

Attachment 1. Ambulatory mental health services for adults for which Mainstream Managed Care and Health and Recovery Plans may require prior and/or concurrent authorization of services.

Service	Prior Auth	Concurrent Review Auth	Additional guidance
Outpatient mental health office and clinic services including: initial assessment; psychosocial assessment; and individual, family/collateral, and group psychotherapy	No	Yes	MMCOs/HARPs must pay for at least 30 visits per calendar year without requiring authorization. MMCOs/HARPs must ensure that concurrent review activities do not violate parity law. Note: the 30-visit count should not include: a) FFS visits or visits paid by another MMCO/HARP; b) off-site clinic services; or c) psychiatric assessment and medication management visits. Multiple services received on the same day shall count as a single visit (and must be delivered consistent with OMH clinic restructuring regulations: http://www.omh.ny.gov/omhweb/clinic_restructuring/part599/part-599.pdf)
Outpatient mental health office and clinic services: psychiatric assessment; medication treatment	No	No	
Outpatient mental health office and clinic services: off-site clinic services	Yes	Yes	OMH will issue further guidance regarding off-site clinic services.
Psychological or neuropsychological testing	Yes	N/A	
Personalized Recovery Oriented Services (PROS) Pre-Admission Status	No	No	Begins with initial visit and ends when Initial Service Recommendation (ISR) is submitted to Plan. Providers bill the monthly Pre-Admission rate but add-ons are not allowed. Pre-Admission is open-ended with no time limit.
PROS Admission: Individualized Recovery Planning	Yes	No	Admission begins when ISR is approved by Plan. Initial Individualized Recovery Plan (IRP) must be developed within 60 days of the admission date. Upon admission, providers may offer additional services and bill add-on rates accordingly for: <ul style="list-style-type: none"> • Clinical Treatment; • Intensive Rehabilitation (IR); or • Ongoing Rehabilitation and Supports (ORS). Prior authorization will ensure that individuals are not receiving duplicate services from other clinical or HCBS providers.
PROS Active Rehabilitation	Yes	Yes	Begins when IRP is approved by Plan. Concurrent review and authorizations should occur at 3-month intervals for IR and ORS services and at 6-month intervals for Base/ Community Rehabilitation and Support (CRS) and Clinic Treatment services.
Mental Health Continuing Day Treatment (CDT)	Yes	Yes	
Mental Health intensive outpatient (<i>note: Not State Plan</i>)	Yes	Yes	
Mental Health partial hospitalization	Yes	Yes	
Assertive Community Treatment (ACT)	Yes	Yes	New ACT referrals must be made through local Single Point Of Access (SPOA) agencies. Plans will collaborate with SPOA agencies around determinations of eligibility and appropriateness for ACT following forthcoming NYS guidelines.



Attachment 2. Ambulatory substance use disorder services for adults for which Mainstream Managed Care and Health and Recovery Plans may require prior and/or concurrent authorization of services.

Service	Prior Auth	Concurrent Review Auth	Additional guidance
OASAS-certified Part 822 clinic services, including off-site clinic services	No	Yes	<p>See OASAS guidance regarding use of LOCATDR tool to inform level of care determinations.</p> <p>OASAS encourages plans to identify individual or program service patterns that fall outside of expected clinical practice but will not permit regular requests for treatment plan updates for otherwise routine outpatient and opioid service utilization; 30-50 visits per year are within an average expected frequency for OASAS clinic visits. The contractor will allow enrollees to make unlimited self-referrals for substance use disorder assessment from participating providers without requiring prior authorization or referral from the enrollee's primary care provider.</p> <p>MMCOs/HARPs must ensure that concurrent review activities do not violate parity law.</p>
Medically supervised outpatient substance withdrawal	No	Yes	<p>Plans may require notification through a completed LOCADTR report for admissions to this service within a reasonable time frame.</p>
OASAS Certified Part 822 Opioid Treatment Program (OTP) services	No	Yes	<p>OASAS encourages plans to identify individual or program service patterns that fall outside of expected clinical practice but will not permit regular requests for treatment plan updates for otherwise routine outpatient and opioid service utilization; 150-200 visits per year are within an average expected frequency for opioid treatment clinic visits. The contractor will allow enrollees to make unlimited self-referrals for substance use disorder assessment from participating providers without requiring prior authorization or referral from the enrollee's primary care provider.</p> <p>MMCOs/HARPs must ensure that concurrent review activities do not violate parity law.</p>
OASAS Certified Part 822 Outpatient Rehabilitation	No	Yes	<p>Plans may require notification through a completed LOCADTR report for admissions to this service within a reasonable time frame.</p> <p>The contractor will allow enrollees to make unlimited self-referrals for substance use disorder assessment from participating providers without requiring prior authorization or referral from the enrollee's primary care provider.</p> <p>MMCOs/HARPs must ensure that concurrent review activities do not violate parity law.</p>