



**Behavioral Health Services Advisory Council  
OASAS Project Review Agenda  
September 11, 2024**

Provider: Casa-Trinity, Inc.  
TYPE: Capital Project  
Project #: CA #2024.035  
Staff: Brian Grandy

Provider: NYU Langone Health System as Sponsor, NYU Langone Hospitals as Operator  
TYPE: New OASAS Provider  
Project #: CA #2024.041  
Staff: Bradford Ellis

**NEW YORK STATE  
OFFICE OF ADDICTION SERVICES AND SUPPORTS  
DIVISION OF QUALITY ASSURANCE & PERFORMANCE IMPROVEMENT**

**Bureau of Certification**

**Full Review Record—Capital Project**

**Summary – August 6, 2024**

Certification Application #	<b>2024.035</b>	Applicant:	<b>CASA-Trinity, Inc.</b>
Reviewer:	<b>Brian Grandy</b>	Entity Type:	<input checked="" type="checkbox"/> Not-for-Profit <input type="checkbox"/> Business <input type="checkbox"/> Article 28
County:	<b>Cattaraugus</b>	Project Address	<b>Weston Manor: 1351 Olean Portville Road, Weston Mills, NY 14788</b> <b>Willow House: 1355 Olean Portville Road, Weston Mills, NY 14788</b>
Service Type(s)	<b>Weston Manor Residential Services (820)</b>  <b>Willow House Residential Services (820)</b>	Project Type:	<input type="checkbox"/> Relocation <input checked="" type="checkbox"/> Current Site <input type="checkbox"/> New site- new services
			<input type="checkbox"/> Acquisition <input checked="" type="checkbox"/> Construction <input checked="" type="checkbox"/> Rehabilitation
Current Capacity	<b>Weston Manor: 16</b> <b>Willow House: 20</b>	Proposed Capacity	<b>Weston Manor: 16</b> <b>Willow House: 20</b>
Project Phase as of	<input type="checkbox"/> Pre-Contract <input checked="" type="checkbox"/> Design <input type="checkbox"/> Construction <input type="checkbox"/> Complete	Projected Cost:	<b>\$ 9,040,000.00</b>
<b>Budget Impact</b> (exclusive of debt service): There will be no impact to the current operating budget as a result of this action.			

**Project Description:**

This action will improve two existing program facilities, Weston Manor and Willow House. These improvements include expansion of space for counseling, staffing, and programming. This Capital Project will also construct a new two-story building that will connect the two programs. This newly constructed building will also identify a new front door which will improve supervision and orientation of the programs. Furthermore, the new building will include American with Disabilities Act (ADA) approved accessibility, a new fire suppression system, a new indoor recreation room, and programming space to include counseling offices and group rooms.

**Need:**

This Capital Project will address necessary requirements for building codes and Part 820 regulations including a fire suppression system and new space for programming. This project is also necessary to ensure full compliance with the ADA requirements.

**Character & Competence:**

CASA-Trinity, Inc. was founded as a non-profit (Known then as CCCASA) in the 1974 with an Outpatient Clinic for alcoholism and substance abuse in Geneseo, NY. In the 1980's, CCCASA expanded their programming to include a school-based substance abuse prevention and education program and a 16-bed community residence for individuals in recovery from chemical dependency. During the 1990's CCCASA expanded their education and prevention programs as well as developed Shelter Plus Care, Supportive Living programming for women and children, and an Employee Assistance Program. From the 2000's and beyond, CASA-Trinity expanded their programming and now includes services in Cattaraugus, Chemung, Livingston, Steuben, and Tioga Counties.

**Overall Financial Condition:**

According to the most recent review, conducted by OASAS' Bureau of Fiscal Audit and Review Unit, the provider's current and overall financial position is fiscally viable until December 31, 2024.

The OASAS Regional Office reviewed the proposed budget and reported no concerns as there is no changes to base level funding.

**Adequacy of program, etc.:**

The proposed action will create functional space for staff and patients in a code compliant facility that meets OASAS regulations. This action will also allow CASA-Trinity to serve the surrounding community with greater efficiency and reliability.

The OASAS Facilities Environment Inspection Unit (FEIU) performed a Floor Plan Review on June 29, 2024, and determined that the space meets requirements.

**Compliance:**

CASA-Trinity, Inc. is currently authorized to provide Part 816.7 Medically Supervised Inpatient Withdrawal & Stabilization Services (1), Part 819 Supportive Living Services (1),

Part 818 Inpatient Rehabilitation Services (1), Part 820 Residential Services (3), and Part 822 Outpatient Services (5).

The most recent recertification review of the Part 816, Section 7 program resulted in minimal compliance and was issued a conditional 1- year operating certificate. Due to this recertification review, the program completed a corrective action plan (CAP) which was approved July 26, 2022.

The most recent recertification review of the Part 818 program resulted in partial compliance and was issued a 2- year operating certificate.

The most recent recertification review of the Part 819 program resulted in partial compliance and was issued a 2- year operating certificate.

The most recent recertification review of two of the three Part 820 programs resulted in partial and substantial compliance and were issued a 2- and 3- year operating certificate. The third 820 program is newly established and therefore has not yet had a recertification review.

The most recent recertification review of four of the five Part 822 programs resulted in substantial compliance and were issued a 3- year operating certificate. One of the five Part 822 programs resulted in partial compliance and was issued a 2- year operating certificate.

**Continuity of Care:**

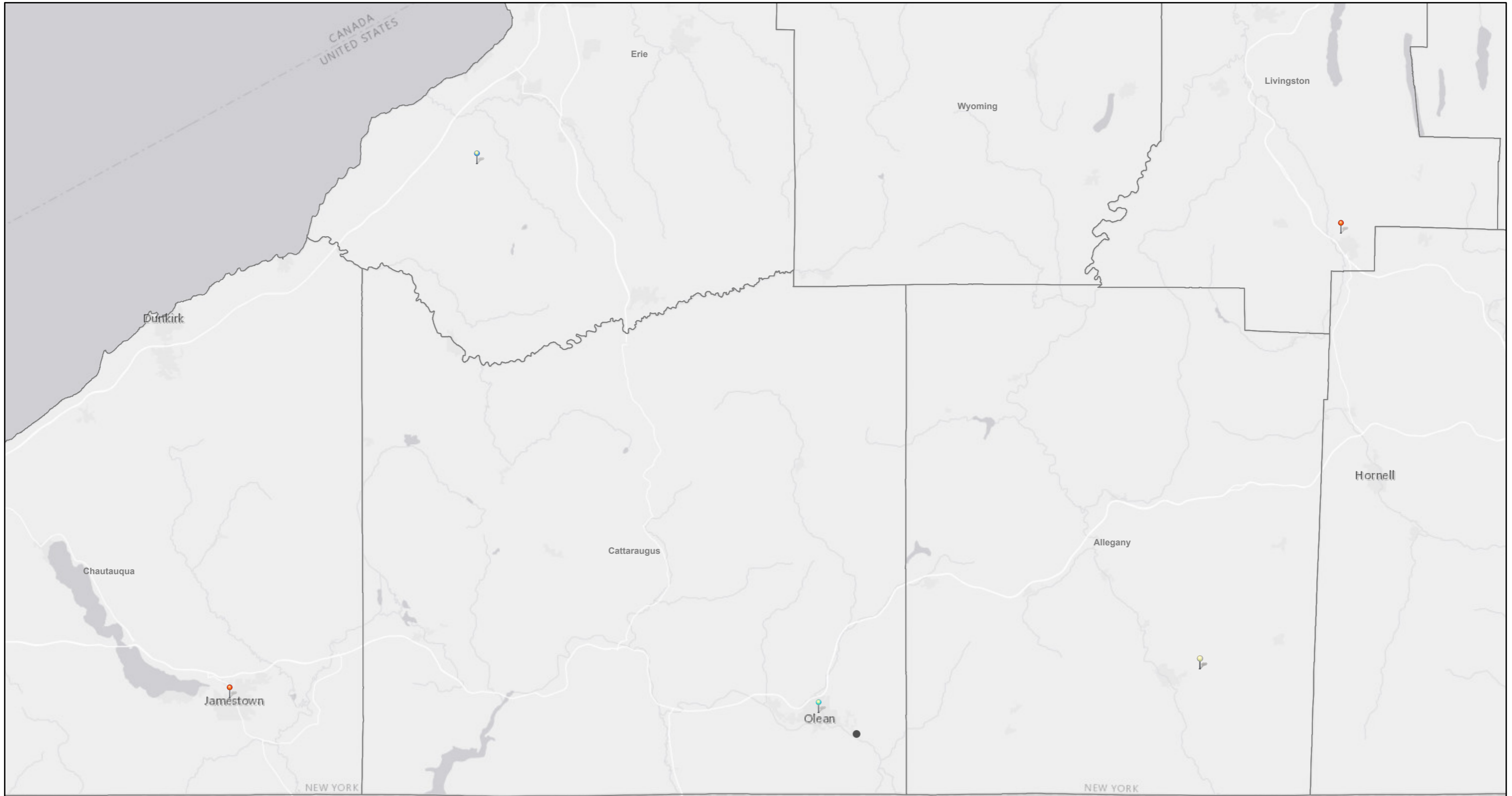
CASA-Trinity, Inc. has been an OASAS-certified provider since 1974 and have a longstanding presence in their community. They provide a continuum of care which includes prevention and education services across the lifespan, Part 816.7 Medically Supervised Inpatient Withdrawal & Stabilization Services, Part 818 Inpatient Rehabilitation Services, Part 819 Supportive Living Services, Part 820 Residential Services, and Part 822 Outpatient Services. CASA-Trinity also engages in mental health counseling in clinics in Geneseo and Elmira. Furthermore, CASA-Trinity provides case management services for medicaid recipients through the Health Home of Upstate New York (HHUNY) program and is an active member of their local community coalition.

<b><u>Recommendations:</u></b>	
<p>LGU: The Cattaraugus County LGU reported that the proposed action will allow for additional services at the CASA-Trinity residential sites and approves of this action.</p>	<p>July 25, 2024</p>
<p>Regional Office: The Western Regional Office reported that these programs are in good standing and recommends that action move forward.</p>	<p>July 31, 2024</p>
<p>Staff: Approve, contingent upon:</p> <ul style="list-style-type: none"> <li>• OASAS inspection and approval of the completed facility.</li> </ul>	
<p>Advisory Council:</p>	

**Final Decision:**

Attachment- Map

# CASA-Trinity Map 244036



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Certified Residential Treatment Programs

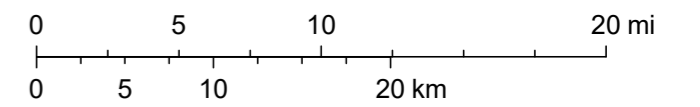
820 Residential Rehabilitation

820 Stabil Rehab Reintegration

Supportive Living

820 Residential Reintegration

County



Esri, HERE, NPS, Esri, HERE, Garmin, USGS, EPA, NPS

**New York State Office of Addiction Services and Supports  
Bureau of Certification (OASAS)**

**M E M O R A N D U M**

**TO:** Dena Holmes

**FROM:** Bradford Ellis

**DATE:** 8/13/2024

**SUBJECT: Application Review**

Applicant: NYU Langone Health System as Sponsor, NYU Langone  
Hospitals as Operator

Application #: CA #2024.041 CON #244042

Purpose: New OASAS Provider

County: Suffolk

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**Project Summary:**

NYU Langone Health System as Sponsor, NYU Langone Hospitals (NYULH) as Operator submitted a Certification Application requesting New York State Office of Addiction Services and Supports' (OASAS) approval, to become a new OASAS provider of Part 822 Outpatient Services, to be located at 550 Montauk Highway Shirley, New York 11967.

NYU Langone Health System as Sponsor, NYU Langone Hospitals as Operator results from a merger between Long Island Community Hospital (LICH) at NYU Langone Health and (NYULH) with and into NYULH, with NYULH being the surviving corporation. NYULH will become the operator of Part 822 Outpatient Services currently operated by NYU Langone Health System as Sponsor, Long Island Community Hospital at NYU Langone Health as Operator. The applicant indicated that there will be no changes in services offered, hours of operation or staffing.

**Need:**

The applicant believes that the community's need for this existing OASAS certified outpatient program will continue. The applicant reports, that they will continue to annually serve 170 people that generate 3650 units of service. According to the OASAS Activity Report from January 1, 2023, to July 10, 2024, the existing program had 101 admissions, 92 discharges and 48 patients assessed for treatment. The community has been accessing treatment from the current provider for decades and the proposed new provider will continue to offer the community these services.

For community outreach, the applicant submitted a letter to the Town of Brookhaven Supervisor explaining the hospital merger and notice of a pending application to OASAS. No feedback supporting or objecting to the action was received.

The 2024 County Plan indicated workforce, access to care and reducing opioid overdose deaths as some of the goals for the County. The Suffolk County Community Mental Hygiene Services 2024 needs report stated that crisis services, prevention, transition age services and workforce were current needs within the county.

On 8/21/2024, OASAS Bureau of Certification received a complete Local Government Review Recommendation from the Suffolk County Community Mental Hygiene Services with a positive recommendation for this action.

The OASAS Bureau of Certification received a completed Regional Office Review Recommendation from OASAS' Long Island Regional Office on 8,21,2024, noting a positive recommendation for this application.

### **Character and Competence:**

The Proposed operator NYU Langone Hospitals was established as a domestic not-for profit corporation in June of 2017. This entity has a governing authority that consists of 5 board members.

The current sponsor NYU Langone Health Systems has been affiliated with OASAS as a Sponsor since March of 2022 with one operating certificate affected by the actions in this application. The most recent review of their services was on September 18, 2023 and determined to be in substantial compliance.

NYUHL holds several licenses issued by other state Offices within New York State:  
Six (6) Operating Certificates issued by the New York Department of Health, Office of Primary Care and Health Systems,  
One (1) Certificate of Authority issued by the State of New York Department of Health, Office of Primary Care and Health Systems,  
One (1) Certificate of Approval issued by the State of New York Department of Health, Office of Primary Care and Health Systems,  
Three (3) Operating Certificates -Hospitals for Mentally Ill Class issued by the New York State Office of Mental Health,  
Twenty-three (23) Operating Certificates -Outpatient facilities Class issued by the New York State Office of Mental Health.  
All Certificates are in good standing with the respective authorization authority.

### **Overall Financial Condition of the Provider:**

The proposed annual budget identifies revenues at \$1,057,822. The revenues were comprised of Client Fees, Medicaid Managed Care, Medicaid Fee for Service, Medicare, Private Health Insurance Managed Care, Private Health Insurance Fee for Service, Federal Grants, and Local Government Grants. The proposed expenses total of \$827,791 was derived from Salaries,



Fridge Benefits, Professional Services, Property Expense and other Non-Personal Services Expenses and General Overhead. These numbers resulted in a proposed profit of \$230,031 for the first year.

OASAS' Regional Office has reviewed the budget and there were no concerns noted.

**Adequacy:**

OASAS Facility Evaluation and Inspection Unit (FEIU) completed a State Environmental Quality Review (SEQR) on July 16, 2024, indicating no further environmental review was needed.

OASAS Facilities Evaluation and Review Unit (FEIU) completed a Floor Plan Review on 8/13/24. The review found that the proposed space appears to comply with the Part 814 facility regulations for service providers.

FEIU conducted a Physical Plant Inspection on 8/7/24 and found no deficiencies at the time of inspection and stated that the facility was compliant.

**Compliance:**

The applicant stated that they would be keeping the existing staff and staffing pattern that is currently in use. The Bureau of Certification has accepted this as an attestation of hiring and no further information regarding staffing is needed. The staffing pattern below meets regulatory requirements and includes:

Director	1.00 FTE	(One Full Time)
Medical Director	.40 FTE	(One Part-Time)
Counselor CASAC	2.00 FTE	(Two Full-time) CASAC
Counselor LMSW	1.00 FTE	(One Full-time) QHP other
Certified Peer Recovery Advocate	.50 FTE	(One Part-time)
Lead Clerical	1.00 FTE	(One Full-time)
Clerical Support	.16 FTE	(One Part-time)

The applicant signed a policies and procedures attestation, as they indicated they will adopt the existing policies and procedures currently in place by the certified provider they are taking over. The attestation was reviewed and accepted. These policies and procedures were also reviewed and accepted during the last certification review of the current provider on 9/18/23.

**Continuity of Care:**

The applicant plans on continuing to use the same core elements of the previous OASAS certified provider to ensure a good continuity of care for their clients.

Provider Name: NYU Langone Health System as Sponsor, NYU Langone Hospitals  
as Operator

Application #: CA #2024.041 CON #244042

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**Recommendation:**

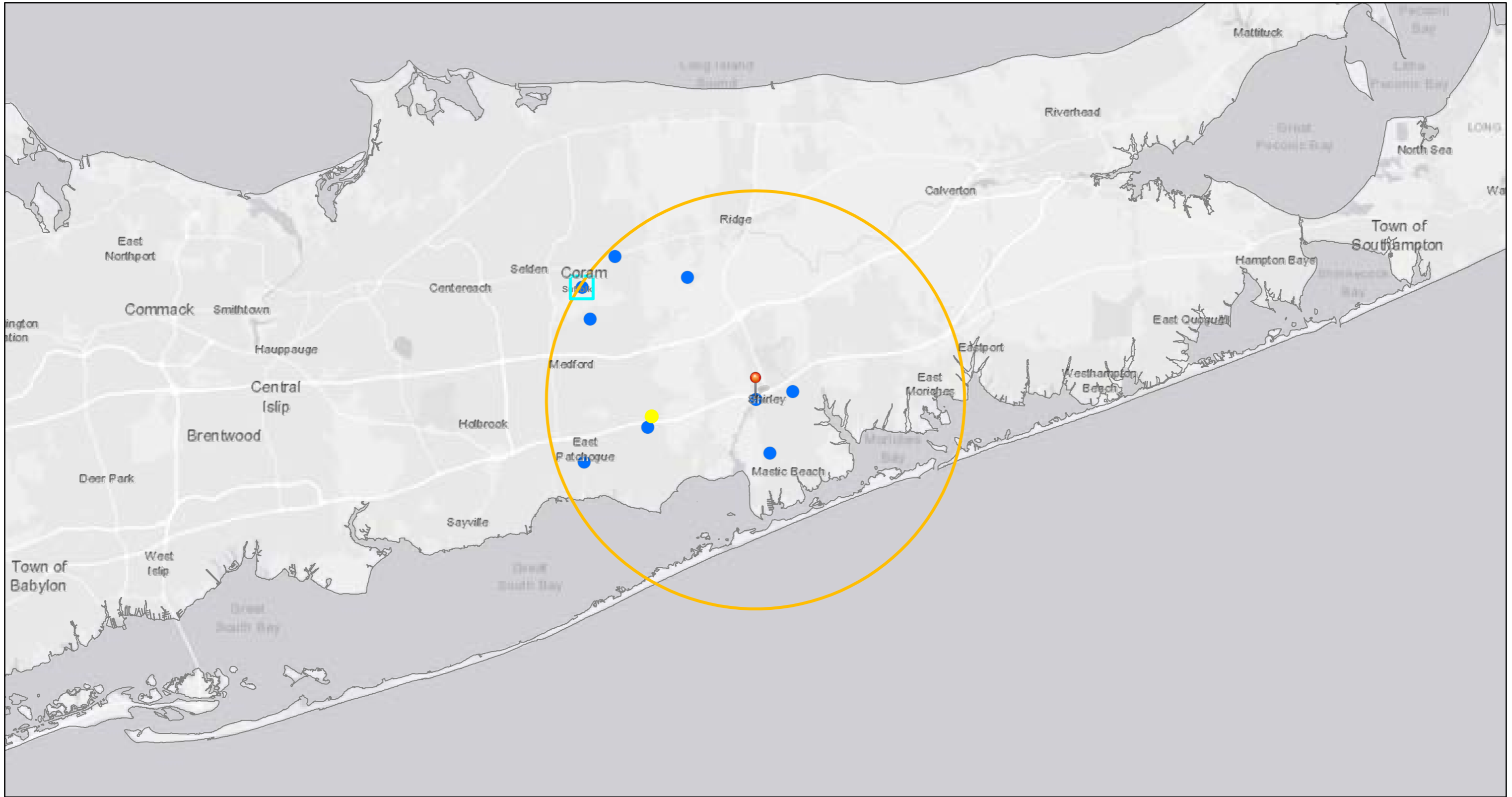
OASAS recommendation is to approve the request from NYU Langone Health System as Sponsor, NYU Langone Hospital as Operator become a New OASAS Provider of Part 822 Outpatient Services with the following contingencies:

- Copy of filing receipt from the NYS Department of State indicating that the amended Certificate of Incorporation, including the OASAS required operating language, have been filed.
- Copy of fully executed Certificate of Merger
- Copy of fully executed lease that includes the rights to re-entry language required by OASAS.

Effective Date: TBD

Attachments: LGU recommendation, maps

# NYU Langone\_244042\_Part 822 OP\_10 miles

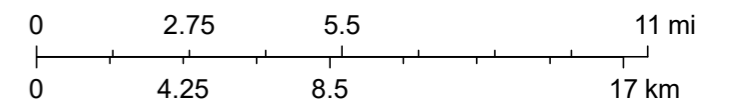


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Certified SUD Outpatient Treatment Programs (includes additional locations)

- Outpatient Clinic
- Outpatient Rehabilitation
- County

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