

New York State

Office of Addiction Services and Supports

1450 Western Avenue

Albany, NY 12203

AGENDA

September 22, 2021

The following Certification Applications will be presented to the Behavioral Health Services Advisory Council Project Review Committee.

Long Island Center for Recovery, LLC	2021.013 – New OASAS Provider	Dena Holmes
Concourse Medical Center	2018.087 – Change of Ownership	Linda Hefferon
Upstate Cerebral Palsy	2020.079 – New OASAS Provider	Linda Hefferon
Institute for Community Living, Inc.	2020.092 – New OASAS Provider	Jennifer Berg
New York Psychotherapy and Counseling Center	2021.001 – New OASAS Provider	Michele Woods
Anchor House, Inc.	2020.048 – Capital Project	Michele Woods

**New York State Office of Addiction Services and Supports
Bureau of Certification (OASAS)**

M E M O R A N D U M

TO: Janet Paloski, Director
Bureau of Certification

FROM: Dena Holmes

DATE: July 8, 2021

SUBJECT: Application Review

Applicant: Long Island Recovery Center, LLC d/b/a Long Island Treatment Center (LITC)

Application#: CA# 2021.013

Purpose: New OASAS Provider- Part 822 Services: Outpatient and Outpatient Rehabilitation Services

County: Nassau

Project Summary:

Long Island Recovery Center, LLC d/b/a Long Island Treatment Center (LITC) submitted a Certification Application requesting the approval of the New York State Office of Addiction Services and Supports (OASAS) to become a New OASAS Provider of Part 822 Outpatient and Outpatient Rehabilitation services to be located at 100 West Nicholai Street, Oyster Bay, NY 11801. Services will be offered Monday, Wednesday and Thursday, 1PM-9PM, Tuesday, Friday and Saturday, 8AM-4PM. Patients admitted in day rehabilitation will be afforded one meal during treatment and all patients will have access to beverage and healthy snacks. This program will utilize a person-centered approach towards treatment and will offer medical and support services to include: medication assisted treatment, trauma informed individual and group counseling, family counseling, and integrated treatment for individuals dually diagnosed with mental health issues. The proposed program anticipates an initial caseload of 25 treatment slots targeted primarily for residents of Nassau County who have completed inpatient treatment from one of the Providers Florida programs and desire to continue treatment within their treatment network.

Need:

Numerous meetings with established service providers, County officials and OASAS Regional Office, took place prior to the submission of this application. The Prior Consultation identified favorable responses from these entities supporting the need for additional outpatient treatment services in that area.

Additionally, a separate meeting was held with the Nassau County Opioid Task Force to introduce LITC and begin to establish relationships with the Nassau County provider network. Part of this meeting was to discuss treatment gaps and establish linkage agreements. Feedback from the Provider community was positive and reinforced the need for services in Nassau County.

The applicant identifies the major sources for patient referrals will be residents of Nassau County who have completed treatment out-of-state, returned home and are seeking continued assistance to sustain their recovery.

According to the applicant, "Nassau County has experienced a 44% increase in non-fatal overdoses and a 24.4% increase in fatal overdoses from January 2020 to February 2021. SAMHSA has continued to stand by its decades long surveys and findings showing about 10% of people in need of treatment (22 million plus, pre-pandemic) are receiving treatment, nationwide. Nassau County has a population of 7.6 million people. Interpreting SAMHSA findings would suggest that Nassau residents in need of treatment total about 382,000."

The need for services is in alignment with the Nassau County Plan for services as outlined in the 2021 County plan. The Nassau County Department of Human Services reviewed and recommended approval of this application on May 26, 2021, noting the identified location is appropriate for addiction treatment services accessible to the residents of Nassau County.

The OASAS Long Island Regional Office reviewed and recommended approval of this application on June 28, 2021 noting support for this application.

Character and Competence:

LITC was established as a Domestic Limited Liability Corporation on July 3, 2020 with two owner/members, Jesse Reuter and Alexander Riley, each holding 50% of the shares and voting rights.

Affiliated entities include, Recreate Behavioral Health Network, LLC, Recreate Behavioral Health Network, LLC d/b/a Allure Detox, Recreate Life Counseling, LLC, and Garden State Treatment Center, LLC which Jesse Reuter and Alexander Riley are listed as owners. These entities offer substance use disorder treatment in the states of Florida and New Jersey and are recognized for excellence in substance abuse treatment by the Joint Commission.

- Recreate Behavioral Health Network, LLC- three licenses through the Florida Department of Children and Families and in good standing.
- Recreate Behavioral Health Network, LLC d/b/a Allure Detox- two licenses through Florida Department of Children and Families and in good standing.
- Garden State Treatment Center, LLC- one license through the State of New Jersey Department of Health and in good standing.
- Recreate Life Counseling- two licenses through the Florida Department of Children and Families and in good standing.

Jesse Reuter began working in the field of substance use disorder services as a drug and alcohol counselor in 2014. In 2015, he accepted a position as a lead outreach coordinator where he was responsible for building the client census and all aspects of business

development. Later in 2015, he established Recreate Behavioral Health Network which consists of Recreate Life Counseling and Allure Detox. In 2018, Garden State Treatment Center was established. As the CEO, Mr. Reuter leads facility departments and collaborates as part of a multi-disciplinary team. He is responsible for overseeing the facilities growth maintaining best practices, and overall functioning. Mr. Reuter received an undergraduate degree in psychology and is currently pursuing a master's degree in mental health and addiction counseling. He is a certified addiction professional in the state of Florida.

Alexander Riley is the President of Recreate Behavioral Health Network. He joined the team of Recreate Life Counseling and Allure Detox in 2016 as the Director of Business Development. He moved to New Jersey in 2018 when Garden State Treatment Center opened its doors to provide oversight. Mr. Riley supervises all aspects of marketing, admissions, and operations. Prior to joining Recreate, he worked as an outreach coordinator for a substance use disorder and mental health program in the state of Florida. For the past eight years, Mr. Riley is a certified interventionist in the state of New Jersey, specializing in helping families of the struggling addict.

Overall Financial Condition of the Provider:

OASAS Fiscal Audit and Review Unit completed a fiscal viability review on May 18, 2021 and determined (LITC) to be fiscally viable. The applicant has sufficient funds to sustain the initial 6-month period while it is generating a patient caseload and revenue is expected to come from Medicaid (managed care), Private Health Insurance (fee for service) and client/patient fees. The applicant is not requesting any State Aid.

The proposed budget includes a projected annual income of \$272,500. This is based on a total of \$605,000 in expenses and \$877,500 in revenue.

Adequacy:

A fully executed lease is in place and includes the required OASAS right to re-entry clause.

OASAS Facility Evaluation and Inspection Unit completed a floor plan review on May 10, 2021 and noted the facility appeared to be appropriate for its intended use.

A State Environmental Quality Review (SEQR) was completed on May 10, 2021 and found that the proposed action does not require further environmental review.

A virtual final physical plant inspection was completed on June 25, 2021 and the physical plant was deemed acceptable by OASAS.

Compliance:

The proposed staffing plan for services are in line with Part 822 Regulatory requirements and include: One Medical Director (MD), (1) full-time CASAC, (1) full-time Qualified Health Professional in a discipline other than a CASAC, and certified peers. Additional staffing for day rehabilitation will include a Registered Nurse, and either an occupational or vocational rehabilitation counselor.

Policies and procedures have been reviewed for regulatory compliance and are accepted by OASAS.

Continuity of Care:

Treatment services will be in alignment with patient centered treatment plans and will include the necessary referral and linkages with agencies for services that cannot be provided by Long Island Recovery Center.

The Provider has outreached to existing service providers in Nassau County and has offered to work as part of the network of providers and are establishing memorandum of understanding agreements.

Recommendation:

OASAS recommendation is to approve the request from Long Island Recovery Center, LLC to become a NEW OASAS Provider of Part 822 outpatient and outpatient rehabilitation services with the following contingencies:

- Verification of the hiring of sufficient staff to meet regulatory requirements
- Filing receipt from the NYS Department of State indicating that the amended Certificate of Incorporation/Articles of Organization, including the OASAS required language, have been filed.

Attachments: – LGU recommendation, maps

NEW YORK STATE OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES
LOCAL GOVERNMENTAL UNIT REVIEW REPORT
(Chemical Dependence Services Certification Actions)

Applicant's Legal Name Long Island Recovery Center, LLC	Application No. 2021.013
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Local Governmental Unit
Nassau County Office of Mental Health Chemical Dependency and Developmental Disabilities Services

In conjunction with the certification action(s) submitted by the above applicant, you are requested to review and provide comments on the proposed actions relative to the provision of chemical dependence (alcoholism and/or substance abuse) services in your jurisdiction. Your comments are important in evaluating the merits of the action(s). By regulation the review period, in calendar days, is fifteen (15) days for administrative review and forty-five (45) days for full review. When completed, please forward this review report to the appropriate OASAS Field Office and the Certification Bureau. The Field Office will take your comments and recommendations into account when performing their concurrent review of the proposed action(s). If you require additional time to complete your review, you should contact the Certification Bureau to request an extension. In completing the responses, use additional sheets as necessary. **Your cooperation in providing complete and thorough responses is appreciated as incomplete replies will delay the processing of this application.**

1. Consistency of Program Description and Site Location with Local/OASAS Requirements	In the case of new providers and/or new services, is the program description and site location consistent with local/OASAS requirements: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below.
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2. Provider Operational Performance	Is the operational performance of this provider satisfactory? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Regardless of the answer, provide a description of the operational performance of the provider below. They will be a new provider in Nassau County. The application reflects having operational experience in services rendered outside of NYS such as Florida and New Jersey.
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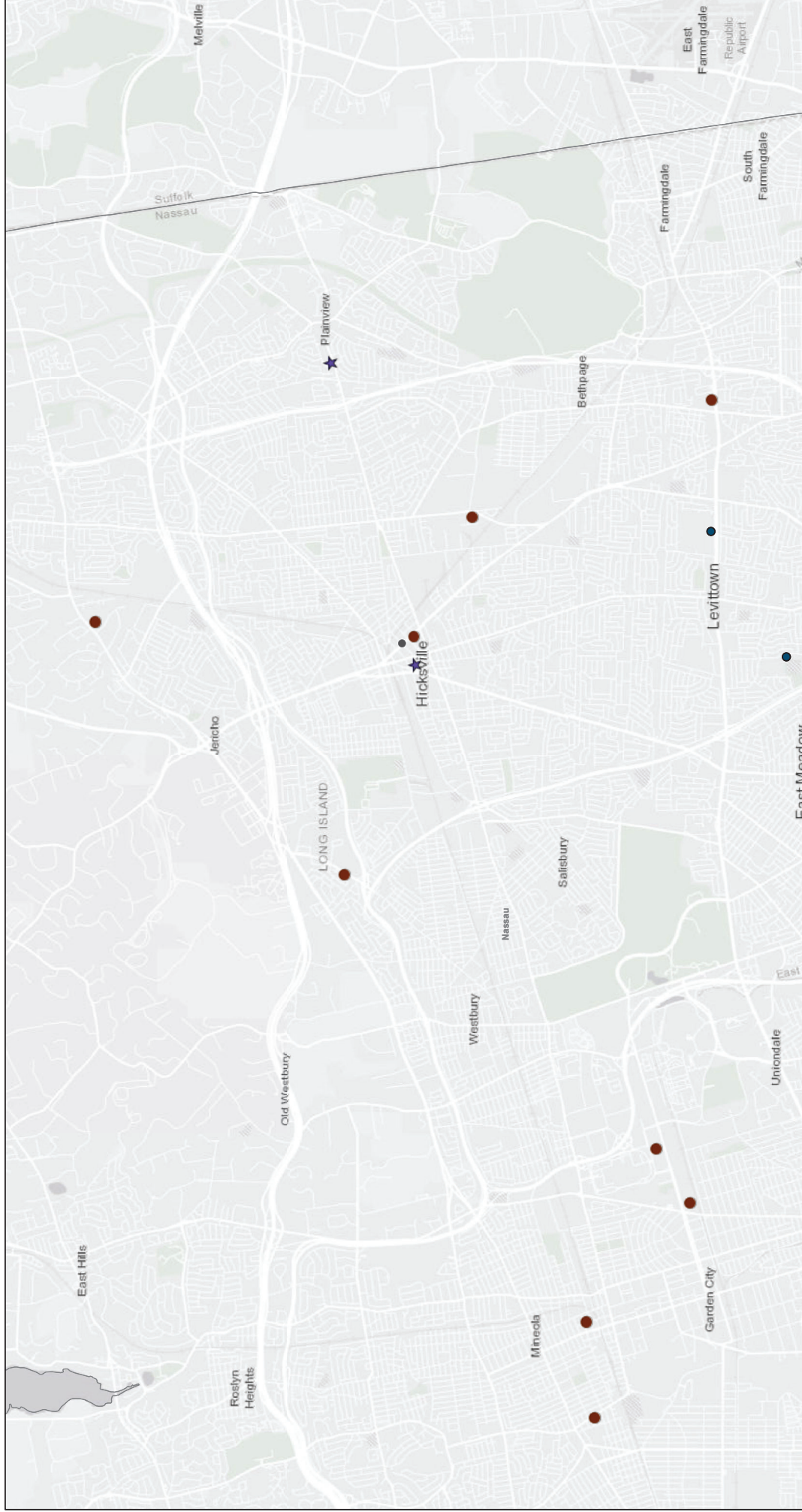
3. Adequacy of Financial Plans	Is the financial plan in the proposal adequate and acceptable? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below. Where applicable, please note any comments related to Medicaid policy and/or reimbursement practices below.
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4. Consistency with Local Plans and Local/Community Needs	Is the action consistent with local plans and/or does it meet community needs? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Provide explanation below.
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5. Provider Standing in the Community	Is there any known information regarding the provider's standing in the community? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Applicable As applicable, provide all known information below or on additional sheets attached to this report.
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<p>6.</p> <p>Program Location</p>	<p>In answering this question, the following should be taken into consideration:</p> <ul style="list-style-type: none"> (a) the location is suitable for a Chemical Dependency Treatment Program; (b) the accessibility of public transportation and adequate parking; and (c) any other notable observations. <p>Please describe your assessment of the circumstances noted.</p> <p>The application reflects that the identified location is appropriate for addiction treatment services accessible to the residents of Nassau County.</p>		
	<p>Please check one box.</p> <p><input type="checkbox"/> LGU has visited the proposed location. Date of Visit: _____</p> <p><input checked="" type="checkbox"/> LGU has not visited, but has sufficient personal knowledge to attest to its suitability.</p> <p><input type="checkbox"/> N/A – Please explain, for example, N/A may be appropriate in applications that involve relocation within an existing building. However, factors such as capacity increase, even in an existing building, would not be appropriate for an “N/A” response.</p>		
<p>7.</p> <p>Current Status of Existing Programs</p>	<p>Are you aware of any community issues with other programs operated by this provider, or in the case of relocation, this program’s current location? (i.e., any issues around loitering, public safety, etc.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Please describe any issues.</p>		
<p>8.</p> <p>Community Response</p>	<p>Please describe your knowledge of the applicant’s outreach to the local community (e.g., Community Service Boards, Community Boards, Planning Boards, Neighborhood Coalitions, other local municipalities). Please summarize your knowledge of community input, including any existing or likely community concerns, as well as any recommendations.</p> <p>The applicant's application describes in detail the numerous outreach efforts and communication established with local providers describing their new services and exploring partnership opportunities.</p>		
<p>9.</p> <p>Other Comments</p>	<p>Provide additional comments.</p>		
<p>10.</p> <p>LGU Recommendation</p>	<p><input checked="" type="checkbox"/> Approve <input type="checkbox"/> Disapprove</p>	<p>Signature of Authorized LGU Official</p> <p><i>Tracya Perry</i></p>	<p>Date</p> <p>5/26/21</p>

Long Island Recovery Center, LLC Map



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County

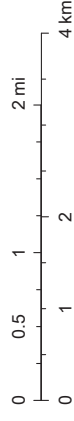
AllCert - Cert Applications

★ Outpatient

● 5. Outpatient: Outpatient Clinic

● AllCert - Outpatient Additional Location

1:72,224



Nassau County, Esri, HERE, Garmin, INCREMENT P, USGS, EPA, Esri, HERE

Nassau County, Esri, HERE, Garmin, INCREMENT P, USGS, EPA | Esri, HERE |

Web AppBuilder for ArcGIS

Bureau of Certification and Systems Management

M E M O R A N D U M

TO: Janet Paloski, Director
Bureau of Certification

FROM: Linda Hefferon

DATE: August 18, 2021

SUBJECT: Application Review
Applicant: Concourse Medical Center, Inc.
Application #: 2018-087
Purpose: Change in Ownership
Part 822 Opioid Treatment Programs at 880 Morris Avenue,
Bronx, New York
County: Bronx County

Project Summary:

Concourse Medical Center submitted a Certification Application requesting a change in ownership of their Part 822 Opioid Treatment program located at 880 Morris Avenue, Bronx, New York (Operating Certificates #11295).

Concourse Medical Center was originally owned by Mr. Fred Geller (50%) and Dr. Emigdio Bucobo (50%). Upon Mr. Geller's passing in 2000, his ownership in Concourse reverted to Mrs. Maxine Geller, his wife/heir. In 2013, Dr. Bucobo sold his 50% ownership in Concourse Medical Center to Mrs. Geller, which resulted in Mrs. Geller owning 100% of the shares in Concourse Medical Center.

The transfer of Mr. Geller's shares to Mrs. Geller, as his heir, was presented at the February 6, 2003 PHHPC meeting and was approved by the NYS Department of Health on March 26, 2003. The New York State Department of Health approved the transfer of ownership of Dr. Bucobo's shares to Mrs. Geller on July 5, 2013.

The provider had been unaware that they needed OASAS' approval, in addition to the Department of Health's approval to make this change, therefore, this change in ownership had not been presented to, or approved by, OASAS upon the passing of Mr. Geller, or the sale of Dr. Bucobo's shares to Mrs. Geller. This application seeks to make Mrs. Geller's sole ownership of Concourse Medical Center official by receiving OASAS' approval.

Need:

The New York City Local Governmental Unit recommendation in support of this application was received from the New York City Department of Health and Mental Hygiene on July 16, 2021.

OASAS' New York City Regional Office recommendation in support of this application was received on August 12, 2021.

As this is a change in ownership only, involving the transfer of shares from a deceased husband to his wife, and, since there will be no changes in the location or operation of Concourse Medical Center resulting from this proposed transfer, it was determined by both the Regional Office and the NYC Department of Health and Mental Hygiene that Community Notification was not necessary.

Character and Competence:

Concourse Medical Center has been an OASAS Certified provider of Part 822 Opioid Treatment services since 2002. Prior to that Concourse Medical Center was certified by OASAS as Concourse Medical P.C. since at least 1995.

Concourse Medical Center is certified to provide Opioid Treatment Services at a single location with a capacity of 600 patients under Operating Certificate #11295.

A Character and Competence Applicant Review submitted with this Application and reviewed and there are no areas of concern.

Mrs. Geller served as the Vice President of Concourse Medical Center since 2003 and has served as its President since 2013. She has been and continues to be involved in the day-to-day operations of Concourse.

Overall Financial Condition of the Provider:

A fiscal viability review conducted by OASAS' Fiscal Audit and Review Unit found Concourse Medical Center to be financially stable. Their fiscal viability scores are on the low side due to the fact that Mrs. Geller has been making monthly installment payments to Dr. Bucobo for the purchase of his ownership shares. The remainder of the balance Mrs. Geller owes to Dr. Bucobo should be paid within the next two years.

Compliance:

Concourse was issued a one-year Conditional Operating Certificate effective October 1, 2020. This Operating Certificate term is based on their low fiscal viability scores.

There will be no change to the currently utilized policies and procedures, population served, staffing or budget as a result of this application.

Adequacy:

There will be no change to the current location and no construction, rehabilitation, etc. of the building as a result of this application.

The lease for Concourse Medical Center was reviewed and it does contain OASAS' required right to re-entry language.

Recommendation:

OASAS recommends approval of the request from Concourse Medical Center for a change in ownership of their Part 822 Opioid Treatment Program with the following contingencies:

- Receipt of filing receipt from the NYS Department of State confirming that the amended Certificate of Incorporation has been filed with them.

Effective Date: Upon BHSAC recommendation and satisfaction of contingency.

Attachments: LGU recommendation

LOCAL GOVERNMENTAL UNIT REVIEW REPORT

(Chemical Dependence Services Certification Actions)

Applicant's Legal Name Concourse Medical Center	Application No. 2018-087
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Local Governmental Unit NYC Department of Health and Mental Hygiene
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In conjunction with the certification action(s) submitted by the above applicant, you are requested to review and provide comments on the proposed actions relative to the provision of chemical dependence (alcoholism and/or substance abuse) services in your jurisdiction. Your comments are important in evaluating the merits of the action(s). By regulation the review period, in calendar days, is fifteen (15) days for administrative review and forty-five (45) days for full review. When completed, please forward this review report to the appropriate OASAS Field Office and the Certification Bureau. The Field Office will take your comments and recommendations into account when performing their concurrent review of the proposed action(s). If you require additional time to complete your review, you should contact the Certification Bureau to request an extension. In completing the responses, use additional sheets as necessary. **Your cooperation in providing complete and thorough responses is appreciated as incomplete replies will delay the processing of this application.**


1. Consistency of Program Description and Site Location with Local/OASAS Requirements	<p>In the case of new providers and/or new services, is the program description and site location consistent with local/OASAS requirements: <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable Provide explanation below.</p> <p>This is a proposal for change in ownership of Concourse Medical Center Inc. which is an OASAS licensed Opioid Treatment Program (OTP) in the Bronx, NY. Maxine Gellar, an existing owner, will become full owner (100%) when Emigdio Bucobo, MD who currently owns 50% of the shares of the corporation transfers those shares to her. The OTP provides Medication for Addiction Treatment (MAT) including Methadone and Buprenorphine and is a NYS Opioid Overdose Prevention Program.</p>
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2. Provider Operational Performance	<p>Is the operational performance of this provider satisfactory? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable Regardless of the answer, provide a description of the operational performance of the provider below.</p> <p>This is not an allocated provider and the LGU can only go by the information provided in the application, which appears to indicate that operational performance of this provider would be satisfactory.</p>
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3. Adequacy of Financial Plans	<p>Is the financial plan in the proposal adequate and acceptable? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below.</p> <p>This is not an allocated provider and the LGU can only look at the information provided in the application which appears to be acceptable.</p> <p>Where applicable, please note any comments related to Medicaid policy and/or reimbursement practices below.</p>
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4. Consistency with Local Plans and Local/Community Needs	<p>Is the action consistent with local plans and/or does it meet community needs? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Provide explanation below.</p> <p>Concourse Medical Center Inc. operates an OTP in the Highbridge section of the Bronx which ranks second amongst NYC neighborhoods with the highest overdose deaths in 2019 and in prior years from 2016-2019.</p>
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5. Provider Standing in the Community	<p>Is there any known information regarding the provider's standing in the community? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable As applicable, provide all known information below or on additional sheets attached to this report.</p> <p>Concourse Medical Center is an OASAS licensed Opiate Treatment Program (OTP) operating the in the Bronx for over 3 decades and offering services in both Spanish and English to people with Opioid Use Disorder (OUD) with the use of MAT methadone and buprenorphine. They also serve specialized populations such as Persons who have experienced trauma and to LGBTQ+ clients.</p>
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<p>6.</p> <p>Program Location</p>	<p>In answering this question, the following should be taken into consideration:</p> <p>(a) the location is suitable for a Chemical Dependency Treatment Program; (b) the accessibility of public transportation and adequate parking; and (c) any other notable observations.</p> <p>Please describe your assessment of the circumstances noted.</p> <p>The program is located at 880 Morris Avenue and intersects with 161st Street which is a busy intersection surrounded by several high rise apartment complex, a strip mall, Criminal and Family Court houses, NYS Parole office and NYC Department of Social Services, Medical offices, Pharmacies and augmented by other substance use/misuse treatment programs and NYC Syringe Service Program. The program is accessible by public transportation (subway 4, C, D and several buses). Parking is available on the streets and in local lots.</p> <hr/> <p>Please check one box.</p> <p><input type="checkbox"/> LGU has visited the proposed location. Date of Visit: _____ <input type="checkbox"/> LGU has not visited, but has sufficient personal knowledge to attest to its suitability. <input checked="" type="checkbox"/> N/A – Please explain, for example, N/A may be appropriate in applications that involve relocation within an existing building. However, factors such as capacity increase, even in an existing building, would not be appropriate for an “N/A” response.</p> <p>N/A due to change of ownership and no changes to existing licensed program location</p>		
<p>7.</p> <p>Current Status of Existing Programs</p>	<p>Are you aware of any community issues with other programs operated by this provider, or in the case of relocation, this program’s current location? (i.e., any issues around loitering, public safety, etc.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Please describe any issues.</p> <p>The LGU is not aware of any such issues.</p>		
<p>8.</p> <p>Community Response</p>	<p>Please describe your knowledge of the applicant’s outreach to the local community (e.g., Community Service Boards, Community Boards, Planning Boards, Neighborhood Coalitions, other local municipalities). Please summarize your knowledge of community input, including any existing or likely community concerns, as well as any recommendations.</p> <p>There will be no changes in the operation or location of this program resulting from the proposed transfer of ownership shares, therefore it was determined that outreach to the community board was not necessary.</p>		
<p>9.</p> <p>Other Comments</p>	<p>Provide additional comments.</p> <p>N/A</p>		
<p>10.</p> <p>LGU Recommendation</p>	<p><input checked="" type="checkbox"/> Approve <input type="checkbox"/> Disapprove</p>	<p>Signature of Authorized LGU Official</p> 	<p>Date</p> <p>7/16/21</p>

**New York State Office of Addiction Services and Supports
Bureau of Certification**

M E M O R A N D U M

TO: Janet Paloski, Director
Bureau of Certification

FROM: Linda Hefferon

DATE: September 3, 2021

SUBJECT: Application Review

Applicant: Upstate Caring Partners, Inc. as Active Parent, Upstate
Cerebral Palsy, Inc. as Operator

Application #: 2020-079

Purpose: New OASAS Provider

County: Oneida County

Project Summary:

Upstate Cerebral Palsy, Inc. submitted a Certification Application requesting to become a new OASAS provider of Part 822 Outpatient services to be located at 1427 Genesee Street, Utica, New York.

Upstate Cerebral Palsy currently has an OMH certified outpatient mental health clinic located at this address, as well. The provider has stated that the addition and co-location of the Part 822 Outpatient service to their current OMH certified services will enable them to provide comprehensive behavioral health services to patients presenting with co-occurring disorders. They believe that this integrated approach will allow those seeking mental health services and experiencing a substance use disorder to be treated in a familiar setting.

Upstate Cerebral Palsy became a Certified Community Behavioral Health Clinic (CCBHC) in 2020 through a grant funded by SAMHSA, and has committed to increasing access to, and improving the quality of community mental health and substance use disorder treatment services through an integrated service delivery model.

Services provided in this OASAS certified program will include: Medication Assisted Treatment, individual, family and group counseling, overdose prevention, peer support and family services, stress management and aftercare counseling.

The clinic will operate from 8:00 am – 4:30 pm Monday through Friday.

Upstate Cerebral Palsy has said that their treatment philosophy is to create seamless continuity of care for vulnerable populations in the community. It is their intention to build upon

the success of evidence-based practices for treatment by integrating community-based partners and services in the area. This holistic approach not only treats chemical dependence, but also helps to identify the root causes of the individual's chemical dependence and addresses the social detriments to health.

Need:

Upstate Cerebral Palsy anticipates providing services mainly to those also receiving treatment at their OMH-certified services, and will focus mainly on clients with co-occurring disorders, but will welcome others in need of treatment in Oneida County and the surrounding areas.

According to the applicant, one in five of the current clients in their OMH Certified Article 31 Outpatient Clinic have co-occurring mental health and substance use disorders.

The applicant believes that an individual being able to have both Mental Health and Substance Abuse services on the same day, in the same, familiar location, will promote engagement and reduce barriers to receiving needed treatment.

Upstate Cerebral Palsy's Executive Director sent letters in October of 2020 to businesses and apartments in the area notifying them of their intent to add substance use disorder services to their existing OMH certified services. They also communicated with other OASAS certified outpatient service providers in the area to notify them of Upstate Cerebral Palsy's intent to add these services, as well.

The Oneida County 2020 Local Services Plan identified that Mental Health and Substance Use Disorder services are not only unmet, but have worsened due to an increase in need, as well as a shortage of professionals and services.

The Oneida County Department of Mental Health provided a recommendation in support of this application on June 29, 2021.

OASAS' Central Regional Office provided a recommendation in support of this application on July 23, 2021.

Character and Competence:

Upstate Cerebral Palsy was incorporated in 1950 as the Utica Cerebral Palsy and Handicapped Children's Association, Inc. Their parent organization, Upstate Caring Partners, has been incorporated since 2010.

The Upstate Cerebral Palsy Board is made up of 19 individuals. One individual on the board has significant addiction disorder experience, having held positions of Clinical Director and Clinical Coordinator since 2009, and two have some prior addiction disorder experience/education, with one being the Deputy Police Chief for the City of Utica who has taken several courses to prepare him for working with those experiencing mental health or substance use disorder crises, and the other being a Division II college hockey coach with twenty years of experience training coaches and intercollegiate staff in addressing substance use among college students and athletes.

Two members of Upstate Cerebral Palsy's Board are also members of the Upstate Caring Partners Board, one of whom is the first Board Member noted above as having some addiction disorder education.

Character and Competence was reviewed and there are no areas of concern.

Upstate Cerebral Palsy has been certified by the Office of Mental Health (OMH) since 1989. They are currently certified by OMH to operate an Article 31 outpatient clinic treatment program, congregate treatment and apartment treatment services. OMH has indicated that Upstate Cerebral Palsy is in good standing with their agency.

Overall Financial Condition of the Provider:

OASAS' Fiscal Audit and Review Unit reviewed the financial information provided by Upstate Cerebral Palsy and found them to be fiscally viable.

The budget included with the application indicates income of \$682,387, primarily from Medicaid Managed Care, but also from Medicaid-fee for service, Medicare, client fees, private insurance and federal grants. Total listed expenses for this service are listed as \$682,054. The budget as presented is a balanced budget.

Adequacy:

The building the program is to be located in is owned by the applicant, therefore, there was no lease review for this site.

A floor plan review and State Environmental Quality Review (SEQR) were conducted by Lee Kalle of OASAS' Facilities Evaluation and Inspection Unit (FEIU) on March 18, 2021. Lee found that the proposed space appears to comply with OASAS' Part 814 Facilities Regulations, and that there would be no need for further environmental review as this is a pre-determined SEQR Type II non-significant action.

Lee also indicated that a Physical Plant Inspection will be performed by FEIU in the future. He has stated that this inspection is not necessary for final approval of the application as this is an already existing and established facility.

Compliance:

Upstate Cerebral Palsy plans to serve approximately 100 clients annually. They anticipate that approximately 50 of these clients will receive Medication Assisted Treatment.

The staffing for this proposed service includes: .50 FTE Clinical Director, .25 FTE Medical Director, .25 FTE Vice President of Behavioral Health Services, .50 FTE Nurse Practitioner of Psychiatry, .50 FTE Registered Nurse, .50 FTE Licensed Practical Nurse, .50 FTE Licensed Master Social Worker, .50 Licensed Mental Health Counselor, 1.0 FTE CASAC, 1.0 FTE QHP -other, 1.0 FTE Peer Recovery Advocate, .50 FTE Behavioral Health Navigator and .25 FTE Treatment Coordinator (the application indicates that each of these positions will be filled with one person, working the percentage of time indicated).

Upstate Cerebral Palsy's policies and procedures have been reviewed for regulatory compliance and are accepted by OASAS.

Continuity of Care:

Upstate Cerebral Palsy has stated that they have "an extensive history of collaborative and innovative partnerships with community providers". They plan to continue those partnerships, as well as to expand them and have established formal agreements with area providers for services that they are not certified for or not able to provide themselves.

Since this new service will be located in the same location as their OMH certified outpatient services, and it is anticipated that many of those receiving services on the OMH program will also become clients in the OASAS certified service, any psychiatric care will be provided by the OMH certified service.

Recommendation:

OASAS recommendation is to approve the request from Upstate Cerebral Palsy to become a new OASAS certified provider of Part 822 Outpatient Services with the following contingencies:

- Proof of hiring appropriate and qualified staff
- Copy of filing receipt from NYS Department of State as proof of filing amended Certificate of Incorporation adding OASAS' required corporate purpose language.

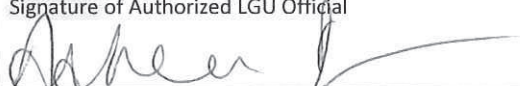
Effective Date: Upon BHSAC recommendation and satisfaction of contingencies.

Attachments: LGU recommendation, maps

LOCAL GOVERNMENTAL UNIT REVIEW REPORT

(Chemical Dependence Services Certification Actions)

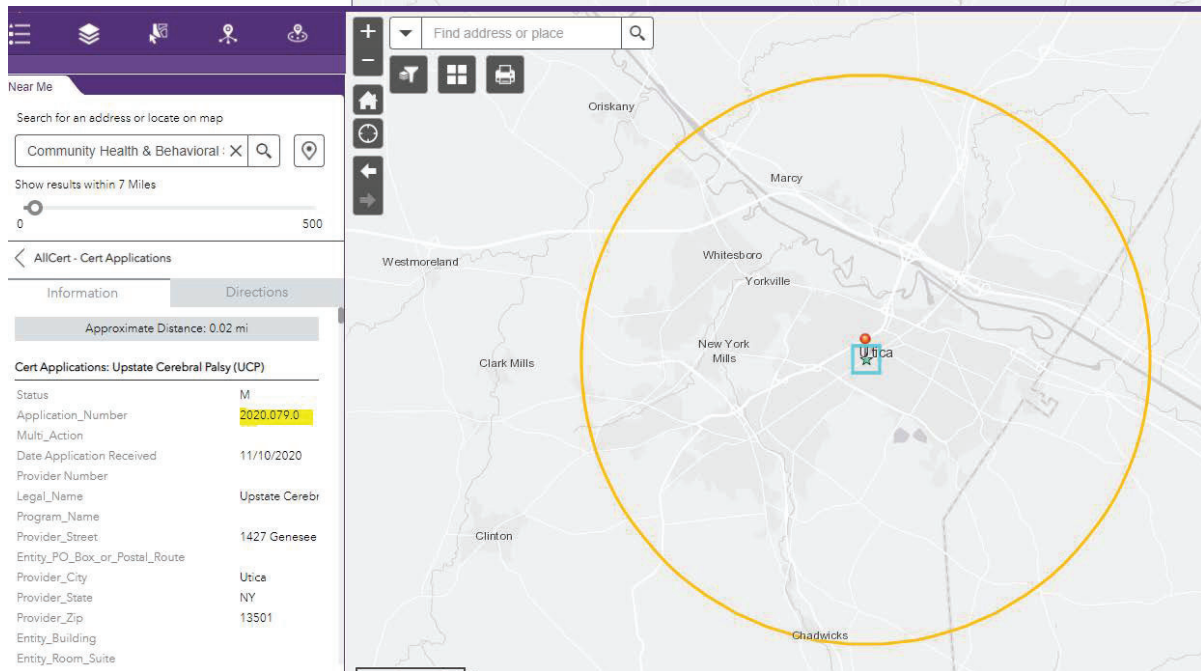
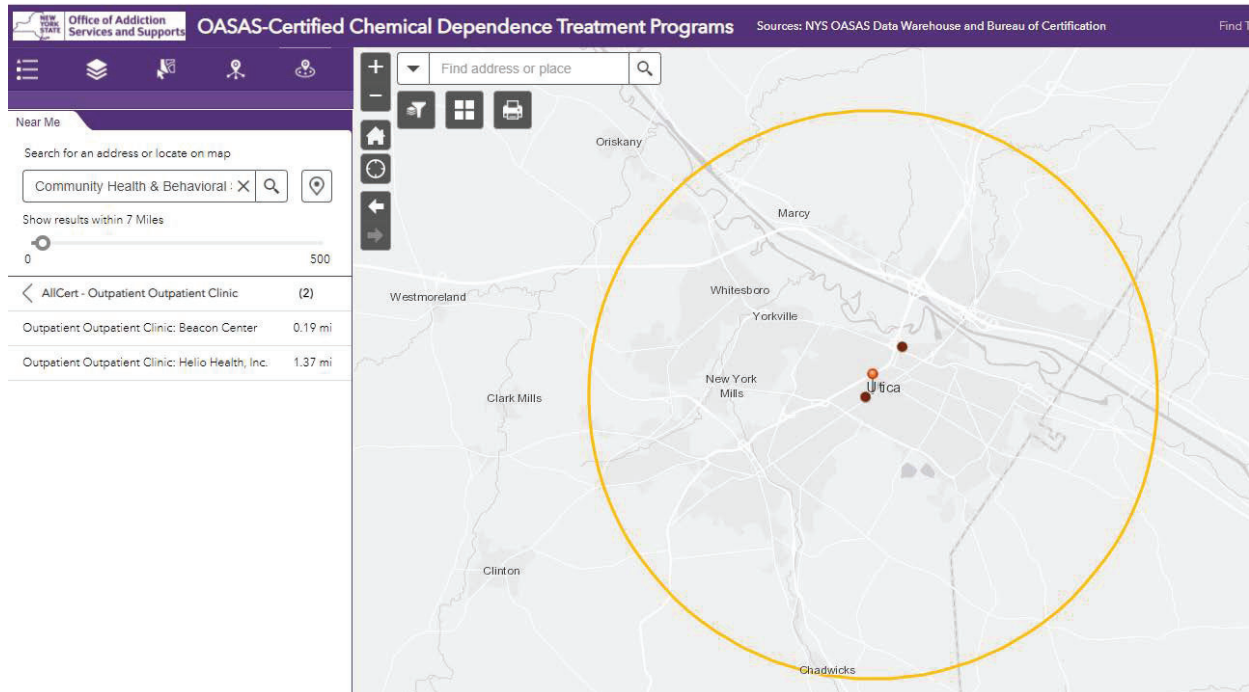
Applicant's Legal Name Upstate Cerebral Palsy		Application No. 2020-079
Local Governmental Unit Oneida County Department of Mental Health		
<p>In conjunction with the certification action(s) submitted by the above applicant, you are requested to review and provide comments on the proposed actions relative to the provision of chemical dependence (alcoholism and/or substance abuse) services in your jurisdiction. Your comments are important in evaluating the merits of the action(s). By regulation the review period, in calendar days, is fifteen (15) days for administrative review and forty-five (45) days for full review. When completed, please forward this review report to the appropriate OASAS Field Office and the Certification Bureau. The Field Office will take your comments and recommendations into account when performing their concurrent review of the proposed action(s). If you require additional time to complete your review, you should contact the Certification Bureau to request an extension. In completing the responses, use additional sheets as necessary. Your cooperation in providing complete and thorough responses is appreciated as incomplete replies will delay the processing of this application.</p>		
1. Consistency of Program Description and Site Location with Local/OASAS Requirements	In the case of new providers and/or new services, is the program description and site location consistent with local/OASAS requirements: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below. Writer and Commissioner of Mental Health reviewed UCPs application as well as had a site visit and a discussion with the director of the Article 31 Clinic.	
2. Provider Operational Performance	Is the operational performance of this provider satisfactory? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Regardless of the answer, provide a description of the operational performance of the provider below. The UCP Mental Health Clinic has been a provider within the Oneida County community for many years providing quality services for those in need.	
3. Adequacy of Financial Plans	Is the financial plan in the proposal adequate and acceptable? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below. Where applicable, please note any comments related to Medicaid policy and/or reimbursement practices below.	
4. Consistency with Local Plans and Local/Community Needs	Is the action consistent with local plans and/or does it meet community needs? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Provide explanation below. There is a high need for a clinic to address and treat individuals with co-occurring disorders in the Oneida County area. With long wait lists for individuals to obtain appointments for psychiatry this clinic should alleviate some of the barriers for the community.	
5. Provider Standing in the Community	Is there any known information regarding the provider's standing in the community? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable As applicable, provide all known information below or on additional sheets attached to this report. UCP has been an avid provider in the community, participating in community meetings; to include LGU meetings, to assist with improving quality and service gaps within the county.	

<p>6.</p> <p>Program Location</p>	<p>In answering this question, the following should be taken into consideration:</p> <ul style="list-style-type: none"> (a) the location is suitable for a Chemical Dependency Treatment Program; (b) the accessibility of public transportation and adequate parking; and (c) any other notable observations. <p>Please describe your assessment of the circumstances noted. The UCP clinic is located in downtown Utica on a bus route and other public transportation. There is also a parking lot in the back of the building as well as on street parking.</p>		
	<p>Please check one box.</p> <p><input checked="" type="checkbox"/> LGU has visited the proposed location. Date of Visit: <u>June 4, 2021</u></p> <p><input type="checkbox"/> LGU has not visited, but has sufficient personal knowledge to attest to its suitability.</p> <p><input type="checkbox"/> N/A – Please explain, for example, N/A may be appropriate in applications that involve relocation within an existing building. However, factors such as capacity increase, even in an existing building, would not be appropriate for an “N/A” response.</p>		
<p>7.</p> <p>Current Status of Existing Programs</p>	<p>Are you aware of any community issues with other programs operated by this provider, or in the case of relocation, this program’s current location? (i.e., any issues around loitering, public safety, etc.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Please describe any issues.</p>		
<p>8.</p> <p>Community Response</p>	<p>Please describe your knowledge of the applicant’s outreach to the local community (e.g., Community Service Boards, Community Boards, Planning Boards, Neighborhood Coalitions, other local municipalities). Please summarize your knowledge of community input, including any existing or likely community concerns, as well as any recommendations. To the best of our knowledge there has been no push back from the local community in regards to a SUD clinic opening in the same location as the existing MH clinic.</p>		
<p>9.</p> <p>Other Comments</p>	<p>Provide additional comments.</p> <p>All communication regarding this application has been discussed with the OASAS credentialing office</p>		
<p>10.</p> <p>LGU Recommendation</p>	<p><input checked="" type="checkbox"/> Approve <input type="checkbox"/> Disapprove</p>	<p>Signature of Authorized LGU Official</p> 	<p>Date</p> <p><u>6/29/2021</u></p>

From: [Tobin, Amber \(OASAS\)](#)
To: [Ham,Hefferon, Linda \(OASAS\)](#)
Subject: Found It!
Date: Thursday, September 09, 2021 4:05:49 PM
Attachments: [image001.png](#)
[image002.png](#)

Looks like there are 2 OP clinics within 7 miles. I can show you how to create this map next week .

<https://nysaoasas.maps.arcgis.com/apps/webappviewer/index.html?id=59df44153ef243279e19d655dcecf43>



Amber Tobin
 Research Scientist
NYS Office of Addiction Services and Supports
 Division of Outcome Management & System Information
 1450 Western Ave., Albany, NY 12203
 (518) 457-4753 | Amber.Tobin@oasas.ny.gov
www.oasas.ny.gov

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**New York State Office of Addiction Services and Supports
Bureau of Certification**

M E M O R A N D U M

TO: Janet Paloski, Director
Bureau of Certification

FROM: **Jennifer Berg**

DATE: **July 26, 2021**

SUBJECT: **Application Review**

Applicant: Institute for Community Living, Inc.

Application #: 2020.092

Purpose: New OASAS Provider Part 822 Outpatient Services

County: Kings

Project Summary:

Institute for Community Living, Inc. (ICL) submitted a Certification Application requesting approval from New York State Office of Addiction Services and Supports (OASAS) to become a new OASAS Provider of Part 822 Outpatient services to be located at 2581 Atlantic Avenue, Brooklyn, New York 12207.

The applicant proposes to incorporate adolescent and adult outpatient substance use disorder treatment services at their existing site in East Brooklyn. The current site, named the Hub, offers behavioral health and primary care integrated services. The site includes a Mental Health Clinic, Family Resource Center, Community Healthcare Network Primary Clinic, care coordination, case management and supportive housing assistance. The Hub serves over 6,000 people annually. ICL has specialized services for Veterans and long-standing expertise in offering community-based care to New Yorkers who are homeless. They hold certifications from New York State Department of Mental Health and the New York State Office of People with Developmental Disabilities.

The proposed program will cater to the general population with a specific focus for people with co-occurring mental health diagnosis, youth, women, those who are homeless, veterans, and senior citizens. Services will include the full range of medication assisted treatment including naltrexone, and buprenorphine.

Need:

New York City Department of Health and Mental Hygiene continues to identify substance use disorder services a significant priority for 2021. According to NYC Department of Health preliminary Epi Data Brief for the third quarter of 2020, there were 288 confirmed overdose

deaths occurred from January to September 2020 in Brooklyn. Additionally, The American Medical Association brief in December 2020 stated that more than 35 states have reported increases in opioid-related mortality and ongoing concerns for those with a mental illness or substance use disorder in counties and other areas within the State during the COVID pandemic, demonstrating continued need for services for these populations.

Institute for Community Living, Inc. reports to have one of the most comprehensive networks in New York City with a broad array of services and the proposed program will extend substance use disorder care to these populations.

While there are three existing OASAS Outpatient Clinics within a one-mile radius, the applicant indicates that they do not offer the range of services in one location. The proposed program has the unique benefit of treating substance use disorder in the context of whole health which includes addressing care management and social determinants of health.

The Hub is the only fully integrated behavioral health care site in the area and the US Department of Health and Human Services Health Resources and Service Administration designated East New York and Bedford-Stuyvesant as Health Professional Shortage Areas (HPSAs) for mental health which highlights the need for additional services.

- July 30, 2020- ICL met with Melinda Perkins, Community Board 5, to share intention of adding substance use disorder treatment services to the Hub. Reviewed the Hub's history, current strong partnership, and description of the program. Ms. Perkins noted it's a needed service and the district is concerned about the health and well-being of young people and seniors and a growing Bengali community.
- August 3, 2020: ICL met with Bob Hayes, CEO, Community Health Network (CHN), co-located at the Hub, which has been advancing integrated care. CHN is in full support of the added service and looks forward to referring to their primary care patients.
- August 7, 2020: ICL met with Assemblywoman Leticia Walker to share intentions providing her with a summary program description. Assemblywoman noted that this has been a concern of hers for many years and recalls approaching ICL a couple years ago about the idea.

The New York City Department of Health and Mental Health continues to identify Substance Use Disorder Services as a priority as indicated in the 2021 County Plan.

On June 4, 2021, the New York City Department of Health and Mental Hygiene submitted a recommendation to support this application, with no concerns noted.

On June 20, 2021, the OASAS Regional Office submitted a positive recommendation of support for this application. There were no concerns noted.

Character and Competence:

Institute for Community Living, Inc. has been serving the needs of the community for over 30 years and is currently licensed by The New York State Department of Mental Health (OMH) to operate 18 programs, New York State Office for Persons With Developmental Disabilities

(OPWDD) and Commission on the Accreditation of Rehabilitation Facilities (CARF). Upon the last review, each received a three-year renewal.

ICL is a New York based, not-for-profit, human service agency, which provides integrated help to members of their community who need trauma-oriented, recovery-oriented, and person-centered care. They have an active Board of Directors consisting of 13 members, of which one has experience managing Substance Use Disorder treatment services.

The character and competence of ICL and the board has been reviewed and no concerns are presented.

Overall Financial Condition of the Provider:

OASAS Fiscal Audit and Review Unit reviewed Institute for Community Living, Inc.'s fiscal status and determined they are fiscally viable and to have sufficient capital to sustain services during an initial period of six-months while they begin to build a patient caseload. OASAS Regional Office did not express any concerns about the proposed operational budget and noted that no OASAS funding has been requested for this service.

Adequacy:

On March 18, 2021, OASAS Facility Evaluation and Inspection Unit (FEIU) indicated that the floor plans and physical plant inspection were completed. Additionally, a State Environmental Quality Review (SEQR) on February 4, 2020. Both are determined to meet requirements.

Compliance:

The applicant has submitted the following plan for staffing:

Program Director – 1 FTE (other QHP)
Medical Director - .25 FTE (other QHP)
Senior Vice President - .10 FTE (other QHP)
Substance Abuse Counselor – 3 FTE (CASAC)
Substance Abuse Counselor/Health Coordinator 1 FTE (other QHP)
Nurse Practitioner – .5 FTE (other QHP)
Certified Peer Recovery Specialist – 1 FTE (other QHP)
Intake Admissions Specialist – 1 FTE (other QHP)
Administrative Assistant – 1 FTE
Billing Clerk – 1FTE
Security Guard – 1FTE

The programs hours of operation will be Monday and Friday 8am to 6pm; Tuesday through Thursday 8am to 7pm and Saturday 9am to 12pm.

The proposed staffing and hours of operation meet the Part 822 regulatory requirements, however, will be verified before final approval.

Policies and procedures submitted have been reviewed for regulatory compliance and are accepted by OASAS.

Continuity of Care:

Institute for Community Living offers a range of services; the applicant indicates the program will provide warm hand-offs to the ICL Article 31 Mental Health Clinic, and the co-located Community HealthCare Primary Healthcare Clinic (FQHC). Additionally, for patients interested in services outside of this program or who may be denied admission, the applicant will ensure referral to other to services such as psychiatric emergency rooms, mobile crisis units, acute inpatient facilities, and long-term inpatient facilities.

ICL stated efforts to meet the needs of patients' cultural and ethnic backgrounds, citing a diverse clinical workforce that is representative of the patients. They indicate efforts to ensure that staff is aware of, and culturally sensitive to, patients from various cultural/ethnic backgrounds by receiving regular training on cultural sensitivity issues.

Recommendation:

OASAS recommendation is to approve the request from Institute for Community Living, Inc. to establish as a new OASAS provider of Part 822 Outpatient Treatment Services with the following contingencies:

- Verification of the hiring of sufficient staff to meet regulatory requirements
- Receipt of a copy of the fully executed Lease Agreement containing OASAS' required right to re-entry language
- Filing receipt from the NYS Department of State indicating that the amended Certificate of Incorporation/Articles of Organization, including the OASAS required language, have been filed.

LOCAL GOVERNMENTAL UNIT REVIEW REPORT

(Chemical Dependence Services Certification Actions)

Applicant's Legal Name Institute for Community Living, Inc.	Application No. 2020.092
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Local Governmental Unit NYC Department of Health & Mental Hygiene
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In conjunction with the certification action(s) submitted by the above applicant, you are requested to review and provide comments on the proposed actions relative to the provision of chemical dependence (alcoholism and/or substance abuse) services in your jurisdiction. Your comments are important in evaluating the merits of the action(s). By regulation the review period, in calendar days, is fifteen (15) days for administrative review and forty-five (45) days for full review. When completed, please forward this review report to the appropriate OASAS Field Office and the Certification Bureau. The Field Office will take your comments and recommendations into account when performing their concurrent review of the proposed action(s). If you require additional time to complete your review, you should contact the Certification Bureau to request an extension. In completing the responses, use additional sheets as necessary. **Your cooperation in providing complete and thorough responses is appreciated as incomplete replies will delay the processing of this application.**


1. Consistency of Program Description and Site Location with Local/OASAS Requirements	<p>In the case of new providers and/or new services, is the program description and site location consistent with local/OASAS requirements: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below.</p> <p>The applicant is planning to incorporate an 822 Medically Supervised Outpatient Program with its existing Behavioral Health and Primary Care Integrated services serving adults and adolescents located at 2581 Atlantic Ave, Brooklyn NY, 11207. The applicant plans to provide access to medication for addiction treatment including buprenorphine on-site. Narcan, harm reduction, anti-stigma, co-occurring and person- centered services will be offered to adults, adolescents & families.</p>
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2. Provider Operational Performance	<p>Is the operational performance of this provider satisfactory? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Regardless of the answer, provide a description of the operational performance of the provider below.</p> <p>This is not an allocated provider and the Department can only go by the information provided on the application. The information appears to indicate that operational performance of this provider would be satisfactory.</p>
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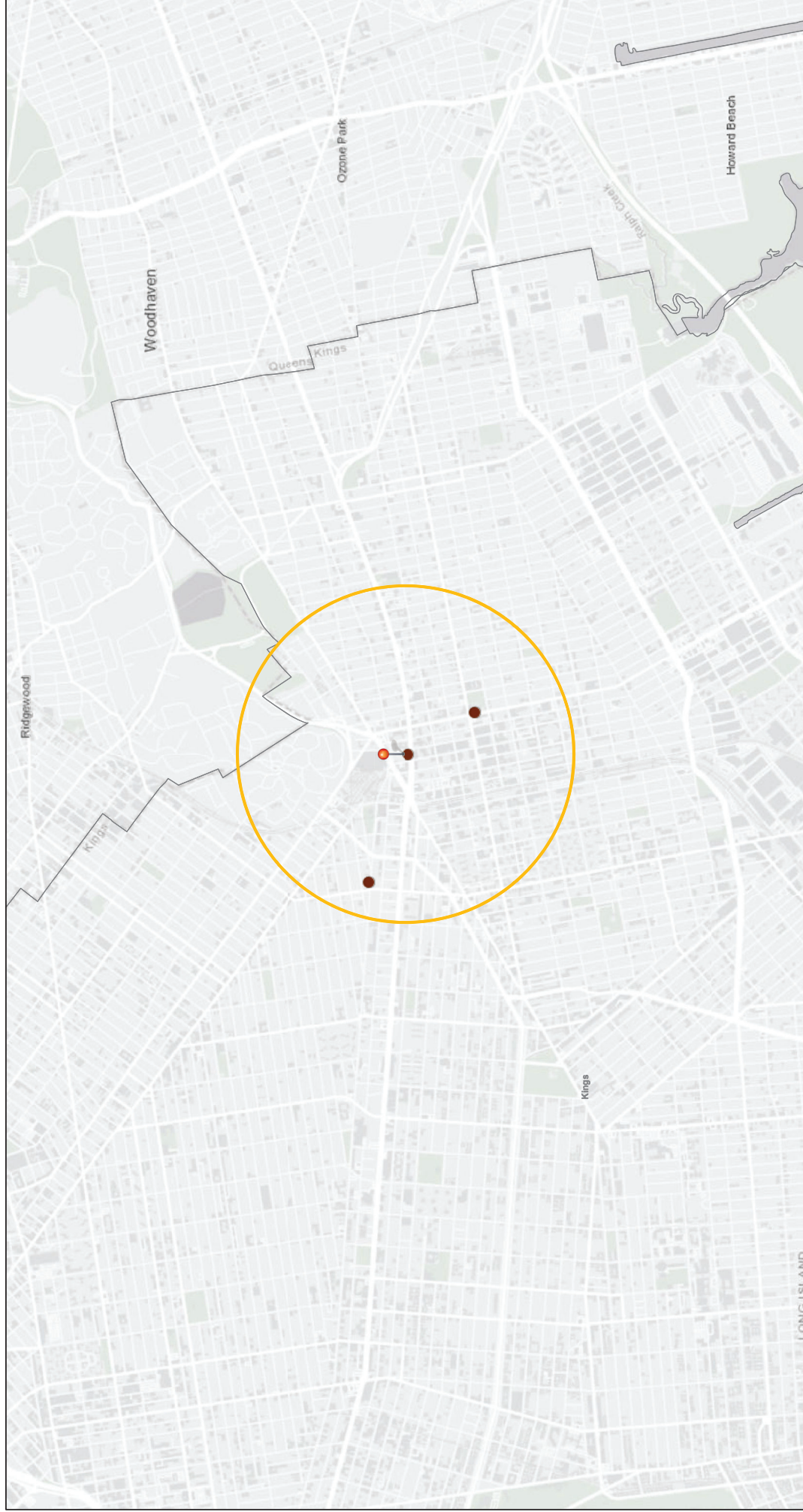
3. Adequacy of Financial Plans	<p>Is the financial plan in the proposal adequate and acceptable? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below.</p> <p>This is not an allocated provider and the Department can only look at the information provided in the application. This information appears to be acceptable.</p> <p>Where applicable, please note any comments related to Medicaid policy and/or reimbursement practices below. The applicant plans to collect revenues from Medicaid /Managed Care and Medicaid/ fee for services.</p>
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4. Consistency with Local Plans and Local/Community Needs	<p>Is the action consistent with local plans and/or does it meet community needs? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Provide explanation below.</p> <p>According to the NYC Epi Data brief, the rate of overdose death remained the same in 2019 as 2018 (21.2 per 100,000 NYC residents) but the COVID-19 pandemic's consequences are being seen in part with an increase of overdose deaths. In 2019, Latino/a New Yorkers' overdose rates increased 5% and residents of Brooklyn had an overdose rate of 13.9 per 100,000 residents.</p>
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5. Provider Standing in the Community	<p>Is there any known information regarding the provider's standing in the community? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable As applicable, provide all known information below or on additional sheets attached to this report.</p> <p>The provider is in good standing in the community. The applicant has been providing mental, physical health and housing services to the residents of Brooklyn for the past 33 years. They now want to provide substance use disorder treatment services as well.</p>
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<p>6.</p> <p>Program Location</p>	<p>In answering this question, the following should be taken into consideration:</p> <p>(a) the location is suitable for a Chemical Dependency Treatment Program; (b) the accessibility of public transportation and adequate parking; and (c) any other notable observations.</p> <p>Please describe your assessment of the circumstances noted. Street parking is available. In addition, the programs are accessible by public buses and subways.</p>		
	<p>Please check one box.</p> <p><input type="checkbox"/> LGU has visited the proposed location. Date of Visit: _____ <input checked="" type="checkbox"/> LGU has not visited, but has sufficient personal knowledge to attest to its suitability. <input type="checkbox"/> N/A – Please explain, for example, N/A may be appropriate in applications that involve relocation within an existing building. However, factors such as capacity increase, even in an existing building, would not be appropriate for an “N/A” response.</p> <p>Due to COVID-19, the LGU was not able to visit the existing locations.</p>		
<p>7.</p> <p>Current Status of Existing Programs</p>	<p>Are you aware of any community issues with other programs operated by this provider, or in the case of relocation, this program’s current location? (i.e., any issues around loitering, public safety, etc.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Please describe any issues. There are no known issues to the DOHMH.</p>		
<p>8.</p> <p>Community Response</p>	<p>Please describe your knowledge of the applicant’s outreach to the local community (e.g., Community Service Boards, Community Boards, Planning Boards, Neighborhood Coalitions, other local municipalities). Please summarize your knowledge of community input, including any existing or likely community concerns, as well as any recommendations.</p> <p>The applicant provided documentation that on 2/2/21, the Brooklyn Community Board acknowledged receiving documentation of the applicant's intention to become a licensed OASAS provider.</p>		
<p>9.</p> <p>Other Comments</p>	<p>Provide additional comments. N/A</p>		
<p>10.</p> <p>LGU Recommendation</p>	<p><input checked="" type="checkbox"/> Approve <input type="checkbox"/> Disapprove</p>	<p>Signature of Authorized LGU Official</p> 	<p>Date</p> <p>6/4/21</p>

Outpatient Clinic Proximity to CA#2020.092



7/27/2021, 11:29:50 AM

County

5. Outpatient: Outpatient Clinic

1:36,112

0 0.35 0.7 1 1.4 mi
0 0.5 1 2 km

NYC OpenData, State of New Jersey, Esri, HERE, Garmin, INCREMENT P, USGS, EPA, Esri, HERE

NYC OpenData, State of New Jersey, Esri, HERE, Garmin, INCREMENT P, USGS, EPA | Esri, HERE |

**NEW YORK STATE
OFFICE OF ADDICTION SERVICES AND SUPPORTS**

Bureau of Certification

MEMORANDUM

TO: Janet Paloski

FROM: Michele Woods

DATE: September 13, 2021

SUBJECT: *Application Review*

Applicant: New York Psychotherapy and Counseling Center

Application #: 2021-001

Purpose: New OASAS Provider: Part 822 outpatient services

County: Kings

Project Summary:

New York Psychotherapy and Counseling Center (NYPCC) submitted a Certification Application requesting New York State Office of Addiction Services and Supports (OASAS) approval to become a new provider of outpatient services. Services will be co-located with the providers New York State Office of Mental Health (OMH) licensed Bushwick Child and Family Mental Health Center at 102 Pilling Street, Brooklyn.

The substance use disorder (SUD) program will be available to the existing mental health clinic patients who have co-occurring disorders and would benefit from SUD treatment. The clinic will also be open to community referrals and SUD only patients.

Treatment space will be separate and distinct from OMH licensed services. Hours of operation will be Monday through Friday 9:00 am to 9:30 pm and Saturday and Sunday from 8:00 am to 6:00 pm.

The applicant proposes to serve 500 individuals and provide 23,000 units of service annually.

The applicant submitted a revised copy of the Certificate of Amendment of the Certification of Incorporation for New York Psychotherapy and Counseling Center, Inc. that includes the required OASAS operating language on March 16, 2021.

Need:

According to the applicant, a significant portion of patients currently being served have co-occurring mental health and substance use disorder and the OASAS certification will afford the patients an opportunity to receive treatment for both at the same location.

The New York City Department of Health and Mental Hygiene 2021 Local Services Plan identifies a substantial need to increase access to substance use disorder treatment as well as the number of individuals, adolescents, and families receiving appropriate recovery-oriented services.

The New York City Department of Health and Mental Hygiene submitted a recommendation to support this application on July 26, 2021, signed by Nilova Saha, Recovery Unit Manager.

The OASAS New York City Regional Office submitted their recommendation to support this application on September 9, 2021.

Community Board #4 was notified of the proposed action in a virtual meeting held on September 10, 2020.

Character and Competence:

NYPCC is a not-for-profit OMH licensed provider that has been serving children, adolescents, and adults throughout the New York City area since 1974. According to OMH, all four outpatient clinics and associated satellites are in good standing.

The Board of Directors consists of six members; two of which have experience in management/oversight of substance use disorder treatment and clinical experience in dealing with patients with co-occurring mental health and substance use disorders. .

Overall Financial Condition of the Provider:

The proposed annual operating budget identifies \$2,435,675 in expenses: \$1,455,000 in Personal Services (salaries/wages); \$509,250 in Personal Services (fringe); \$5,000 in Equipment Expenses; \$270,000 in Other Non-personal Services Expenses and \$196,425 in Allocated Provider Administration.

The annual projected revenue is estimated to be \$2,649,600: \$60,000 in Client/Patient fees; \$1,910,600 in Medicaid (Managed Care); \$475,000 in Medicaid (Fee for Service); \$100,000 in Medicare; and \$100,000 in Private Health Insurance (Managed Care) resulting in a surplus of \$213,925.

A fiscal viability determination was completed on March 24, 2021 which determined the current and overall financial position of NYPCC is viable.

Adequacy:

NYPCC's incorporation documents and by-laws were reviewed by OASAS Counsel's Office on March 18, 2021.

Treatment services will be provided at the Bergen Street facility which is owned by NYPCC.

The OASAS Facility Evaluation and Inspection Unit (FEIU) conducted a floor plan review on March 19, 2021 and determined the proposed space meets the requirements of Part 814 facility requirements.

Lee Kalle of the OASAS Facility Evaluation and Inspection Unit (FEIU) completed a State Environmental Quality Review (SEQR) on March 18, 2021 and determined to not require further environmental review, as it is a predetermined SEQR Type II non-significant action.

Compliance:

Policies and procedures were reviewed are acceptable to OASAS.

The proposed staffing plan includes: 1 FTE Program Director; .5 FTE Medical Director; .5 FTE Nurse Practitioner; .5 FTE Registered Nurse; 10 FTE CASAC; 2 FTE CASAC Trainees; 2 FTE Masters Level Social Workers and/or LMFT; 4 FTE Certified Recovery Peer Advocates; 1 FTE Vocational/Education Counselor and .5 FTE Activities/Recreational therapist.

Continuity of Care:

The proposed Part 822 Outpatient Chemical Dependence Treatment Service will allow NYPCC to provide SUD treatment to individuals with co-occurring disorders within their mental health treatment service.

Recommendation:


OASAS recommendation is to approve the request from New York Psychotherapy and Counseling Center, Inc. to become a new OASAS Part 822 Outpatient Treatment Service provider with the following contingencies:

1. Verification of the hiring of sufficient staff to meet regulatory requirements;
2. OASAS inspection of the facility; and
3. Filing receipt from the NYS Department of State indicating that the amended Certificate of Incorporation, including the OASAS required language, have been filed.

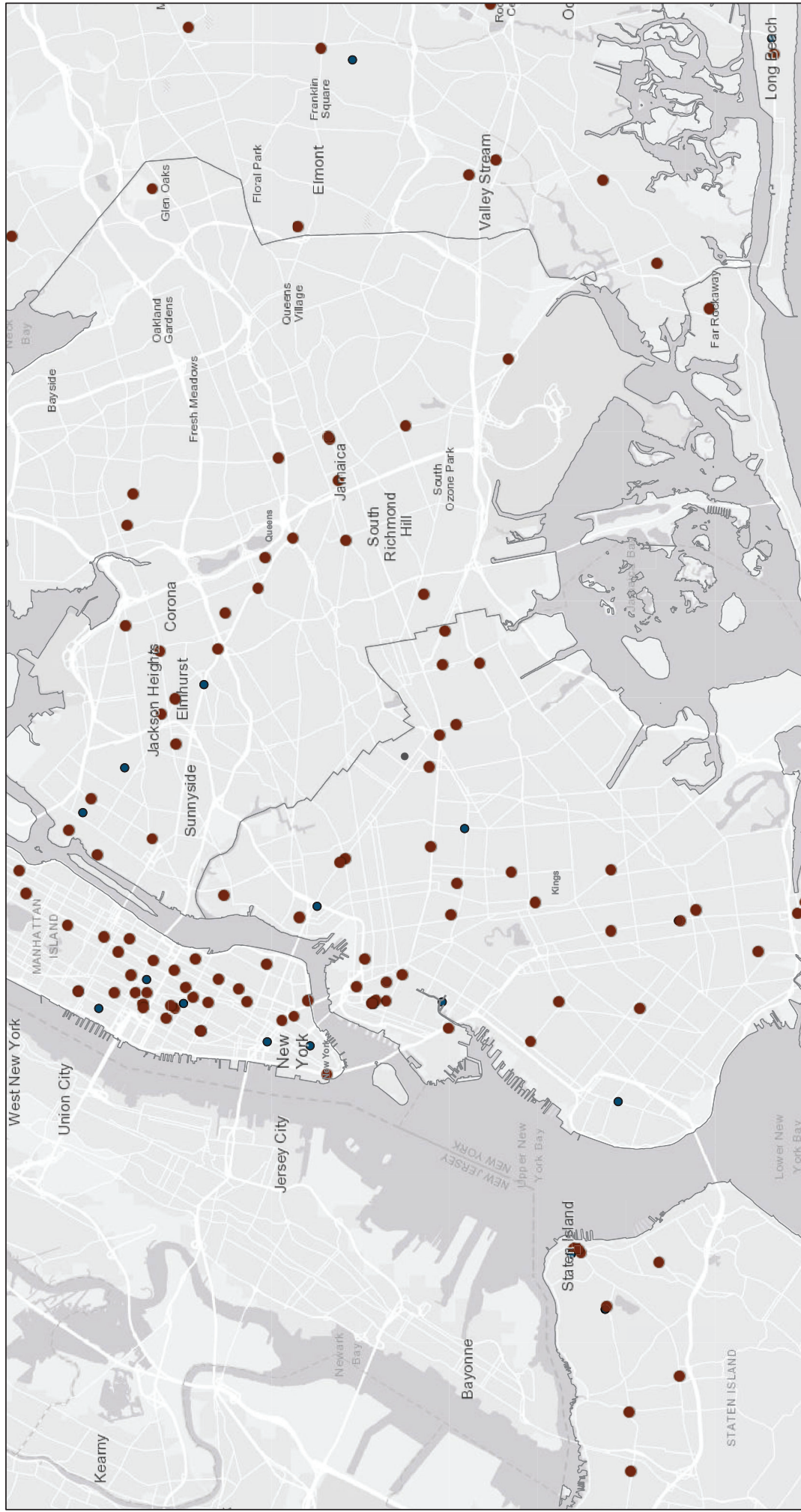
LOCAL GOVERNMENTAL UNIT REVIEW REPORT

(Chemical Dependence Services Certification Actions)

Applicant's Legal Name New York Psychotherapy and Counseling Center, LLC	Application No. 2021-001
Local Governmental Unit New York City Department of Health and Mental Hygiene	
<p>In conjunction with the certification action(s) submitted by the above applicant, you are requested to review and provide comments on the proposed actions relative to the provision of chemical dependence (alcoholism and/or substance abuse) services in your jurisdiction. Your comments are important in evaluating the merits of the action(s). By regulation the review period, in calendar days, is fifteen (15) days for administrative review and forty-five (45) days for full review. When completed, please forward this review report to the appropriate OASAS Field Office and the Certification Bureau. The Field Office will take your comments and recommendations into account when performing their concurrent review of the proposed action(s). If you require additional time to complete your review, you should contact the Certification Bureau to request an extension. In completing the responses, use additional sheets as necessary. Your cooperation in providing complete and thorough responses is appreciated as incomplete replies will delay the processing of this application.</p>	
1. Consistency of Program Description and Site Location with Local/OASAS Requirements	In the case of new providers and/or new services, is the program description and site location consistent with local/OASAS requirements: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below. The applicant, New York Psychotherapy and Counseling Center, LLC located at 102 Pilling Street, Brooklyn, NY 11207, seeks to add 822 services to existing OMH Article 31 clinic in Bushwick where the need for increased treatment services for persons diagnosed with co-occurring SUD and MH disorders exists. Applicant will offer harm reduction including naloxone education and have an X-waivered buprenorphine prescriber and on-site access to buprenorphine.
2. Provider Operational Performance	Is the operational performance of this provider satisfactory? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable Regardless of the answer, provide a description of the operational performance of the provider below. This is not an allocated provider and the Department can only go by the information provided on the application.
3. Adequacy of Financial Plans	Is the financial plan in the proposal adequate and acceptable? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable Provide explanation below. The request is cost neutral in that the provider will fund this through Medicaid Fee for Services and no additional State Aid is requested, or required. Where applicable, please note any comments related to Medicaid policy and/or reimbursement practices below. The request is cost neutral in that the provider will fund this through Medicaid Fee for Services and no additional State Aid is requested, or required.
4. Consistency with Local Plans and Local/Community Needs	Is the action consistent with local plans and/or does it meet community needs? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Provide explanation below. In Quarters 1-3, 2020, NYC Health Department's Bureau of Vital Statistics reported Unintentional Drug Poisoning (Overdose) Deaths in Brooklyn to be the second highest of the five boroughs. The need for services is stated in the Community Health Profile, which notes that the community planning district is 14th out of 59 NYC CB districts for SUD hospitalizations and incidence, and 9th for drug-related death.
5. Provider Standing in the Community	Is there any known information regarding the provider's standing in the community? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable As applicable, provide all known information below or on additional sheets attached to this report. New York Psychotherapy and Counseling Center (NYPCC) is a non-profit, community-oriented mental health organization, licensed by the New York State Office of Mental Health that provides services to children, adolescents and adults throughout the NYC area. The applicant has established strong ties to the community and appears to have the support and respect of various elected officials.

<p>6.</p> <p>Program Location</p>	<p>In answering this question, the following should be taken into consideration:</p> <p>(a) the location is suitable for a Chemical Dependency Treatment Program; (b) the accessibility of public transportation and adequate parking; and (c) any other notable observations.</p> <p>Please describe your assessment of the circumstances noted.</p> <p>New York Psychotherapy and Counseling Center, LLC located in Bushwick at 102 Pilling Street, Brooklyn, NY 11207, can be accessed by several bus lines (Q24, B20, and B60) as well as several subway lines (BMT J, M, and Z subways).</p> <hr/> <p>Please check one box.</p> <p><input type="checkbox"/> LGU has visited the proposed location. Date of Visit: _____ <input checked="" type="checkbox"/> LGU has not visited, but has sufficient personal knowledge to attest to its suitability. <input type="checkbox"/> N/A – Please explain, for example, N/A may be appropriate in applications that involve relocation within an existing building. However, factors such as capacity increase, even in an existing building, would not be appropriate for an “N/A” response.</p>		
<p>7.</p> <p>Current Status of Existing Programs</p>	<p>Are you aware of any community issues with other programs operated by this provider, or in the case of relocation, this program’s current location? (i.e., any issues around loitering, public safety, etc.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Please describe any issues.</p> <p>There are no known issues to DOHMH.</p>		
<p>8.</p> <p>Community Response</p>	<p>Please describe your knowledge of the applicant’s outreach to the local community (e.g., Community Service Boards, Community Boards, Planning Boards, Neighborhood Coalitions, other local municipalities). Please summarize your knowledge of community input, including any existing or likely community concerns, as well as any recommendations.</p> <p>The provider reached out to the Community Board to notify them of their plans and where the SUD program would be situated. Community Board #4 responded to the provider’s email indicating acknowledgment from an official CB email address.</p>		
<p>9.</p> <p>Other Comments</p>	<p>Provide additional comments.</p> <p>N/A.</p>		
<p>10.</p> <p>LGU Recommendation</p>	<p><input checked="" type="checkbox"/> Approve <input type="checkbox"/> Disapprove</p>	<p>Signature of Authorized LGU Official</p> 	<p>Date</p> <p>7/26/21</p>

New York Psychotherapy and Counseling Center



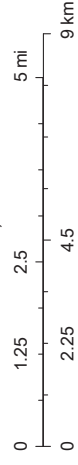
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County

● 5. Outpatient: Outpatient Clinic

● AllCert - Outpatient Additional Location

1:144,448



NYC OpenData, State of New Jersey, Esri, HERE, Garmin, USGS, EPA, NPS, Esri, HERE, NPS

NYC OpenData, State of New Jersey, Esri, HERE, Garmin, USGS, EPA, NPS | Esri, HERE, NPS | Web AppBuilder for ArcGIS

**NEW YORK STATE
OFFICE OF ADDICTION SERVICES AND SUPPORTS
DIVISION OF QUALITY ASSURANCE & PERFORMANCE IMPROVEMENT**

Bureau of Certification

Full Review Record—Capital Project

Summary – September 15, 2021

Certification Application #	2020-048	Applicant:	Anchor House, Inc.
Reviewer:	Michele Woods	Entity Type:	<input checked="" type="checkbox"/> Not-for-Profit <input type="checkbox"/> Business <input type="checkbox"/> Article 28
County:	Kings	Project Address	1041 Bergen Street Brooklyn, NY 11216-0109
Service Type(s)	Capital project to increase capacity of the Part 820 Residential Services: Stabilization, Rehabilitation, and Reintegration	Project Type:	<input type="checkbox"/> Relocation <input type="checkbox"/> Current Site <input type="checkbox"/> Acquisition <input checked="" type="checkbox"/> Construction Rehabilitation
Current Capacity	50	Proposed Capacity	70
Project Phase as of	<input checked="" type="checkbox"/> Pre-Contract <input type="checkbox"/> Design <input type="checkbox"/> Construction <input type="checkbox"/> Complete	Projected Cost:	\$ 18,995,000
Budget Impact (exclusive of debt service): Anchor House, Inc. was awarded \$18,995,000 for 20 new Part 820 Residential Services beds under a 2018 Request for Proposal (RFP) to expand residential addiction treatment services within Bronx and Kings Counties.			

Project Description: Anchor House will establish the additional 20 beds at their 1041-1047 Bergen Street, Brooklyn facility, which currently houses their Part 820 50-bed men's program. This 29,286 square foot project will consist of an addition and renovation of the existing facility to accommodate the 20 additional beds and bring the existing facility into compliance with current regulations and code standards.

The new addition will include resident bedrooms, resident and staff bathrooms, and an updated kitchen and dining area.

Renovations to the existing building include access to the new addition on each floor and modernization of the building's mechanical, electrical, and plumbing systems.

Treatment services will remain operational during construction by building the addition first to allow the program to shift over while the existing building is renovated.

Total construction cost for the building and addition are estimated to be \$15,100,000 with total project costs of \$18,995,000.

Need: This capital project to renovate and expand services will allow Anchor House to continue to serve the treatment needs of the community during this opioid epidemic and it will provide clients and staff functional space in a facility that meets OASAS guidelines.

The additional beds will replace beds lost with the closure of the Starhill intensive residential program in the Bronx.

The NYCDHMH continues to identify substance use disorder services as a priority as evidenced in their county plan.

Anchor House presented the proposed capital project to Community Board #8 on September 9, 2021 in which the board decided in favor. A copy of the Community Board letter of support was submitted to the Regional Office on September 14, 2021.

The NYCDHMH is expected to submit their recommendation to approve the proposed action on September 15, 2021.

OASAS Regional Office reviewed the application and submitted a recommendation in support, on 9/13/21 signed by Program Manager Carmen Gonzalez, Coordinator Ivan Garcia and Downstate District Director Zoraida Diaz.

Character & Competence: Anchor House, Inc. was established in 1978 as a faith-based not-for-profit corporation to help individuals and their families with substance use disorders.

Anchor House holds two OASAS operating Certificates:

Certificate Number: 220112128 Residential Treatment Service (820) located at 976 Park Place, Brooklyn, NY 11213-1803

Most recent recertification review in January 2021 resulted in conditional compliance and was issued a six-month operating certificate

Certificate Number: 210712129 Residential Treatment Service (820) located at 1041-1049 Bergen Street, Brooklyn, NY 11216-3307

Most recent recertification review in January 2021 resulted in conditional compliance and was issued a six-month operating certificate. Corrective Action Plans have been accepted by OASAS and both programs are pending a subsequent review.

Overall Financial Condition: OASAS Fiscal Audit and Review Unity (FARU) reviewed Anchor House's fiscal status and determined they are fiscally viable.

OASAS Regional Office has reviewed and approved the proposed operational budget.

The proposed operating budget identifies \$329,645 in revenue (\$3,000 in patient fees; \$150,000 Safety Net Assistance and \$176,645 in Medicaid Managed Care).

The proposed operating expenses total \$779,645 (\$331,600 in Personal Services/Salaries; \$130,073 Personal Services/Fringe; \$75,000 Consultants; \$15,000 Equipment; \$165,600 Non-personal services; and \$62,372 in Administrative Expenses) resulting in a deficit of \$450,000 initially.

According to the OASAS New York City Regional Office, the \$450,000 deficit will be covered by the grant for the first year, and it is anticipated that Anchor House, Inc. will generate enough surplus of funds to cover the deficit themselves after the first year. This is in addition to the \$266,000 the provider currently receives in OASAS deficit funding.

Adequacy: The OASAS Facility Inspection Unity (FEIU) completed a State Environmental Quality Review (SEQR) which was determined to not require further environmental review.

A final OASAS facility inspection will be conducted when the facility is complete.

Compliance:

The following additional staffing will be added to the program prior to final approval:

- 1 full time Program Administrator totalling 4;
- 3 full time milieu staff (house monitors, Recovery Coach and CRPA) totalling 10; and
- 3 full time CASAC/CASAC-T totalling 13.

Policies and procedures have been reviewed for regulatory compliance and are accepted by OASAS.

Continuity of Care: Approval of the proposed action will allow Anchor House, Inc. to continue to meet the treatment needs of individuals during this opioid epidemic and ensure functional treatment space in a facility that meets OASAS regulations.

Recommendations: Approve Anchor House, Inc.'s capital project to rehabilitate and construct an addition to their existing Part 820 facility located at 1041 Bergen Street, Brooklyn and to increase capacity from 50 beds to 70.

LGU: Expected 9/15/21

Regional Office: September 13, 2021

Staff: Approve, contingent upon:

- Inspection of the completed facility by OASAS FEIU;
- A copy of the Certificate of Occupancy; and
- Acceptance letters and copies of credentials for the required staffing.

Advisory Council:

Final Decision:



COMMUNITY BOARD NO. 8

1291 ST. MARKS AVENUE • BROOKLYN, NEW YORK 11213
(718) 467-5620/5574 • BROOKLYNCB8.ORG • BROOKLYNCB8@GMAIL.COM

Eric Adams
Borough President

Irsa Weatherspoon
Chairperson

Michelle T. George
District Manager

September 13, 2021

Alison King
Executive Director
Anchor House
1041 Bergen Street
Brooklyn, NY 11216


Dear Ms. King,

At the September 9, 2021 Community Board 8 general meeting, Anchor House presented its award grant to expand the residential facility at 1041 Bergen Street. The award will allow Anchor House, which has provided service to the community for 54 years in the field of addiction and recovery, to expand by five floors and add 20 additional beds, thus increasing service delivery for Brooklyn residents fighting against the powerful disease of addiction.

Members did not express any objections to the expansion announcement and acknowledged that Anchor House has been a good steward in the community, working to not only provide much needed services, but also to integrate seamlessly in the space and ameliorating issues and concerns quickly and efficiently. Furthermore, Anchor House's track record of community stewardship and the benefit of additional addiction services is aligned with many of the needs that our staff and members outlined in the recently published Statement of Community District Needs. As such, we wish you the best of success with your expansion endeavors and hope the great working relationship we have will continue.

If you need additional information, please do not hesitate to contact me.

Sincerely,


Irsa Weatherspoon
Chairperson