

## **MEMORANDUM**

Review of Application CA#2024.061 / CON#244062

TO: Director of Bureau of Certification: Dena Holmes

FROM: Phillip Plessas

**DATE:** February 7, 2025

**SUBJECT:** Application Review for Acacia Network, Inc. as Sponsor, Queens Village Committee for Mental Health for Jamaica Community Adolescent Program, Inc. as Operator

#### **APPLICANT DETAILS**

Applicant: Acacia Network, Inc. as Sponsor, Queens Village Committee for Mental Health for Jamaica Community Adolescent Program, Inc. as Operator

Application #: CA#2024.061

CON#: CON#244062

Purpose: Capital Project

County: Queens

Service Type: Part 820-Residential Services

#### PROJECT SUMMARY

Acacia Network, Inc. as Sponsor, Queens Village Committee for Mental Health for Jamaica Community Adolescent Program, Inc. as Operator (J-CAP) submitted a Certification Application requesting New York State Office of Addiction Services and Supports' (OASAS) approval for a capital Project to be located at 116-30 Sutphin Blvd., Jamaica, NY 11434 and affecting operating certificate #12445.

This project will preserve the facility and bring it into current ADA compliance. J-CAP is currently operating this facility as a Part 820 Residential Services Program with Stabilization, Rehabilitation, and Reintegration elements. Upon completion of this project the certified capacity will be 150.

The scope of work includes major renovations to the bathrooms, front entrance, and elevator. These enhancements and modifications will make the facility ADA compliant. Further renovations to the mechanical, electrical, and plumbing systems will include replacement of the boiler, water heater, bathroom exhaust fans, sewage ejector pump, split system heating/cooling units, roof top units, kitchen exhaust and fluorescent lights with LED to make the building meet current codes. The provider intends to continue services while the renovations are taking place, and the construction will be completed in phases. The scope of work also includes exterior site improvements to the sidewalks, driveways, courtyard, correcting code deficiencies at exterior stairs and ramps for accessibility, and other miscellaneous repairs.

#### NEED

Approval of this project will allow J-CAP to continue to serve the surrounding community for the next 30 years and provide clients and staff in functional space in a facility that meets present day building codes and OASAS regulations.

The New York City Department of Health and Mental Hygiene (LGU) stated that the applicant needed to conduct outreach and obtain Community Board acknowledgement and requested confirmation prior to final approval of this action. However, the LGU had no objections to moving this application forward. The LGU commented on the enhancement of service to patients, remarking on addition of stabilization and rehabilitation to reintegration. Furthermore, they emphasized more individually tailored treatment protocols.

The OASAS New York City Regional Office stressed the need for renovations and had no objections to the submission of the application.

#### CHARACTER AND COMPETENCE

Founded in 1973, J-CAP is mainly a residential treatment service provider with both on-site and off-site Article 28 services. They have been an OASAS certified provider since at least 1995. While their mission is to help individuals with substance abuse and related problems, J-CAP also focuses on caring for the community. J-CAP has a multi-faceted, holistic approach to treatment. In addition to the residential service, they offer integrated primary care, behavioral health, and addiction services. In 2020, J-CAP joined the Acacia Network of providers, to improve and expand availability and accessibility of services.

J-CAP's holds one operating certificate with OASAS and is in good standing.

#### OVERALL FINANCIAL CONDITION

According to the most recent fiscal review completed by OASAS' Fiscal Audit and Review Unit on January 30, 2025, Queen's Village Committee for Mental Health, Inc. for J-CAP, Inc. was determined to be fiscally viable.

The provider submitted Capital Financing Requirements with projected construction costs of \$24,098,000. The New York City Regional Office reported no concerns regarding their current budget; the project will not impact the overall operational budget of the program.

#### **ADEQUACY**

Queen's Village Committee for Mental Health, Inc. for J-CAP, Inc. is the owner of the facility.

OASAS Facilities Evaluation and Review Unit (FEIU) completed a State Environmental Quality Review on October 4, 2024, and determined that the action did not require further environmental review, as it is a predetermined SEQR Type II non-significant action.

#### COMPLIANCE

J-CAP holds one opearting certificate which is good standing. The most recent OASAS recertification review completed March 25, 2022, found the program to be compliance with OASAS regulations. A final physical plant inspection will occur prior to final approval.

#### **CONTINUITY OF CARE**

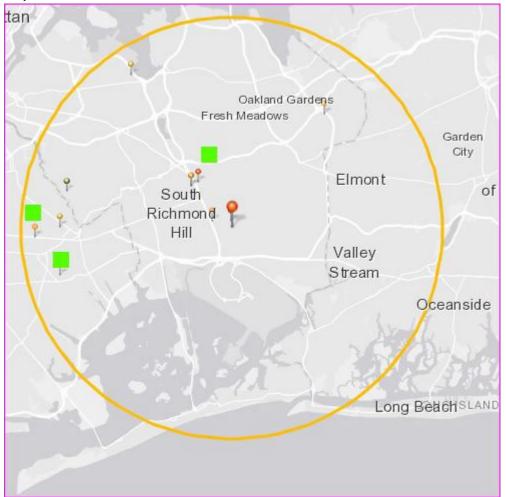
The applicant is a long time OASAS certified provider that has developed internal programming and external relationships to satisfy a client's continuity of care. The applicant intends on continuing to use their core elements.

#### RECOMMENDATION

OASAS' recommendation is to approve the request with the following contingencies from Queen's Village Committee for Mental Health, Inc. for J-CAP, Inc. for Capital Improvement of their Part 820 Residential facility.

- LGU final recommendation
- OASAS Regional Office final recommendation
- Final physical plant inspection completed by OASAS.





### **MEMORANDUM**

# Review of Application #244073/CON#2024.072

**TO:** Director of Certification Bureau Dena Holmes

**FROM:** Phillip Plessas

**DATE:** February 10, 2025

**SUBJECT:** Application Review

#### **APPLICANT DETAILS**

Applicant: Bliss-Poston The Second Wind, Inc.

Application #: 2024.072

CON#: 244073

Purpose: Change in Ownership

County: New York

#### PROJECT SUMMARY

Bliss-Poston The Second Wind, Inc. (Bliss-Poston) submitted a Certification Application requesting New York State Office of Addiction Services and Supports' (OASAS) approval for a change in ownership. Bliss-Poston currently holds one operating certificate (#10675) for the provision of Part 822 Outpatient Services which are located at 928 Broadway, Room 403, 4<sup>th</sup> floor, New York, 10010.

John Bliss, the founding owner of Bliss Poston proposed selling the establishment to Yehuda Stern. Upon approval and closing of sale, Yehuda Stern will be 100% sole owner of Bliss-Poston.

#### **NEED**

Bliss-Poston is an established certified provider and operates outpatient services.

Manhattan Community Board Five ("CB5") acknowledged the planned transfer of ownership of Bliss-Poston from John Bliss to Yehuda Stern. They raised no objections or concerns, citing that the applicant informed them that staff was likely to remain the same and Mr. Bliss will stay on, temporarily, to assist the transition. CB5 noted that Bliss Poston serves residents within their area and provides care to those in need. They want to see the continued success of the operation. This action is in line with county planning since it will preserve the services of an existing provider to a community in need of access. This clinic is approved for Telehealth service. According to the OASAS client data system, in 2024, Bliss-Poston admitted a total of 3 patients and performed 12 assessments. The current census is at 5 with an average length of stay at 4 years.

On October 30, 2024, the New York City Local Governmental Unit (LGU) recommended approval of this action.

The Bureau of Certification received a positive recommendation for this action from the New York City Regional Office on December 3, 2024. No concerns were noted.

#### **CHARACTER AND COMPETENCE**

Bliss-Poston has been an established, certified OASAS outpatient service provider since 1992. Formerly they were under the auspices of NYS Division of Substance Abuse Services.

Proposed owner meets the OASAS required experience managing or operating SUD programs, He holds a master's in social work, is a CASAC-T, completed 350 hours of CASAC training, has nine years of management experience and has worked at OASAS certified Step One Child & Family Guidance Center Addiction Services, Inc. for seven years.

#### OVERALL FINANCIAL CONDITION OF THE PROVIDER

The last fiscal review by OASAS' Fiscal Audit and Review Unit, completed May of 2023, determined Bliss-Poston to be fiscally viable.

No changes to program service or provision are proposed. Regional Office did not indicate any concerns with the current budget.

#### **ADEQUACY**

The purchase agreement includes assumption of the existing lease. The lease includes the OASAS required reentry language.

This action does not involve any changes to the facilities.

#### COMPLIANCE

The most recent OASAS recertification review resulted in a satisfactory compliance rating.

No changes have been proposed in Staffing Pattern.

Policies and procedures were reviewed for regulatory compliance at the most recent site inspection; they were accepted by OASAS.

#### **CONTINUITY OF CARE**

Bliss-Poston is an established service and plans to continue services without any changes to existing practice, continuing care with existing referral agreements. No other changes are proposed.

#### RECOMMENDATION

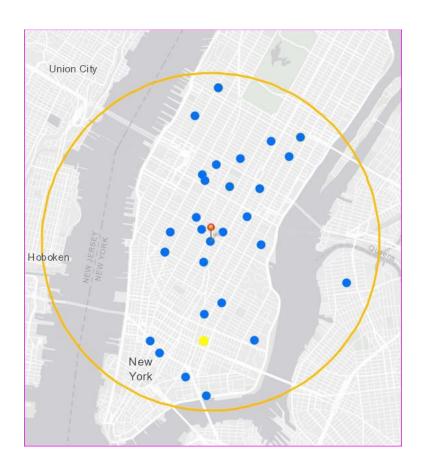
OASAS recommends approval of a change in ownership of Bliss-Poston The Second Wind, Inc. with the following contingency:

 A copy of the fully executed sales document for Bliss-Poston demonstrating that Yehuda Stern is the sole owner.

#### SUPPORTING MATERIALS

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Part 822 Outpatients within three-mile radius of this provider



### **MEMORANDUM**

## Review of Application 2023.056/CON#234071

TO: Director of Certification Bureau Dena Holmes

**FROM:** Jeffrey Capitummino

**DATE:** January 24, 2025

**SUBJECT:** Application Review for Center for Alternative Sentencing and Employment Services, Inc.

#### **APPLICANT DETAILS**

Applicant: Center for Alternative Sentencing and Employment Services, Inc.

Application #: 2023.056

CON#: 234071

Purpose: New OASAS Provider of Part 822 Outpatient Substance Use Disorder Services

County: Bronx

#### PROJECT SUMMARY

The Center for Alternative Sentencing and Employment Services (CASES) submitted a Certification Application requesting the New York State Office of Addiction Services and Supports' (OASAS) approval to become a New OASAS Provider. CASES proposes offering Part 822 outpatient services at 424 East 147th Street in the Bronx, which would be collocated with their existing Article 31 New York State Office of Mental Health (OMH) treatment services at that location.

CASES operates an Article 31 New York State Office of Mental Health (OMH) treatment program in Central Harlem, also known as the Nathanial Clinic, which was awarded designation funding by the Substance Abuse and Mental Health Services Administration as a Certified Community Behavioral Health Center (CCBHC). CASES proposes integrating Part 822 substance use disorder (SUD) services at the Nathaniel Clinic's satellite site in the Bronx, a Mental Health Outpatient Treatment and Rehabilitative Services (MHOTRS) clinic.

CASES has a history of treating patients who have involvement with the criminal justice system. They report that the majority of their referrals for both youth and adults originate from criminal justice agencies and the courts. The applicant reports that a significant number (40%) of their clinic population have co-occurring diagnoses that would benefit from SUD treatment services on-site. CASES reports that treatment for SUD will include screening assessments, individual and group counseling, medication-assisted treatment (MAT), and peer support services from staff utilizing evidence-based counseling approaches, including Motivational Enhancement Therapy and Cognitive Behavioral Therapy. Therapeutic interventions are trauma-informed and family-focused, regularly addressing the needs of their clientele, such as educational barriers, vocational challenges, and housing insecurity.

The existing mental health services program hours are Monday through Friday, 9 am to 7 pm, and Saturdays, 9 am to 5 pm. Walk-in hours are from 9 am to 4 pm, Monday through Saturday, and will remain the same for the proposed SUD services.

#### NEED

In their 2024 County Plan, the NYC Department of Health and Mental Hygiene identified the goal of maintaining and enhancing "access to outpatient clinical services across all disability areas" and increasing "access to and availability of treatment services to those with co-occurring needs."

On June 18, 2024, Billy Green, Senior Director of Clinical Programs for CASES, presented this new SUD outpatient proposal to Bronx Community Board #1, serving the Melrose, Mott Haven, and Port Morris neighborhoods. Billy Green reported that the board responded favorably and asked about increasing the service levels at this site.

The applicant reports that the Mott Haven-Hunts Point community, which constitutes most of Community Board #1, "has historically been an epicenter for substance use problems in the Bronx and, in general, has been deemed one of the most health-challenged communities in the United States." The applicant reports that in Mott Haven and Melrose, 32% of residents live in poverty, compared to 18% of the entirety of NYC; also, "the South Bronx is the leading area for fatal overdoses in NYC."

The New York City Local Government Unit's (LGU) recommendation in support of this application was received on November 15, 2024. The LGU stated the following in their recommendation: "While the existing program serves individuals from the five boroughs of NYC, their target population is residents of the South Bronx as the proposed site is in proximity to the majority of neighborhoods that have rates of overdose death exceeding the New York City average, 2023. These neighborhoods include: Hunts Point-Mott Haven, 138.9; Crotona-Tremont, 115.7; Highbridge-Morrisania, 106.4; East Harlem, 85.1; Fordham-Bronx Park, 78.3; Central Harlem, 60.6; and Pelham-Throgs Neck, 50.8."

On October 21, 2024, the Bureau of Certification received the OASAS New York City Regional Office recommendation in support of this application.

#### **CHARACTER AND COMPETENCE**

In 1989, The Center for Alternative Sentencing and Employment Services was formed as a Domestic Not-For-Profit Corporation through the merger of two long-established programs operated by the Vera Institute of Justice:

- The Court Employment Project: initiated in 1967 to provide meaningful alternatives for judges when sentencing young offenders facing felony convictions in New York City.
- The Community Service Sentencing Project was initiated in 1979 as one of the first programs in the nation to use community service as a sanction for low-level crime.

CASES has a fifteen-member Board of Trustees. Keith Little, MPH, is the board chairman. He was previously the Chief Executive Officer of the SCO Family of Services from September 2017 to January 2023, overseeing two OASAS-certified residential Part 819 treatment programs in Suffolk County. With a degree in an OASAS-approved Human Services field and 3 years of documented work experience in addiction disorder treatment, Keith meets OASAS's required experience in substance use disorder services.

According to the New York State Office of Mental Health, the Center for Alternative Sentencing and Employment Services operates six OMH-certified Article 31 programs in good standing throughout Manhattan and the Bronx.

#### **OVERALL FINANCIAL CONDITION OF THE PROVIDER**

The proposed annual budget identifies revenues at \$1,417,500 and expenses at \$1,184,675, resulting in a net profit of \$232,825. The applicant reports receiving \$114,556 from a CCHBC Substance Abuse and Mental Health Services Administration (SAMSHA) deficit funding grant.

The applicant reports in their budget that revenue will primarily be generated from fee-for-service and managed care Medicaid payments, with a smaller portion of income from Medicare and private/commercial insurance reimbursement.

The OASAS New York City Regional Office did not report concerns after reviewing the CASES budget.

#### **ADEQUACY**

The applicant signed a ten-year lease for the proposed site on April 28, 2023, which was reviewed and found to contain OASAS's required right to re-entry language.

On September 30, 2024, the OASAS Facilities Evaluation and Inspection Unit (FEIU) completed a floor plan review and reported that the proposed space "is more than adequate."

On October 2, 2024, the FEIU completed its final inspection of the proposed space, which determined that the location complied with regulatory standards.

On November 7, 2024, the OASAS Facilities Evaluation and Inspection Unit (FEIU) completed a State Environmental Quality Review. The SEQR determined that the proposed site did not require further environmental review as it was a predetermined SEQR Type II non-significant action.

#### **COMPLIANCE**

The applicant submitted a staffing plan for the proposed location that meets regulatory requirements and includes one part-time Medical Director, one part-time Nurse Practitioner (NP), one full-time Clinical Director (who is a qualified health professional), one part-time Certified Recovery Peer Advocate (CRPA), four full-time Credentialed Alcoholism and Substance Abuse Counselors (CASACs), one full-time Vocational/Education Counselor, and one full-time and one part-time Licensed Clinical Social Worker (LCSW).

Policies and procedures have been reviewed for regulatory compliance and are accepted by OASAS.

#### **CONTINUITY OF CARE**

According to CASES, the Nathaniel Clinics offer highly accessible mental health care to their clientele, including family, peer, and care coordination services. The Bronx location will now offer group therapy that exclusively targets substance use disorder and additional recovery support needs. CASES operates a central scheduling hub offering same-day initial assessments following screening, with requests for services triaged on the same business day and an available 24-hour hotline where a licensed mental health professional is available during clinic hours or after hours through hotline access. The CASES Court Services Team works in the Bronx, Brooklyn, and Manhattan courts, providing incarceration alternatives and assistance with immediate connection to community services.

CASES submitted a referral agreement with the Institute for Family Health, which offers primary care and other medical services. It is conveniently located in the same building as its Nathaniel Clinic's main site in Harlem and is available to clients at the Bronx location. CASES reports having a strong referral relationship with local human service agencies, including Samaritan Daytop Village for residential SUD treatment, the Fortune Society, which offers vocational resources, and Harlem United for HIV services.

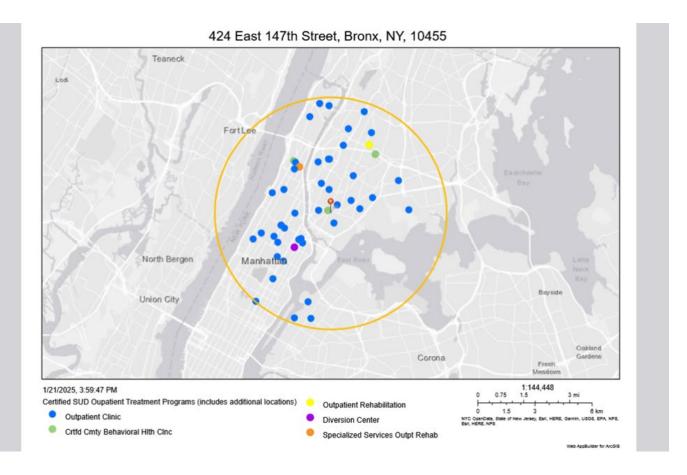
#### RECOMMENDATION

OASAS recommendation is to approve the request from the Center for Alternative Sentencing and Employment Services, Inc. to become a new OASAS provider of Part 822 outpatient services with the following contingencies:

- Verification of the hiring of sufficient staff to meet regulatory requirements.
- Copy of filing receipt from the NYS Department of State indicating that the amended Certificate of Incorporation, including the OASAS required language, has been filed.

#### SUPPORTING MATERIALS

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## **MEMORANDUM**

# Review of Application #2024.077/CON#244079

**TO:** Director of Certification Bureau Dena Holmes

**FROM:** Phillip Plessas

**DATE:** February 12, 2025

**SUBJECT:** Application Review

#### **APPLICANT DETAILS**

Applicant: Community Health Center of Buffalo, Inc.

Application #: CA 2024.077

CON#: 244079

Purpose: New OASAS Provider

County: Erie

#### PROJECT SUMMARY

Community Health Center of Buffalo, Inc. (CHCB) submitted a Certification Application requesting New York State Office of Addiction Services and Supports' (OASAS) approval to become a new OASAS provider of Part 822 outpatient service to be located at 34 Benwood Ave., Buffalo, NY 14214.

The proposed services will operate between hours of 9:00 am and 5:00 pm, Monday; 9:00 am and 5:00 pm Tuesday through Friday; and 8:00 am to 12:00 pm on Saturdays.

#### **NEED**

The provider is a currently licensed and certified Article 28 facility under the New York State Department of Health (DOH) as a Federally Qualified Health Center (FQHC). CHCB applied under Health and Human Services (HHS) Substance Abuse and Mental Health Services Administration (SAMHSA) to transition to a Certified Community Behavioral Health Center (CCBHC) and received a grant to provide those services. To qualify as a CCBHC under SAMHSA they must obtain certification as an OASAS approved provider under New York State Article 32.

The CHCB needs assessment expands on information developed by SAMHSA and Health Research Services Administration (HRSA). They focused on accessibility, availability, barriers to obtaining services, income, race and culture, and other factors that influence community health.

CHCB interviewed many stakeholder organizations representing a cross section of the population, while also seeking to increase awareness of their healthcare services. The dataset indicated most topics of concern, for the locale, and indicated they surpassed state and national averages.

Their current service demographic within their Article 28 program includes a significant number of persons with a substance use disorder (SUD). There is high utilization rate of emergency care; many individuals do not use outpatient care, despite a significant number of them being enrolled in Medicaid. There is a disparity of service to

minorities, corelating with significantly higher death rates. Poverty impacts healthcare here especially considering race. The applicant notes higher rate of behavioral health issues, trauma, especially when considering COVID, and race-based mass shooting in 2022.

On January 23, 2025, OASAS received the Local Government Unit (LGU) Review Report from the Erie County Department of Mental Health with a positive recommendation of this action.

The Bureau of Certification received a positive recommendation for this action from the Western Regional Office on October 23, 2024. No concerns were noted.

#### **CHARACTER AND COMPETENCE**

CHCB is registered with the New York State Department of State (DOS) as a domestic business non-profit corporation. There are a total of nine board members, each with an equal percentage of voting rights.

CHCB is an Article 28 Diagnostic and Treatment Center (D&TC), Certificate Number 140123OR, effective July 1, 2017. CHCB began providing primary, dental, and mental health care in 1999. In 2012 substance abuse services were added to the federal scope of services. Since CHBC is a D&TC, they qualify as a SUD provider under DOH regulation and meet OASAS standards for SUD management and operation experience.

The New York State Office of Mental Health (OMH) awarded CHCB a provisional license for Erie County in July of 2024. They are in good standing with New York State Office of Mental Health and were conditionally approved to operate a new Mental Health Outpatient Treatment and Rehabilitative Services Program (MOTHRS).

No issues of concern were found regarding character or competence.

### OVERALL FINANCIAL CONDITION OF THE PROVIDER

CHCB, as an established Article 28 entity, is not subject to OASAS fiscal review.

#### **ADEQUACY**

CHCB owns the proposed site at 34 Benwood Ave., Buffalo, NY 14214; they bought the property in 2011.

On September 9, OASAS Facilities Evaluation and Inspection Unit reported that the site did not require further environmental review, as it is a predetermined SEQR Type II non-significant action. A review of the floor plans indicated that the proposed space appears to comply with the Part 814 facility regulations for the service provided.

On September 30, 2024, OASAS FEIU completed a Physical Plant Inspection that found that the space is adequate to house the Part 822 Outpatient Treatment Services.

#### COMPLIANCE

The Behavioral Health Department staffing plan describing functions, job duties and qualifications were submitted. The proposed staffing plan includes a 0.25 full-time equivalent (FTE) Clinical Director, a 0.25 FTE Nurse Practitioner, One full-time CASAC, One full-time Qualified Health Practitioner (QHP) – other, one full-time Counselor, two FTE Care Coordinators and a Certified Peer Recovery Advocate (CRPA). At least 50 percent of all clinical staff are qualified health professionals.

A desk review of Policies and Procedures was performed for regulatory compliance, and they have been accepted by OASAS.

#### **CONTINUITY OF CARE**

The applicant's plans for service, policies, and procedures address continuity of care. They are an established Article 28 FQHC service and have relationships with a large array of providers.

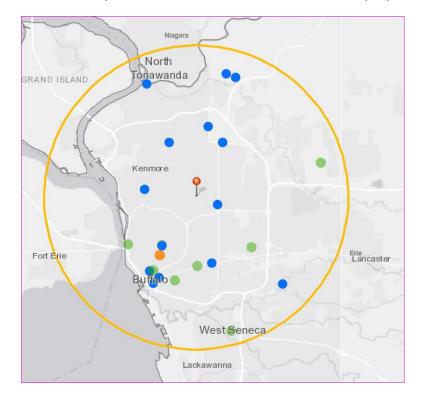
#### RECOMMENDATION

OASAS recommendation is to approve the request from CHCB, Inc. to become a new OASAS Provider of Part 822 Outpatient Services with the following contingencies:

- Copy of filing receipt from the NYS Department of State indicating that the amended Certificate of Incorporation/Articles of Organization, including the OASAS required language, have been filed.
- Verification of the hiring of sufficient staff to meet regulatory requirements.

#### SUPPORTING MATERIALS

Part 822 Outpatient Clinics in a 10-mile radius of the proposed location (blue dots)



### **MEMORANDUM**

## Review of Application #2024.086/CON#244087

**TO:** Director of Certification Bureau Dena Holmes

FROM: Jeffrey Capitummino

**DATE:** January 24, 2025

**SUBJECT:** Employee Assistance Resource Services, Inc.

#### **APPLICANT DETAILS**

Applicant: Employee Assistance Resource Services, Inc.

Application #: 2024.086

CON#: 244087

Purpose: Change in Ownership

County: Suffolk

#### PROJECT SUMMARY

Employee Assistance Resource Services, Inc. (EARS) submitted a certification application requesting the New York State Office of Addiction Services and Supports' (OASAS) approval for a Change in Ownership. Dr. Simon Zysman, the current sole owner of EARS, proposes to sell 100% of its stock to Samuel Strulovitch. This proposed sale will affect the provider's OASAS-certified Part 822 substance use disorder (SUD) treatment outpatient program (Operating Certificate #10768) located at 278 East Main Street in Smithtown, NY 11787.

Under the proposed new ownership, the business name, incorporation status, and location will remain the same.

#### **NEED**

According to the OASAS Client Data System Report, EARS has admitted 47 clients to its Part 822 outpatient SUD services since January 1, 2023. Their Part 822 outpatient SUD clinic holds designations on their operating certificate for both Telehealth and Ancillary Withdrawal Services.

Suffolk County Community Mental Hygiene Services lists the reduction of fatal and non-fatal overdoses in Suffolk County as a goal in its 2024 County Plan.

The applicant submitted outreach to neighboring mental health and SUD treatment facilities, including:

On August 29, 2024, Toscha Hamilton, a representative of the WellLife Network, a comprehensive behavioral health service provider in Suffolk County, confirmed an email notification from EARS that noted "no concerns" with their application.

On September 4, 2024, EARS contacted Madlyn Makboulian, Director of Drug and Alcohol Counseling Services, Town of Smithtown Horizons Counseling and Education Center, to inform of the ownership change. Madlyn expressed no concerns.

On September 4, 2024, Cari Besserman, Director of the Division of Community Mental Hygiene in Suffolk County, confirmed email notification of the proposed ownership change, which she later supported the Suffolk County Local Governmental Unit recommendation.

OASAS' Long Island Regional Office's recommendation in support of this application was received on December 17, 2024.

The Suffolk County Local Governmental Unit recommendation supporting this application was received on January 9, 2025.

#### CHARACTER AND COMPETENCE

Employee Assistance Resource Services, Inc. was established as a domestic business corporation in 1984. It has been an OASAS-certified Part 822 outpatient SUD treatment provider since at least 1995. Its operating certificate (#10768) is in good standing with OASAS.

The proposed owner, Samuel Strulovitch, has a history of managing and owning assisted living facilities. Samuel owns and is the chief executive officer of the 200-bed Hempstead Assisted Living Program, d/b/a Long Beach Assisted Living, in Long Beach, NY. This facility is in good standing with the NYS Department of Health Office of Aging and Long-Term Care.

Samuel Strulovitch currently owns and operates another OASAS-certified entity, Child and Family Guidance Center Addiction Services, Inc., also known as Step One, in Highland, NY, with additional locations in Ellenville and Kingston. Step One is also licensed by the New York State Office of Mental Health (OMH) as an Article 31 Clinic. Both operating certificates are in good standing with their respective regulatory agencies.

With over four years of qualified, documented work experience in SUD treatment and completion of the required training, Samual Strulovitch meets the OASAS-required experience in substance use disorder services.

#### OVERALL FINANCIAL CONDITION OF THE PROVIDER

OASAS' Fiscal Audit and Review Unit last completed a fiscal viability review on May 6, 2024, which determined Employee Assistance Resource Services, Inc. is fiscally viable.

#### **ADEQUACY**

During program recertification, OASAS last reviewed the Employee Assistance Resource Services outpatient Part 822 program for regulatory compliance in July 2024. It determined that the program was operating within regulatory standards and issued a three-year renewal on its operating certificate.

The applicant's new 20-year lease of the currently operated space will take effect on the date of the stock transfer closing.

#### COMPLIANCE

No changes will be made to the budget, policies and procedures, staffing, location, or services provided as a result of this application. This provider's Part 822 outpatient program was reviewed for regulatory compliance in July 2024 and found to be in compliance and good standing with OASAS.

#### **CONTINUITY OF CARE**

Employee Assistance Resource Services, Inc. serves the SUD and mental health needs of Suffolk County adolescents, adults, and seniors. They have active referral relationships with the many surrounding hospitals for which they plan on continuing and providing services that have been ongoing since 1986.

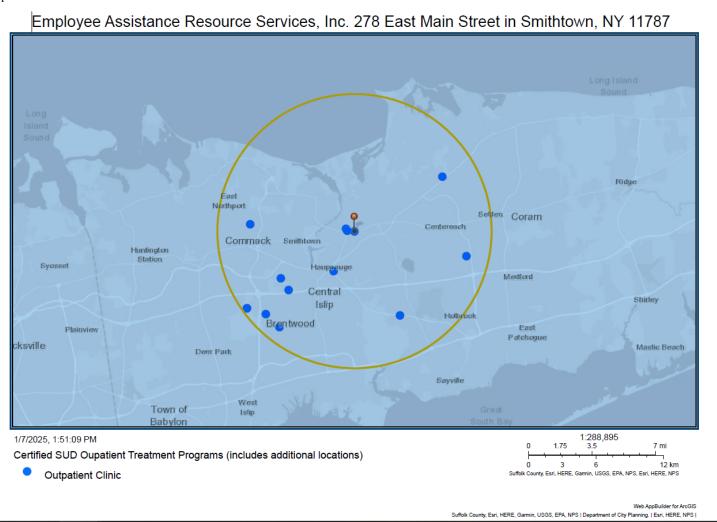
#### RECOMMENDATION

OASAS recommends approving the request from Employee Assistance Resource Services, Inc. for a Change in Ownership with the following contingencies:

A copy of the fully executed Stock Transfer Agreement

#### SUPPORTING MATERIALS

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### **MEMORANDUM**

## Review of Application CA#2024.090 / CON#244091

**TO:** Director of Certification Bureau Dena Holmes

FROM: Bradford Ellis

**DATE:** 1/2/25

**SUBJECT:** Application Review for Horizon Village, Inc.

#### **APPLICANT DETAILS**

Applicant: Horizon Village, Inc.

Application #: CA#2024.090

CON#: CON#244091

Purpose: Capital Project

County: Niagara

#### PROJECT SUMMARY

Horizon Village, Inc. submitted a Certification Application requesting New York State Office of Addiction Services and Supports' (OASAS) approval for a capital Project to be located at 6301 Inducon Drive East, Sanborn, NY 14132 and affecting operating certificate #12039.

Capital project funding will allow for an increase of space within the applicant's Part 820 Residential Services proposed 5,850 sqft. addition to add 5 additional staff offices, 4 gender neutral bathrooms, 3 storage rooms, 2 group counseling rooms and a staff break room. This increase in space through the proposed construction at the current site will allow for better services for patients and better working conditions for staff along with allowing for social norms. The actions within this application will not change the current capacity of 50. The projected \$2,759,000.00 construction project is presently in the pre-construction phase.

#### **NEED**

The current facility does not provide efficient and effective provision of services. The present-day space is lacking adequate space for current population and staff. The addition is needed to provide the rooms necessary to improve the work, treatment, and social environment of the facility.

For proof of community outreach the provider submitted a copy of receipt for a notification of the 5850 sq ft addition that ran in the Niagara Gazette for 14 days in November of 2024.

Approval of support for the action in this application was received by Eric County Local Government Unit on 10/25/24 and from Niagara County Local Government Unit on 12/30/24.

Approval for support of this application from OASAS Western Regional Office was received on 11/21/24.

#### CHARACTER AND COMPETENCE

Horizon Village, Inc. has been an OASAS-certified provider since at least 1995. Horizon Village, Inc. currently holds six Operating Certificates with OASAS; (1) medically supervised inpatient and withdrawal service, (5) residential programs all located in Erie and Niagara Counties. All programs have received partial to substantial compliance on their latest certification reviews and are in good standing with OASAS.

#### OVERALL FINANCIAL CONDITION OF THE PROVIDER

According to the most recent fiscal review completed on 11/13/24 by OASAS' Fiscal Audit and Review Unit, Horizon Village, Inc is considered fiscally viable.

Horizon Village, Inc. submitted a budget that has projected construction costs of \$2,759,000. The budget was reviewed by Western Regional Office and there were no reported concerns.

#### **ADEQUACY**

To satisfy the proof of ownership the applicant submitted a deed that was reviewed and accepted.

OASAS Facilities Evaluation and Review Unit (FEIU) completed a State Environmental Quality Review on 10/17/24 and a Floor Plan Review on 10/17/24. Both reviews found that the proposed space appears to comply with the Part 814 facility regulations for service providers.

#### COMPLIANCE

The actions in this application will not change services, staffing patterns or patient capacity. The applicant will continue to use existing staffing patterns and policies and procedures that have previously been accepted by OASAS.

#### CONTINUITY OF CARE

The applicant is a long time OASAS certified provider that has developed internal programming and external relationships to satisfy a client's continuity of care. The applicant intends on continuing to use their core elements.

#### RECOMMENDATION

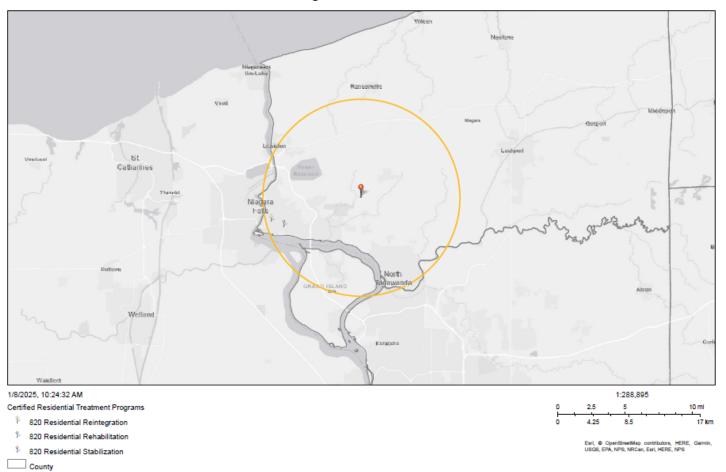
OASAS recommendation is to approve the request from Horizon Village, Inc. for a Capital Project to their 820 Residential Services facility with the following contingencies:

OASAS inspection and approval of the completed facility.

## SUPPORTING MATERIALS

Мар

## Horizon Village Inc. CON #244091 10 Miles



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