams visit them in their homes to help ensure that their basic needs are being met. Teams assist with discharge and community residential support for high risk individuals such as those with co-morbid medical conditions, dual diagnoses of mental illness and/or developmental disability.
- 26. Long Stay Teams** are services that assist with the transition of long stay individuals in State PC or residential settings into structured community settings. Long stay is defined as an adult with a State PC or residential length of stay exceeding one year.