


Introduction: Cross Reference – Statutes and Standards

 Office of Mental Health Official Policy Manual Directive	Section Introduction	Section # I-300
	Title Cross Reference – Statutes and Standards	
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The following table references relevant sections of the Mental Hygiene Law, Title 14 of the *Official Compilation of Codes, Rules and Regulations of the State of New York*, Joint Commission on Accreditation of Hospitals' *Consolidated Standards Manual and Accreditation Manual for Hospitals*, and Title 42 of the *Code of Federal Regulations* for each section of the Table of Contents. Since statutes, regulations and standards are in a constant state of change, this list does not reflect a complete compendium. These references provide the framework for the development of policy directives to be contained within this policy manual and facility-specific policies. Further clarification of these statutes and standards is available from facility, regional and central office administration staff.

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Policy	MHL	14 NYCRR	JCAH-CSM	JCAH-AMH	42 CFR
Introduction					
Administration					
Purpose	Sec. 7.01				
Organization, Structure and Activities	Art. 5, 7	Part 501			405.1021
State Operated Psychiatric Facilities	Art. 7	Part 82.5, 85.5	Chap. GB, CE, PO	Chap. GB, MA, MS	
Public Affairs	Sec. 41.31	Part 8			
Minority Affairs		Part 27.4	Chap. PP		
Legal Affairs	Sec. 7.35, 9.59, 29.09, 31.13, 31.17, 31.21, 33.15, Art. 47, 67, 77, 78	Part 22			
Reviews and Inspections	31.21 Sec. 7.33, 31.07, 31.09	Part 71			405.1306, 405.1904, 405.1905, 405.1906, 405.1907, Part 456 – Subpart I

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Policy	MHL	14 NYCRR	JCAH-CSM	JCAH-AMH	42 CFR
Patient Care					
Purpose	Sec. 7.15, 33.03	Part 27.1			
Patient Rights	Sec. 9.07, 9.19, 9.29, 9.31 31.19	Parts 15, 21, 22, 24, 25, 27	Chap. PI, PE.1, IN.7, SC	Sec. MR.3	
Patient Movement Admissions Criteria/Status	Art. 9, 29	Parts 15, 18 583	Chap. IN		
Admission process	Sec. 9.05, 29.01, 29.05, 29.07, 29.27, 33.07	Parts 15.2, 15.3	Chap. IN, AS		441.152, 441.153, Part 456 – Subparts D and G
Leaves, Visits, Excursions	Sec. 29.11, 33.17	Part 21			
Transfers	Sec. 29.11, 67.07	Part 17			
Leave Without Consent	Sec. 29.19, 29.29	Parts 37, 540.11, 541.12			
Discharge and Conditional Release	Sec. 29.11, 29.15, 29.16, 29.17, 41.17	Parts 36, 540.8, 541.10	Chap. PI.4.1.15, PE.1.12, TP.3, TP.1.12		
Death	Sec 29.29, 45.19	Part 24	Chap. PE.1.15		
Patient Treatment	Sec. 29.13, 33.03, Art. 80	Parts 25, 27	Chap. PI, IN.8, TP, SC, TH		441.102, 441.155, 441.156
Patient Services	Sec. 7.27, 33.03, 33.11	Part 27.5	Chap. AN, DE, DT, ER, PS, PA, PH, PR, RA, RE, RH	Chap. AN, DR, DT, ER, PA, PH, PR, SO, RH, NR	405.1038, 440.160
Patient Management	Sec. 33.04	Part 27.7	Chap. SC	Sec. MS.2.5	
Patient Fiscal Affairs	Sec. 29.23, 31.29, 33.07, 43.03				

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Policy	MHL	14 NYCRR	JCAH-CSM	JCAH-AMH	42 CFR
Patient Fiscal Affairs	Sec. 29.23, 31.29, 33.07, 43.03				
Community Services	Sec. 7.15, Art. 41	Parts 575, 579, 585	Standards for outpatient services are contained throughout manual. (See Appendix A).		
Treatment Services					
Community Support Services		Part 575			
Residential Services	Sec. 7.15, 9.51, 31.03, 31.26, 41.33, 41.34, 41.36, 41.37, 41.38, 41.44	Parts 87, 578, 583, 584, 586			
Forensic Services	Sec. 29.27	Parts 9, 18, 57, 540, 541			
Children and Youth Services	Sec. 7.37, 9.09, 9.53, 33.11, 33.21	Parts 576, 578, 583, 584	Chap. RS.4.4, RS.4.5, AS.2.3, SC.3.1.3, SC.3.2, SC.5.2, SC.6.3.2, SC.8.2.2		440.160, 441.152, 441.154
Geriatric Services					Part 441 – Subpart C
Patient Physical Health Care		Part 27.5	Chap. AS.2, IC, SS	Chap. IC	
Operational Management					
Human Resources Management	Sec. 7.19	Parts 82.7, 83.7, 85.7, 86.8, 584.10, 585.7, 586.8	Chap. PP		405.1038

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Policy	MHL	14 NYCRR	JCAH-CSM	JCAH-AMH	42 CFR
Administrative Support Services					
Transportation	Sec. 33.17		Chap NR.8		
Housekeeping			Chap. HK	Chap. IC	
Nutrition			Chap. DT	Chap. DT	
Safety and Security	Sec. 7.25, 7.27 (b)	Parts 45, 77.4, 78, 542	Chap. PL	Chap. PL	
Equipment and Supplies		Part 150.6	Chap. PL.8, PL.9	Chap. PL	
Real Estate Management	Sec. 5.09, 41.03, 41.38, 71.01, 75.17, 75.19, 75.21, 75.23, 75.25, 75.27, 75.29, 75.31				
Plant Operations	Sec. 7.27	Parts 77, 150	Chap. PL	Chap. PL	
Records Management	Sec. 29.01		Chap. PE	Chap. MR	
Printing and Reproduction					
Mail					
Business Office Operations			Chap. FM		
Information Services					
<i>Planning</i>					
Local Planning	Art. 41	Parts 51, 53, 100.8, 103			
State Planning	Sec. 5.07, 31.25				
Federal Planning					

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Policy	MHL	14 NYCRR	JCAH-CSM	JCAH-AMH	42 CFR
Capital Planning	Sec. 5.09	Parts 51, 150			
Demonstration Projects	Sec. 41.35, 41.42				
<i>Research</i>					
Research Facilities, Structures and Activities	Sec. 7.15	Part 56			
Parameters for Research	Sec. 33.03	Part 27.10	Chap. RS, PI.4.1, SC		
Publishing Research Findings					
<i>Financial Management</i>					
Budgeting	Sec. 41.23		Chap. FM		
Expenditure Monitoring		Part 574, 576	Chap. FM		
Costing		Parts 100, 575.4			
Rate Setting	Sec. 41.25, 43.01, 43.02, 43.07	Parts 60, 577, 578, 579	Chap. FM.2		
Billing and Collection Activities	Sec. 43.07	Part 59	Chap. FM.5.2, FM.5.3		
Development of Patient Income Sources	Sec. 31.29				
<i>Quality Assurance</i>					
Facility QA Plan		Part 584.17	Chap. QA	Chap. QA	
Clinical Records	Sec. 9.11, 31.01, 33.13	Parts 6.1, 25.6, 27.3, 82.8, 83.8, 85.9, 86.10, 584.16, 585.14, 586.10	Chap. PE, IN, AS, TP, SC, RH.6, RH.21, RH.26.2, RH.32.2.1	Chap. MR	405.1037

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Policy	MHL	14 NYCRR	JCAH-CSM	JCAH-AMH	42 CFR
Reporting and Investigation	Sec. 7.21 (b), 29.29, 45.19	Part 24			
Confidentiality	Sec. 33.13	Parts 6.2, 82.8, 83.8, 85.9, 86.10, 584.16, 585.14, 586.10	Chap. VO.4.1.1, UR.3.2.3, PI.5, PE.2.8, RE.2	Sec. MR.3	
Utilization Review		Part 584.18, 585.15	Chap. QA.5.4.2, UR	Chap. UR	405.1035, Part 456 – Subparts D, G, H, I
Program Evaluation	Sec. 31.01		Chap. FA	Sec. QA.3	
Education and Training	Sec. 7.23	Parts 85.7, 86.8, 584.10, 585.7, 585.8	Chap. PP.6.4, SG, AN.9, DT.9, PH.23, PH.24, PH.27, PH.28, PH.29, PR, RH.11, RH.13, PL.3.1.4	Sec. DT.2, ER.2, MS.7, NR.6, SO.2	405.1038