

## **April 2014 Monthly Report:**

### OMH facility performance metrics and community service investments

#### Report Overview:

April 2014 begins the monthly reporting to the Legislature pursuant to the State Fiscal Year 2014-15 Budget agreement which requires that *“The commissioner of mental health shall provide monthly status reports of the 2014-15 community investments and the impact on inpatient census to Chairs of the Senate and Assembly fiscal committees. Such report shall include state operated psychiatric facility census, admissions and discharges; rate of Medicaid psychiatric inpatient readmissions to any hospital within thirty days of discharge; Medicaid emergency room psychiatric visits; and descriptions of 2014-15 new community service investments. Such report shall include an explanation of any material census reductions, when known to the facility.”*

This report is comprised of several components:

1. State Psychiatric Center (PC) descriptive metrics
2. Psychiatric readmissions to hospitals and emergency rooms for State PC discharges
3. Description and status of community service investments.<sup>1</sup>
4. Article 28 and Article 31 hospital readmission metrics

#### Statewide Overview of Service Expansion:

In April 2014, funding for the expansion of supported housing was issued under State Aid Letters for Upstate counties (north of New York City), and will be released shortly through Request for Proposals (RFP) for New York City and Long Island counties. Providers supporting these new housing units will be required to give priority to individuals discharged from State Psychiatric Centers and Article 28 or Article 31 hospitals.

Additionally, OMH issued funding letters to all counties eligible for Home and Community Based Services (HCBS) Waiver expansion. Counties will have until June 1, 2014 to make recommendations of waiver slot allocations by provider. Tables accompanying this report include county-level distributions of Supported Housing and HCBS waiver allocations supported by the 2014-15 State Budget.

OMH is continuing to engage local stakeholders in consultation on service enhancements across the State, as outlined in the accompanying tables.

<sup>1</sup> Full descriptions of new community services to be developed with funds appropriated in the 2014-15 State Budget were provided to the Legislature on April 8, 2014 pursuant to the Budget agreement; these descriptions are also available on the State Office of Mental Health website at <http://www.omh.ny.gov/omhweb/excellence/rce/>

**Table 1: NYS OMH State Psychiatric Center Inpatient Descriptive Metrics for April, 2014**

State Inpatient Facilities <sup>1</sup>	Capital Beds	Budgeted Capacity	Admission	Discharge	Monthly Average Daily Census <sup>2</sup>			
	N	N	N	N	N	N	N	N
	Capital Beds as of end of SFY 2013-2014	April 2014 Budgeted Capacity	# of Admissions during April 2014	# of Discharges during April 2014	Avg. daily census 1/1/14-1/31/2014	Avg. daily census 2/1/14-2/28/2014	Avg. daily census 3/1/14-3/31/2014	Avg. daily census 4/1/14-4/30/2014
<b>Adult</b>								
Bronx	348	181	28	35	176	174	168	159
Buffalo	221	183	8	16	189	191	188	183
Capital District	158	136	38	43	136	134	129	126
Creedmoor	480	344	27	26	319	320	316	307
Elmira	104	72	18	17	71	75	73	72
Greater Binghamton	178	90	12	15	82	89	91	89
Hutchings	132	119	13	15	116	115	117	115
Kingsboro	254	165	11	8	169	168	165	169
Manhattan	476	230	26	30	228	223	228	222
Pilgrim	771	385	17	23	332	329	333	327
Rochester	222	145	9	8	121	118	114	114
Rockland	436	430	23	28	384	386	384	379
South Beach	362	300	11	34	307	305	311	295
St. Lawrence	84	65	12	10	57	61	58	61
Washington Heights	21	21	19	18	18	18	17	20
<b>Total</b>	<b>4,247</b>	<b>2,866</b>	<b>272</b>	<b>326</b>	<b>2,705</b>	<b>2,706</b>	<b>2,693</b>	<b>2,636</b>
<b>Children &amp; Youth</b>								
Elmira	48	18	13	15	17	17	17	19
Greater Binghamton	16	16	22	22	13	16	16	16
Hutchings	30	30	36	35	18	27	23	25
Mohawk Valley	30	30	34	41	16	20	28	26
NYC Children's Center	184	172	20	21	143	147	145	141
Rockland CPC	56	54	19	28	29	37	43	44
Sagamore CPC	77	54	16	16	39	41	43	42
South Beach	12	12	2	1	12	12	12	12
St. Lawrence	29	28	35	34	19	26	27	26
Western NY CPC	46	46	13	7	35	38	36	42
<b>Total</b>	<b>528</b>	<b>460</b>	<b>210</b>	<b>220</b>	<b>341</b>	<b>380</b>	<b>390</b>	<b>394</b>
<b>Forensic</b>								
Central New York	569	208	52	39	148	147	152	167
Kirby	476	193	19	11	191	195	201	206
Mid-Hudson	340	264	28	26	269	267	272	277
Rochester	56	55	4	5	51	52	53	54
<b>Total</b>	<b>1,441</b>	<b>720</b>	<b>103</b>	<b>81</b>	<b>659</b>	<b>662</b>	<b>678</b>	<b>705</b>

Updated as of May 16, 2014

**Notes:**

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.
2. Monthly Avg Daily Census defined as: Total number of inpatient service days for a month divided by the total number of days in the month.

**Table 2: NYS OMH State Psychiatric Center Inpatient Discharge Metrics**

State Inpatient Facilities <sup>1</sup>	Metrics Post Discharge <sup>2</sup>	
	Readmission <sup>3</sup>	ER Utilization <sup>4</sup>
	For discharge cohort (Jul-Sep, 2013), % Having Psychiatric Readmission within 30 days	For discharge cohort (Jul-Sep, 2013), % Utilizing Psychiatric Emergency Room within 30 days
<b>Adult</b>		
Bronx	12.1%	18.6%
Buffalo	10.5%	6.5%
Capital District	16.7%	4.0%
Creedmoor	10.5%	2.5%
Elmira	4.3%	0.0%
Greater Binghamton	11.3%	13.3%
Hutchings	10.9%	10.6%
Kingsboro	0.0%	0.0%
Manhattan	11.4%	4.9%
Pilgrim	13.6%	5.9%
Rochester	2.4%	2.8%
Rockland	15.9%	8.7%
South Beach	13.1%	10.8%
St. Lawrence	16.7%	6.1%
Washington Heights	5.1%	12.1%
<b>Total</b>	<b>11.4%</b>	<b>7.7%</b>
<b>Children &amp; Youth</b>		
Elmira	0.0%	3.4%
Greater Binghamton	7.0%	7.9%
Hutchings	9.4%	7.1%
Mohawk Valley	11.1%	10.6%
NYC Children's Center	9.8%	1.9%
Rockland CPC	8.5%	2.6%
Sagamore CPC	2.0%	7.1%
South Beach	0.0%	40.0%*
St. Lawrence	10.2%	2.4%
Western NY CPC	0.0%	0.0%
<b>Total</b>	<b>7.0%</b>	<b>5.5%</b>
<b>Forensic</b>		
Central New York	6.3%	0.0%
Kirby	4.5%	0.0%
Mid-Hudson	2.9%	3.2%
Rochester	0.0%	0.0%*
<b>Total</b>	<b>4.5%</b>	<b>1.2%</b>

Updated as of May 16, 2014

**Notes:**

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.
2. The denominator for this measure is based on discharges, not individuals. If individuals have more than one discharge during the measurement quarter, all discharges are counted. The discharge cohort is lagged by 6 months to allow adequate Medicaid claim lag for analysis.
3. Readmissions includes State PC and Medicaid hospitalization records (Article 28 /31). The denominator for readmission rates includes all discharges during the quarter. The numerator includes the first readmission event within thirty days post discharge. The readmission is only counted once. Hospitalizations on same day as discharge are designated as transfers and not counted as readmissions.
4. ER data were extracted from Medicaid only- Medicaid Continuous eligibility criteria of 30 days post discharge was applied to the discharge cohort. The numerator included the first Psychiatric ER event that occurred within thirty days post discharge.

\*Rate may not be stable due to small denominator (less than 10 discharges in the denominator).

Table 3: Service Development

Region/Service Area <sup>1</sup>	Facilities	Total Funding Available (in 000s)					
		Supported Housing <sup>2</sup>		HCBS Waiver <sup>2</sup>		State and Voluntary Community Services <sup>3</sup>	Full Annual Reinvestment
		Units	Funds	Units	Funds		
Southern Tier	Binghamton, Elmira	108	\$875	24	\$631	\$6,544	\$8,050
		<p><b>Progress as of 4/30/14:</b> The OMH Western and Central New York Field Offices have met with the local Directors of Community Services (DCSs) and the Executive Director overseeing both Elmira and Binghamton Psychiatric Centers during March and April, to discuss planned reinvestments, including supported housing, Home and Community Based Services (HCBS) waiver, and key service expansions that will be staffed by employees of Elmira and Greater Binghamton.</p>					
North Country	St. Lawrence	50	\$384	12	\$316	\$3,151	\$3,850
		<p><b>Progress as of 4/30/14:</b> The OMH Central New York Field Office has met with the local DCSs and the Executive Director of St. Lawrence Psychiatric Center to discuss planned reinvestments, including supported housing, Home and Community Based Services (HCBS) waiver, and key service expansions that will be staffed by employees of St. Lawrence PC.</p>					
Long Island	Sagamore, Pilgrim	100	\$1,504	54	\$1,488	\$5,407	\$8,400
		<p><b>Progress as of 4/30/14:</b> The OMH Long Island Field Office met with the DCSs from Long Island and representatives from both Sagamore Children's and Pilgrim Psychiatric Center in March and April to discuss planned reinvestments, including supported housing, Home and Community Based Services (HCBS) waiver, and key service expansions that will be staffed by employees of Sagamore PC. A Request for Proposals for Supported Housing is under development and will be issued in the future. Allocations of these resources, by county are outlined in the accompanying table.</p>					
Western NY	Buffalo, Western NY	50	\$421	24	\$631	\$2,948	\$4,000
		<p><b>Progress as of 4/30/14:</b> The OMH Western NY Field Office met with the local DCSs and the Executive Directors of both Western NY Children's and Buffalo Psychiatric Center in March and April to discuss planned reinvestments, including supported housing, Home and Community Based Services (HCBS) waiver, and key service expansions that will be staffed by employees of Western NY Children's Psychiatric Center.</p>					
Rochester Area	Rochester	116	\$977		\$0	\$4,923	\$5,900
		<p><b>Progress as of 4/30/14:</b> The OMH Western NY Field Office met with the local DCSs and the Executive Director of Rochester PC to discuss planned reinvestments, including supported housing, Home and Community Based Services (HCBS) waiver, and other local and regional services needed to support this area.</p>					
New York City	Manhattan, Bronx	154	\$2,317	24	\$661	\$4,322	\$7,300
		<p><b>Progress as of 4/30/14:</b> The New York City Field Office planned a meeting to take place in May, with all OMH facility directors for the New York City area, the local governmental unit to begin discussions on community investments. Supported housing allocations are under consideration and discussion with the local governmental unit in order to best support the reduction in need for inpatient services and long inpatient stays; a housing RFP is under development and will be issued in the future.</p>					
Hudson Valley	Rockland	50	\$622	12	\$323	\$2,255	\$3,200
		<p><b>Progress as of 4/30/14:</b> The OMH Hudson River Field Office has held a series of meetings with DCSs from the entire Hudson River region, including counties in the Rockland PC and Capital District PC service areas, to discuss the planned reinvestments, including supported housing, Home and Community Based Services (HCBS) waiver, and other local and regional services needed to support this region.</p>					
Central NY	Hutchings		\$0	18	\$473	\$1,227	\$1,700
		<p><b>Progress as of 4/30/14:</b> The OMH Central New York Field Office and the Executive Director of Hutchings PC will be meeting with local DCSs to discuss planned reinvestments, including Home and Community Based Services (HCBS) waiver, and other local and regional services needed to support this region.</p>					
Statewide	Forensic/Suicide Prev.					\$1,500	\$1,500
<b>Total</b>		<b>628</b>	<b>\$7,100</b>	<b>168</b>	<b>\$4,524</b>	<b>\$32,276</b>	<b>\$43,900</b>

Notes:

1. Regions were categorized to match areas described in information sheets provided to Legislature on April 8, 2014 and posted on OMH website.
2. Supported housing and waiver allocations were determined in consultation with, and distributed to counties in April. Allocations of these resources, by county are outlined in the accompanying tables.
3. Services to be developed in consultation with local stakeholders and based on regional advisory committee recommendations.

**Table 4: Reinvestment Summary - By State Facility**

OMH Health Center	Target Population	Current Capacity	Reinvestment Expansion (units)	Annualized Reinvestment Amount (\$)	Target Population	Current Capacity	Reinvestment Expansion (units)	Annualized Reinvestment Amount (\$)
HCBS Waiver Slots					Supported Housing Beds			
Greater Binghamton	Children	60	12	\$315,516	Adults	289	60	\$470,263
Elmira	Children	90	12	\$315,516	Adults	517	48	\$404,448
St. Lawrence	Children	78	12	\$315,516	Adults	306	50	\$383,750
Pilgrim/Sagamore	Children	192	54	\$1,488,240	Adults	2,245	100	\$1,504,300
Buffalo/Western NY	Children	110	24	\$631,032	Adults	1,196	50	\$421,300
Rochester	Children	100	-	-	Adults	555	116	\$977,416
New York City	Children	600	24	\$661,440	Adults	8,776	154	\$2,316,622
Rockland	Children	177	12	\$323,118	Adults	1,841	50	\$622,276
Hutchings/Mohawk Valley	Children	72	18	\$473,274	Adults	504	0	\$0
Subtotal		<b>1,479</b>	<b>168</b>	<b>\$4,523,652</b>		<b>16,229</b>	<b>628</b>	<b>\$7,100,375</b>

**Notes:**

1. With the additional HCBS waiver capacity of 150 slots in all other service areas, total pre-expansion capacity is 1,629 slots statewide.
2. With the additional Supported Housing capacity of 1,065 units in all other service areas, total pre-expansion capacity is 17,294 units statewide.

**Table 4a: Greater Binghamton Health Center**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			
					Status Update	Start Up Date	New Individuals Served	Annualized Reinvestment Amount (\$)
HCBS Waiver	Children	Broome	24	6	OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			\$157,758
HCBS Waiver	Children	Chenango	6					-
HCBS Waiver	Children	Delaware	12					-
HCBS Waiver	Children	Otsego	12					-
HCBS Waiver	Children	Tioga	6	6				\$157,758
HCBS Waiver	Children	Tompkins	0					-
<b>SUBTOTAL:</b>			<b>60</b>	<b>12</b>				<b>\$315,516</b>
Supported Housing	Adult	Broome	161	35	OMH issued State Aid Letter authority and advanced funds for counties to expand Supported Housing capacity. Counties must develop plan for new units by June 1, 2014.			\$268,625
Supported Housing	Adult	Chenango	46	5				\$38,375
Supported Housing	Adult	Delaware	27	3				\$23,025
Supported Housing	Adult	Otsego	30	4				\$30,700
Supported Housing	Adult	Tioga	25	3				\$25,278
Supported Housing	Adult	Tompkins	0	10				\$84,260
<b>SUBTOTAL:</b>			<b>289</b>	<b>60</b>				<b>\$470,263</b>

**Table 4b: Elmira Psychiatric Center**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			
					Status Update	Start Up Date	New Individuals Served	Annualized Reinvestment Amount (\$)
HCBS Waiver	Children	Allegany	6		OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			
HCBS Waiver	Children	Cattaraugus	0					
HCBS Waiver	Children	Chemung	12					
HCBS Waiver	Children	Ontario	18					
HCBS Waiver	Children	Schuyler	6					
HCBS Waiver	Children	Seneca	6	3				\$78,879
HCBS Waiver	Children	Steuben	12	3				\$78,879
HCBS Waiver	Children	Tompkins	12					
HCBS Waiver	Children	Wayne	12	6				\$157,758
HCBS Waiver	Children	Yates	6					
<b>SUBTOTAL:</b>			<b>90</b>	<b>12</b>				<b>\$315,516</b>
Supported Housing	Adult	Allegany	35	4	OMH issued State Aid Letter authority and advanced funds for counties to expand Supported Housing capacity. Counties must develop plan for new units by June 1, 2014.			\$33,704
Supported Housing	Adult	Cattaraugus	0	1				\$8,426
Supported Housing	Adult	Chemung	121	14				\$117,964
Supported Housing	Adult	Ontario	64	7				\$58,982
Supported Housing	Adult	Schuyler	6	1				\$8,426
Supported Housing	Adult	Seneca	28	4				\$33,704
Supported Housing	Adult	Steuben	119	8				\$67,408
Supported Housing	Adult	Tompkins	64	4				\$33,704
Supported Housing	Adult	Wayne	70	4				\$33,704
Supported Housing	Adult	Yates	10	1				\$8,426
<b>SUBTOTAL:</b>			<b>517</b>	<b>48</b>				<b>\$404,448</b>

**Table 4c: St. Lawrence Psychiatric Center**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			
					Status Update	Start Up Date	New Individuals Served	Annualized Reinvestment Amount (\$)
HCBS Waiver	Children	Clinton	12		OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			
HCBS Waiver	Children	Essex	12	6				\$157,758
HCBS Waiver	Children	Franklin	12					
HCBS Waiver	Children	Jefferson	18					
HCBS Waiver	Children	Lewis	6					
HCBS Waiver	Children	St. Lawrence	18	6				\$157,758
<b>SUBTOTAL:</b>			<b>78</b>	<b>12</b>			<b>\$315,516</b>	
Supported Housing	Adult	Clinton	54	6	OMH issued State Aid Letter authority and advanced funds for counties to expand Supported Housing capacity. Counties must develop plan for new units by June 1, 2014.			\$46,050
Supported Housing	Adult	Essex	29	3				\$23,025
Supported Housing	Adult	Franklin	42	5				\$38,375
Supported Housing	Adult	Jefferson	57	9				\$69,075
Supported Housing	Adult	Lewis	51	2				\$15,350
Supported Housing	Adult	St. Lawrence	73	25				\$191,875
<b>SUBTOTAL:</b>			<b>306</b>	<b>50</b>			<b>\$383,750</b>	



**Table 4d: Pilgrim/Sagamore Psychiatric Centers**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			Annualized Reinvestment Amount (\$)
					Status Update	Start Up Date	New Individuals Served	
HCBS Waiver	Children	Nassau	90	24	OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			\$661,440
HCBS Waiver	Children	Suffolk	102	30				\$826,800
<b>SUBTOTAL:</b>			<b>192</b>	<b>54</b>				<b>\$1,488,240</b>
Supported Housing	Adult	Nassau	885	40	OMH is developing a Request for Proposal (RFP) for new Supported Housing units for release and posting on the NYS Grants Opportunity portal in May 2014.			\$601,720
Supported Housing	Adult	Suffolk	1,360	60				\$902,580
<b>SUBTOTAL:</b>			<b>2,245</b>	<b>100</b>				<b>\$1,504,300</b>

**Table 4e: Buffalo/Western NY Psychiatric Centers**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			
					Status Update	Start Up Date	New Individuals Served	Annualized Reinvestment Amount (\$)
HCBS Waiver	Children	Allegany	0	6	OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			\$157,758
HCBS Waiver	Children	Cattaraugus	12	6				\$157,758
HCBS Waiver	Children	Chautauqua	6	6				\$157,758
HCBS Waiver	Children	Erie	78	6				\$157,758
HCBS Waiver	Children	Niagara	14					
<b>SUBTOTAL:</b>			<b>110</b>	<b>24</b>				<b>\$631,032</b>
Supported Housing	Adult	Allegany	0		OMH issued State Aid Letter authority and advanced funds for counties to expand Supported Housing capacity. Counties must develop plan for new units by June 1, 2014.			
Supported Housing	Adult	Cattaraugus	104	4				\$33,704
Supported Housing	Adult	Chautauqua	86	3				\$25,278
Supported Housing	Adult	Erie	863	36				\$303,336
Supported Housing	Adult	Niagara	143	7				\$58,982
<b>SUBTOTAL:</b>			<b>1,196</b>	<b>50</b>				<b>\$421,300</b>

**Table 4f: Rochester Psychiatric Center**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			
					Status Update	Start Up Date	New Individuals Served	Annualized Reinvestment Amount (\$)
Supported Housing	Adult	Genesee	45	6	OMH issued State Aid Letter authority and advanced funds for counties to expand Supported Housing capacity. Counties must develop plan for new units by June 1, 2014.			\$50,556
Supported Housing	Adult	Livingston	38	2				\$16,852
Supported Housing	Adult	Monroe	427	100				\$842,600
Supported Housing	Adult	Orleans	25	4				\$33,704
Supported Housing	Adult	Wayne	0	2				\$16,852
Supported Housing	Adult	Wyoming	20	2				\$16,852
<b>SUBTOTAL:</b>			<b>555</b>	<b>116</b>			<b>\$977,416</b>	

**Table 4g: New York City Psychiatric Centers**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			
					Status Update	Start Up Date	New Individuals Served	Annualized Reinvestment Amount (\$)
HCBS Waiver	Children	Bronx	144	12	OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			\$330,720
HCBS Waiver	Children	Kings	180	6				\$165,360
HCBS Waiver	Children	New York	132					
HCBS Waiver	Children	Queens	108	6				\$165,360
HCBS Waiver	Children	Richmond	36					
<b>SUBTOTAL:</b>			<b>600</b>	<b>24</b>				<b>\$661,440</b>
Supported Housing	Adult	Bronx	2,120	TBD	OMH is developing a Request for Proposal (RFP) for new Supported Housing units for release and posting on the NYS Grants Opportunity portal in May 2014.			\$752,150
Supported Housing	Adult	Kings	2,698	TBD				
Supported Housing	Adult	New York	1,579	TBD				\$1,564,472
Supported Housing	Adult	Queens	1,887	TBD				
Supported Housing	Adult	Richmond	492	TBD				
<b>SUBTOTAL:</b>			<b>8,776</b>	<b>154</b>				<b>\$2,316,622</b>

**Table 4h: Rockland Psychiatric Center**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			
					Status Update	Start Up Date	New Individuals Served	Annualized Reinvestment Amount (\$)
HCBS Waiver	Children	Dutchess	18		OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			
HCBS Waiver	Children	Orange	21	6				\$157,758
HCBS Waiver	Children	Putnam	12					
HCBS Waiver	Children	Rockland	24	6				\$165,360
HCBS Waiver	Children	Sullivan	12					
HCBS Waiver	Children	Ulster	30					
HCBS Waiver	Children	Westchester	60					
<b>SUBTOTAL:</b>			<b>177</b>	<b>12</b>				<b>\$323,118</b>
Supported Housing	Adult	Dutchess	229	7	OMH issued State Aid Letter authority and advanced funds for counties to expand Supported Housing capacity. Counties must develop plan for new units by June 1, 2014.			\$90,181
Supported Housing	Adult	Orange	262	12				\$154,596
Supported Housing	Adult	Putnam	67	2				\$25,766
Supported Housing	Adult	Rockland	173	6				\$80,598
Supported Housing	Adult	Sullivan	61	5				\$46,425
Supported Housing	Adult	Ulster	142	8				\$74,280
Supported Housing	Adult	Westchester	907	10				\$150,430
<b>SUBTOTAL:</b>			<b>1,841</b>	<b>50</b>				<b>\$622,276</b>

**Table 4i: Hutchings/Mohawk Valley Psychiatric Centers**

Service	Target Population	County	Current Capacity	Reinvestment Expansion (units)	Investment Plan Progress			Annualized Reinvestment Amount (\$)
					Status Update	Start Up Date	New Individuals Served	
HCBS Waiver	Children	Cayuga	12	6	OMH issued award letters for counties to initiate the second round to expand HCBS waiver capacity. Counties must complete provider selection process by June 1, 2014.			\$157,758
HCBS Waiver	Children	Cortland	6	6				\$157,758
HCBS Waiver	Children	Madison	6					
HCBS Waiver	Children	Onondaga	42	6				\$157,758
HCBS Waiver	Children	Oswego	6					
<b>SUBTOTAL:</b>			<b>72</b>	<b>18</b>				<b>\$473,274</b>
Supported Housing	Adult	Cayuga	61		OMH issued State Aid Letter authority and advanced funds for counties to expand Supported Housing capacity. Counties must develop plan for new units by June 1, 2014.			
Supported Housing	Adult	Cortland	53					
Supported Housing	Adult	Madison	28					
Supported Housing	Adult	Onondaga	300					
Supported Housing	Adult	Oswego	62					
<b>SUBTOTAL:</b>			<b>504</b>	<b>0</b>				<b>\$0</b>

Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates

Region	County <sup>1</sup>	Hospital Name <sup>2</sup>	Auspice	Capacity (as of 4/1/14)			Readmission <sup>3</sup> For discharge cohort (Jul-Sep, 2013), % Having Psychiatric Readmission within 30 days			ER Utilization <sup>5</sup> For discharge cohort (Jul-Sep, 2013), % Utilizing Psychiatric Emergency Room within 30 days		
				Total	Adults	Child	Total	Adult <sup>4</sup>	Child	Total	Adult	Child
Central	Broome	United Health Services Hospitals, Inc.	Article 28	56	56	0	18.1%	18.1%		8.6%	8.6%	
Central	Cayuga	Auburn Community Hospital	Article 28	14	14	0	18.0%	18.0%		6.0%	6.0%	
Central	Clinton	Champlain Valley Physicians Hospital Med Ctr.	Article 28	34	22	12	14.3%	16.1%	7.1%	11.4%	12.5%	7.1%
Central	Cortland	Cortland Regional Medical Center, Inc.	Article 28	11	11	0	24.0%	24.0%		10.0%	10.0%	
Central	Franklin	Adirondack Medical Center	Article 28	12	12	0	0.0%	0.0%		0.0%	0.0%	
Central	Jefferson	Samaritan Medical Center	Article 28	32	32	0	17.9%	17.9%		1.2%	1.2%	
Central	Montgomery	St. Mary's Healthcare	Article 28	20	20	0	13.8%	13.8%		9.2%	9.2%	
Central	Oneida	Faxton - St. Luke's Healthcare	Article 28	26	26	0	19.6%	19.6%		11.8%	11.8%	
Central	Oneida	Rome Memorial Hospital, Inc.	Article 28	11	11	0	10.0%	10.0%		10.0%	10.0%	
Central	Oneida	St. Elizabeth Medical Center	Article 28	24	24	0	19.2%	19.2%		11.9%	11.9%	
Central	Onondaga	St. Joseph's Hospital Health Center	Article 28	30	30	0	18.1%	18.1%		21.9%	21.9%	
Central	Onondaga	SUNY Health Science Center-University Hospita	Article 28	50	50	0	30.6%	30.6%		19.2%	19.2%	
Central	Oswego	Oswego Hospital, Inc.	Article 28	16	16	0	22.3%	22.3%		9.8%	9.8%	
Central	Otsego	Mary Imogene Bassett Hospital	Article 28	20	20	0	9.2%	9.2%		5.3%	5.3%	
Central	Saint Lawrence	Claxton-Hepburn Medical Center	Article 28	28	28	0	19.1%	19.1%		6.1%	6.1%	
Hudson	Albany	Albany Medical Center	Article 28	26	26	0	17.1%	17.1%		7.1%	7.1%	
Hudson	Columbia	Columbia Memorial Hospital	Article 28	18	18	0	13.6%	13.6%		5.1%	5.1%	
Hudson	Dutchess	St. Francis Hospital	Article 28	40	40	0	16.5%	16.5%		12.2%	12.2%	
Hudson	Orange	Bon Secours Community Hospital	Article 28	24	24	0	12.7%	12.7%		4.2%	4.2%	
Hudson	Orange	Orange Regional Medical Center	Article 28	30	30	0	4.7%	4.7%		10.6%	10.6%	
Hudson	Putnam	Putnam Hospital Center	Article 28	20	20	0	20.6%	20.6%		2.9%	2.9%	
Hudson	Rensselaer	Samaritan Hospital	Article 28	63	63	0	13.1%	13.1%		5.6%	5.6%	
Hudson	Rockland	Nyack Hospital	Article 28	26	26	0	19.3%	19.3%		7.0%	7.0%	
Hudson	Saratoga	FW of Saratoga, Inc.	Article 31	88	31	57	9.3%	20.0%	8.1%	7.3%	6.7%	7.4%
Hudson	Saratoga	The Saratoga Hospital	Article 28	16	16	0	17.4%	17.4%		3.3%	3.3%	
Hudson	Schenectady	Ellis Hospital	Article 28	52	36	16	15.9%	17.9%	8.5%	7.7%	8.5%	5.1%
Hudson	Sullivan	Catskill Regional Medical Center	Article 28	18	18	0	17.1%	17.1%		7.1%	7.1%	
Hudson	Ulster	Health Alliance Hospital Mary's Ave Campus	Article 28	40	40	0	12.6%	12.6%		9.9%	9.9%	
Hudson	Warren	Glens Falls Hospital	Article 28	30	30	0	14.9%	14.9%		6.9%	6.9%	
Hudson	Westchester	Four Winds, Inc.	Article 31	175	28	147	13.4%	16.3%	13.1%	7.8%	8.2%	7.8%
Hudson	Westchester	Montefiore Mount Vernon Hospital, Inc.	Article 28	22	22	0	8.2%	8.2%		1.6%	1.6%	
Hudson	Westchester	New York Presbyterian Hospital	Article 28	252	207	45	21.4%	21.4%	21.2%	10.5%	11.0%	3.0%
Hudson	Westchester	Northern Westchester Hospital Center	Article 28	15	15	0	13.6%	13.6%		0.0%	0.0%	
Hudson	Westchester	Phelps Memorial Hospital Center	Article 28	22	22	0	21.4%	21.4%		3.6%	3.6%	
Hudson	Westchester	St. Joseph's Medical Center	Article 28	146	133	13	21.8%	22.0%	20.0%	6.6%	6.5%	7.5%
Hudson	Westchester	Westchester Medical Center	Article 28	101	66	35	23.9%	27.1%	19.2%	6.9%	8.4%	4.8%
Long Island	Nassau	Franklin Hospital Medical Center	Article 28	21	21	0	8.3%	8.3%		4.2%	4.2%	
Long Island	Nassau	Mercy Medical Center	Article 28	39	39	0	14.9%	14.9%		7.5%	7.5%	
Long Island	Nassau	Nassau Health Care Corp/Nassau Univ Med Ctr	Article 28	128	106	22	13.2%	11.9%	21.1%	12.1%	11.4%	15.8%
Long Island	Nassau	North Shore University Hospital	Article 28	46	46	0	11.7%	11.7%		11.7%	11.7%	
Long Island	Nassau	South Nassau Communities Hospital	Article 28	36	36	0	29.9%	29.9%		12.8%	12.8%	
Long Island	Suffolk	Brookhaven Memorial Hospital Medical Center	Article 28	20	20	0	9.2%	9.2%		11.8%	11.8%	
Long Island	Suffolk	Brunswick Hospital Center, Inc.	Article 28	124	79	45	11.7%	11.1%	12.3%	14.1%	11.1%	17.8%
Long Island	Suffolk	Eastern Long Island Hospital Association	Article 28	23	23	0	21.8%	21.8%		20.0%	20.0%	
Long Island	Suffolk	Huntington Hospital	Article 28	21	21	0	12.8%	12.8%		12.8%	12.8%	
Long Island	Suffolk	John T. Mather Memorial Hospital	Article 28	37	27	10	16.9%	14.1%	27.8%	3.4%	1.4%	11.1%
Long Island	Suffolk	Southside Hospital	Article 28	20	20	0	31.5%	31.5%		11.2%	11.2%	
Long Island	Suffolk	St. Cath of Siena MC-d/b/a St.Cath Siena Hosp	Article 28	42	42	0	21.7%	21.7%		12.3%	12.3%	
Long Island	Suffolk	State University of NY at Stony Brook	Article 28	40	30	10	22.9%	28.8%	5.6%	11.4%	15.4%	
Long Island	Suffolk	The Long Island Home	Article 31	206	141	65	16.1%	21.1%	13.3%	10.3%	8.8%	11.2%
NYC	Bronx	Bronx-Lebanon Hospital Center	Article 28	106	79	27	20.1%	21.1%	13.6%	13.8%	13.8%	13.6%
NYC	Bronx	Montefiore Medical Center	Article 28	55	55	0	13.9%	13.9%		9.4%	9.4%	
NYC	Bronx	NYC-HHC Jacobi Medical Center	Article 28	107	107	0	17.3%	17.3%		15.2%	15.2%	
NYC	Bronx	NYC-HHC Lincoln Medical & Mental Health Ctr.	Article 28	60	60	0	26.1%	26.1%		15.5%	15.5%	
NYC	Bronx	NYC-HHC North Central Bronx Hospital	Article 28	70	70	0	19.4%	19.4%		10.4%	10.4%	
NYC	Bronx	St. Barnabas Hospital	Article 28	49	49	0	24.5%	24.5%		19.4%	19.4%	
NYC	Kings	Brookdale Hospital Medical Center	Article 28	61	52	9	16.5%	18.4%	9.8%	16.5%	19.4%	6.6%
NYC	Kings	Interfaith Medical Center, Inc.	Article 28	120	120	0	33.4%	33.4%		16.9%	16.9%	
NYC	Kings	Kingsbrook Jewish Medical Center	Article 28	30	30	0	14.8%	14.8%		8.2%	8.2%	
NYC	Kings	Lutheran Medical Center	Article 28	35	35	0	14.0%	14.0%		9.6%	9.6%	
NYC	Kings	Maimonides Medical Center	Article 28	70	70	0	16.5%	16.5%		5.5%	5.5%	
NYC	Kings	New York Methodist Hospital	Article 28	50	50	0	18.6%	18.6%		8.5%	8.5%	
NYC	Kings	NYC-HHC Coney Island Hospital	Article 28	64	64	0	21.8%	21.8%		12.7%	12.7%	
NYC	Kings	NYC-HHC Kings County Hospital Center	Article 28	205	160	45	17.4%	19.6%	5.4%	14.3%	14.1%	15.2%
NYC	Kings	NYC-HHC Woodhull Medical & Mental Health Cent	Article 28	135	135	0	21.1%	21.1%		15.9%	15.9%	
NYC	Kings	University Hospital of Brooklyn	Article 28	73	73	0	28.3%	28.3%		20.7%	20.7%	
NYC	New York	Beth Israel Medical Center	Article 28	92	92	0	16.2%	16.2%		10.8%	10.8%	
NYC	New York	Lenox Hill Hospital	Article 28	27	27	0	35.7%	35.7%		11.9%	11.9%	
NYC	New York	Mount Sinai Medical Center	Article 28	95	80	15	14.4%	15.5%	9.6%	10.2%	10.0%	11.0%
NYC	New York	New York Gracie Square Hospital, Inc., The	Article 31	157	157	0	15.6%	15.6%		10.3%	10.3%	
NYC	New York	New York Presbyterian Hospital	Article 28	96	96	0	16.7%	17.3%	7.1%	5.9%	6.2%	2.4%
NYC	New York	New York University Hospitals Center	Article 28	22	22	0	18.2%	18.2%		18.2%	18.2%	
NYC	New York	NYC-HHC Bellevue Hospital Center	Article 28	330	285	45	21.5%	22.2%	15.4%	17.1%	17.0%	17.9%
NYC	New York	NYC-HHC Harlem Hospital Center	Article 28	52	52	0	28.2%	28.2%		18.9%	18.9%	
NYC	New York	NYC-HHC Metropolitan Hospital Center	Article 28	122	104	18	27.1%	28.6%	11.6%	15.4%	16.6%	2.3%
NYC	New York	St. Luke's-Roosevelt Hospital Center	Article 28	93	93	0	18.0%	18.0%		11.7%	11.7%	
NYC	Queens	Episcopal Health Services Inc.	Article 28	43	43	0	17.3%	17.3%		10.6%	10.6%	
NYC	Queens	Jamaica Hospital Medical Center	Article 28	50	50	0	23.8%	23.8%		19.2%	19.2%	
NYC	Queens	Long Island Jewish Medical Center	Article 28	221	200	21	19.2%	20.2%	11.9%	10.1%	9.9%	11.9%
NYC	Queens	New York Flushing Hospital and Medical Center	Article 28	18	18	0	29.5%	29.5%		17.9%	17.9%	
NYC	Queens	NYC-HHC Elmhurst Hospital Center	Article 28	177	151	26	21.4%	22.9%	11.1%	15.0%	15.0%	14.8%
NYC	Queens	NYC-HHC Queens Hospital Center	Article 28	71	71	0	26.1%	26.1%		18.5%	18.5%	
NYC	Richmond	Richmond Medical Center dba Richmond UMC	Article 28	60	55	5	17.2%	18.2%	5.6%	41.8%	41.1%	50.0%
NYC	Richmond	Staten Island University Hospital	Article 28	64	64	0	20.6%	20.6%		16.5%	16.5%	
Western	Cattaraugus	Olean General Hospital	Article 28	14	14	0	6.8%	6.8%		4.1%	4.1%	
Western	Chautauqua	TLC Health Network	Article 28	20	20	0	20.0%	20.0%		4.4%	4.4%	
Western	Chautauqua	Woman's Christian Assoc. of Jamestown, NY	Article 28	40	30	10	11.0%	10.1%	13.3%	4.3%	2.5%	8.9%

Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates

Region	County <sup>1</sup>	Hospital Name <sup>2</sup>	Auspice	Capacity (as of 4/1/14)			Readmission <sup>3</sup> For discharge cohort (Jul-Sep, 2013), % Having Psychiatric Readmission within 30 days			ER Utilization <sup>5</sup> For discharge cohort (Jul-Sep, 2013), % Utilizing Psychiatric Emergency Room within 30 days		
				Total	Adults	Child	Total	Adult <sup>4</sup>	Child	Total	Adult	Child
Western	Chemung	St. Joseph's Hospital	Article 28	25	25	0	8.4%	8.4%		0.9%	0.9%	
Western	Erie	Brylin Hospitals, Inc.	Article 31	88	68	20	6.9%	5.3%	10.0%	3.4%	2.6%	5.0%
Western	Erie	Erie County Medical Center	Article 28	132	116	16	7.6%	8.1%	0.0%	7.0%	7.2%	4.2%
Western	Monroe	Rochester General Hospital	Article 28	30	30	0	16.3%	16.3%		15.0%	15.0%	
Western	Monroe	The Unity Hospital of Rochester	Article 28	40	40	0	10.6%	10.6%		13.5%	13.5%	
Western	Monroe	Univ of Roch Med Ctr/Strong Memorial Hospital	Article 28	93	66	27	18.3%	19.1%	14.3%	12.0%	13.1%	7.1%
Western	Niagara	Eastern Niagara Hospital, Inc.	Article 28	12	0	12	9.1%		9.1%	6.1%		6.1%
Western	Niagara	Niagara Falls Memorial Medical Center	Article 28	54	54	0	9.8%	9.8%		8.3%	8.3%	
Western	Ontario	Clifton Springs Hospital and Clinic	Article 28	18	18	0	18.9%	18.9%		11.3%	11.3%	
Western	Tompkins	Cayuga Medical Center at Ithaca, Inc.	Article 28	26	20	6	6.3%	9.5%	0.0%	12.5%	19.0%	0.0%
Western	Wayne	Newark-Wayne Community Hospital, Inc.	Article 28	16	16	0	17.9%	17.9%		2.6%	2.6%	
Western	Wyoming	Wyoming County Community Hospital	Article 28	12	12	0	18.3%	18.3%		9.9%	9.9%	
Western	Yates	Soldiers & Sailors Memorial Hospital	Article 28	10	10	0	0.0%	0.0%		0.0%	0.0%	
<b>Statewide Total</b>				<b>6,121</b>	<b>5,342</b>	<b>779</b>	<b>18.6%</b>	<b>19.3%</b>	<b>12.2%</b>	<b>11.9%</b>	<b>12.1%</b>	<b>9.7%</b>

Updated as of May 16, 2014

Notes:

1. Data are presented by county of discharging hospital location and age group (child or adult). If an entity operates more than one hospital and county is not available on the records (e.g., managed care encounters), the discharges and readmissions are assigned to one of the hospitals.

2. Hospitals that closed prior to 4/1/2014 are excluded.

3. The denominator for this measure is based on discharges, not individuals. If individuals have more than one discharge during the measurement quarter, all discharges are counted. The discharge cohort is lagged by 6 months to allow adequate Medicaid claim lag for analysis. Discharges do not include persons who have died, transferred to another inpatient unit or who are on temporary leave.

Readmissions includes Medicaid hospitalization records (Article 28 /31) and State Psychiatric Centers, except for research units and Sexual Offender Treatment Programs. The denominator for readmission rates includes all discharges during the quarter. The numerator includes the first readmission event within thirty days post discharge. The readmission is only counted once. Hospitalizations on same day as discharge are designated as transfers and not counted as readmissions.

4. When the hospital or psychiatric unit is a child or adolescent unit, persons aged 21 or younger are counted as a child. For adult units, persons aged 16 or older are counted as adults.

5. ER data were extracted from Medicaid only- Medicaid Continuous eligibility criteria of 30 days post discharge was applied to the discharge cohort. The numerator included the first Psychiatric ER event that occurred within thirty days post discharge.



## GLOSSARY OF SERVICES

- 1. Supported Housing:** Supported Housing is a category of community-based housing that is designed to ensure that individuals who are seriously and persistently mentally ill (SPMI) may exercise their right to choose where they are going to live, taking into consideration the recipient's functional skills, the range of affordable housing options available in the area under consideration, and the type and extent of services and resources that recipients require to maintain their residence with the community. Supported Housing is not as much considered a "program" which is designed to develop a specific number of beds; but rather, it is an approach to creating housing opportunities for people through the development of a range of housing options, community support services, rental stipends, and recipient specific advocacy and brokering. As such, this model encompasses community support and psychiatric rehabilitation approaches.

The unifying principle of Supported Housing is that individual options in choosing preferred long term housing must be enhanced through:

- Increasing the number of affordable options available to recipients;
- Ensuring the provision of community supports necessary to assist recipients in succeeding in their preferred housing and to meaningfully integrate recipients into the community; and
- Separating housing from support services by assisting the resident to remain in the housing of his choice while the type and intensity of services vary to meet the changing needs of the individual.

- 2. Home and Community Based Services Waiver (HCBS):** HCBS was developed as a response to experience and learning gained from other state and national grant initiatives. The goals of the HCBS waiver are to:

- Enable children to remain at home, and/or in the community, thus decreasing institutional placement.
- Use the Individualized Care approach to service planning, delivery and evaluation. This approach is based on a full partnership between family members and service providers. Service plans focus upon the unique needs of each child and builds upon the strengths of the family unit.
- Expand funding and service options currently available to children and adolescents with a diagnosis of serious emotional disturbance and their families.
- Provide services that promote better outcomes and are cost-effective.

The target population of children eligible for the waiver are children with a diagnosis of serious emotional disturbance who without access to the waiver would be in psychiatric institutional placement. Parent income and resources are not considered in determining a child's eligibility.

The HCBS waiver includes six new services not otherwise available in Medicaid:

- **Individualized Care Coordination** includes the components of intake and screening, assessment of needs, service plan development, linking, advocacy, monitoring and consultation.

- **Crisis Response Services** are activities aimed at stabilizing occurrences of child/family crisis where it arises.
- **Intensive In-home Services** are ongoing activities aimed at providing intensive interventions in the home when a crisis response service is not enough.
- **Respite Care** are activities that provide a needed break for the family and the child to ease the stress at home and improve family harmony.
- **Family Support Services** are activities designed to enhance the ability of the child to function as part of a family unit and to increase the family's ability to care for the child in the home and in community based settings.
- **Skill Building Services** are activities designed to assist the child in acquiring, developing and addressing functional skills and support, both social and environmental.