

**ANDREW M. CUOMO**  
Governor

**ANN MARIE T. SULLIVAN, M.D.**  
Commissioner

**CHRISTOPHER TAVELLA, Ph.D.**  
Executive Deputy Commissioner

## **Appendix A: Pilot Core Guiding Principles**

Pilot proposals should take into consideration and include throughout the core guiding principles below, which will assure proposed approaches, design and model elements all reflect the values and vision integral to effective residential interventions.

### **Family Driven Principles**

Inclusion of family-driven practices that will result in ongoing family engagement, partnership, stabilization and readiness for reunification. Examples include, but are not limited to:

- ✓ Specific practices that will ensure that family/guardian takes the lead in their/youth's care.
- ✓ Family partners/peer support/advocates are a part of the provider team
- ✓ A mechanism is established for eliciting and implementing family/guardian feedback

### **Youth Guided Principles**

Inclusion of a range of youth-guided practices that will result in effective engagement, active participation in treatment, stabilization and readiness for return to home/community or step down. Examples include, but are not limited to:

- ✓ Specific practices to ensure that youth have a developmentally appropriate role in their care and are involved in everyday decision-making about their care
- ✓ A mechanism is established for eliciting and implementing feedback from youth

### **Community Integration**

Inclusion of approaches to be used and supports to actively prepare, motivate, engage youth and families in community based services, with seamless transition from RTF based services to home and community based. Activities include but are not limited to:

- ✓ Treatment
- ✓ Education/school
- ✓ Employment if Applicable
- ✓ Community-related activities
- ✓ School-related activities
- ✓ Church-related activities
- ✓ Sports, music, volunteerism

### **Assessment and Treatment**

Identification of informed practices that include evidenced based and emerging best practices for residential intervention and the clinical, cultural and linguistic needs of the youth/families being served



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- ✓ Clinical interventions and community based approaches to address trauma, facilitate recovery, enhance family and community connectedness
- ✓ Family-Centric treatment and support that expands engagement and partnership, pre and post residential intervention to support recovery and sustain positive outcomes

### **Cultural & Linguistic Competence**

- ✓ Practices that reflect cultural and linguistic responsiveness in all aspects of service delivery
- ✓ Practices that are culturally congruent with youth and families being served

### **Discharge/Transition to Step down**

- ✓ Inclusion of substantive, robust post—discharge support
- ✓ Effective partnering with community providers and natural family support systems

### **Staff Development**

Description of the roles and responsibilities of staff in delivering the service(s), and skills and experience needed. Description of initial and ongoing staff training and supervision

- ✓ Identification of staffing needed to implement pilot
- ✓ Identification of staff training needed to perform new roles, approaches, support new principles and provide new or enhanced clinical practices to include EBP as applicable
- ✓ Identification of on-going competency based supervision to support staff in increasing core skills

### **Outcomes/Managed Care Readiness**

- ✓ Identification of outcome goals consistent with the major tenets of the service design/proposals
- ✓ Identification of performance measures to monitor/evaluate service quality and effectiveness
- ✓ Inclusion of performance indicators that address post residential intervention when applicable