



**Office of  
Mental Health**

# July 2020 Monthly Report

OMH Facility Performance Metrics  
and Community Service Investments

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## July 2020 Monthly Report

### OMH Facility Performance Metrics and Community Service Investments

#### *Report Overview:*

This report is issued pursuant to the State Fiscal Year 2020-21 Budget agreement which requires that *“The Commissioner of Mental Health shall provide monthly status reports of the 2020-21 community investments and the impact on inpatient census to the Temporary President of the Senate, the Speaker of the Assembly, and the Chairs of the Senate and Assembly fiscal committees. Such reports shall include state operated psychiatric facility census; admissions and discharges; rate of Medicaid psychiatric inpatient readmissions to any hospital within thirty days of discharge; Medicaid emergency room psychiatric visits; capital beds; budgeted capacity for that month; budgeted capacity change from previous month; descriptions of 2020-21 new community service investments; average length of stay; and number of long-term stay patients. Such reports shall include an explanation of any material census reductions, when known to the facility.”*

This report is comprised of several components:

1. State Psychiatric Center (PC) descriptive metrics;
2. Description and status of community service investments;
3. Psychiatric readmissions to hospitals and emergency rooms for State PC discharges;
4. Psychiatric readmissions to hospitals and emergency rooms for Article 28 and Article 31 hospital psychiatric unit discharges.

#### *Overview of Community Service Investment Tables*

Detailed data tables provide information on funding and utilization levels for all programs funded by reinvestment of State PC reduction savings, and from reinvestment of the State share of Medicaid for inpatient hospital bed reductions. Funding for these programs began in 2014. These utilization tables provide a general description of the programs, the program location or coverage area, age groups served, prior capacity (when applicable), funding level, and the number of people served. During program start-up, progress notes indicate when funds were issued on contract or via the county State Aid Letter.

As demonstrated in Table 1, census levels at OMH Psychiatric Centers were impacted by the COVID-19 disaster emergency. The deviation from average census at some PCs was a result of assisting with hospital surge efforts throughout the State.

The glossary of services, which was previously included in the monthly report, is now posted to the OMH Transformation website at <https://www.omh.ny.gov/omhweb/transformation/>.

**Table 1: NYS OMH State Psychiatric Center Inpatient Descriptive Metrics for July, 2020**

| State Inpatient Facilities <sup>1</sup> | Capital Beds                          | Budgeted Capacity <sup>2</sup> | Capacity Change                              | Admission                         | Discharge <sup>3</sup>            |  | Long Stay <sup>4</sup>              | Monthly Average Daily Census <sup>5</sup> |   |   |
|---|---------------------------------------|--------------------------------|--|-----------------------------------|-----------------------------------|--|-------------------------------------|---|---|---|
|   | N                                     | N                              | N  | N                                 | N                                 | Days   | N                                   | N   | N   | N   |
|   | Capital Beds as of end of SFY 2017-18 | July, 2020 Budgeted Capacity   | Budgeted Capacity change from previous month | # of Admissions during July, 2020 | # of Discharges during July, 2020 | Median Length of Stay for discharges during July, 2020 | # of Long Stay on census 07/31/2020 | Avg. daily census 05/01/2020 - 05/31/2020 | Avg. daily census 06/01/2020 - 06/30/2020 | Avg. daily census 07/01/2020 - 07/31/2020 |
| <b>Adult</b>                            |                                       |                                |  |                                   |                                   |  |                                     |   |   |   |
| Bronx                                   | 156                                   | 156                            | --   | 12                                | 17                                | 173  | 87                                  | 155                                       | 159                                       | 153                                       |
| Buffalo                                 | 221                                   | 155                            | --   | 8                                 | 11                                | 294  | 79                                  | 157                                       | 155                                       | 153                                       |
| Capital District                        | 158                                   | 108                            | --   | 4                                 | 3                                 | 576  | 67                                  | 97  | 97  | 99  |
| Creedmoor                               | 480                                   | 322                            | --   | 8                                 | 8                                 | 257  | 214                                 | 277                                       | 274                                       | 271                                       |
| Elmira                                  | 104                                   | 47                             | --   | 5                                 | 7                                 | 49   | 20                                  | 48  | 49  | 47  |
| Greater Binghamton                      | 178                                   | 70                             | --   | 7                                 | 4                                 | 155  | 28                                  | 58  | 59  | 65  |
| Hutchings                               | 132                                   | 117                            | --   | 9                                 | 10                                | 71   | 40                                  | 89  | 91  | 89  |
| Kingsboro                               | 254                                   | 161                            | --   | 11                                | 14                                | 873  | 91                                  | 170                                       | 174                                       | 171                                       |
| Manhattan                               | 476                                   | 150                            | --   | 16                                | 14                                | 144  | 50                                  | 152                                       | 143                                       | 143                                       |
| Pilgrim                                 | 771                                   | 273                            | --   | 14                                | 14                                | 139  | 166                                 | 271                                       | 270                                       | 262                                       |
| Rochester                               | 222                                   | 76                             | --   | 10                                | 6                                 | 100  | 44                                  | 77  | 78  | 74  |
| Rockland                                | 436                                   | 362                            | --   | 13                                | 18                                | 169  | 224                                 | 322                                       | 327                                       | 326                                       |
| South Beach                             | 280                                   | 235                            | --   | 19                                | 24                                | 230  | 91                                  | 234                                       | 230                                       | 226                                       |
| St. Lawrence                            | 84                                    | 38                             | --   | 6                                 | 7                                 | 87   | 12                                  | 36  | 36  | 34  |
| Washington Heights                      | 21                                    | 21                             | --   | 9                                 | 10                                | 22   | 1                                   | 14  | 15  | 15  |
| <b>Total</b>                            | <b>3,973</b>                          | <b>2,291</b>                   | <b>--</b>                                    | <b>151</b>                        | <b>167</b>                        | <b>144</b>   | <b>1,214</b>                        | <b>2,158</b>                              | <b>2,156</b>                              | <b>2,129</b>                              |
| <b>Children &amp; Youth</b>             |                                       |                                |  |                                   |                                   |  |                                     |   |   |   |
| Elmira                                  | 48                                    | 12                             | --   | 9                                 | 5                                 | 16   | 2                                   | 11  | 10  | 7   |
| Greater Binghamton                      | 16                                    | 13                             | --   | 10                                | 10                                | 17   | 1                                   | 7   | 3   | 6   |
| Hutchings                               | 30                                    | 23                             | --   | 18                                | 18                                | 18   | 1                                   | 15  | 12  | 17  |
| Mohawk Valley                           | 32                                    | 27                             | --   | 29                                | 22                                | 17   | 0                                   | 9   | 10  | 18  |
| NYC Children's Center                   | 184                                   | 97                             | --   | 13                                | 17                                | 100  | 21                                  | 58  | 62  | 59  |
| Rockland CPC                            | 56                                    | 20                             | --   | 10                                | 10                                | 35   | 1                                   | 13  | 12  | 14  |
| Sagamore CPC                            | 77                                    | 54                             | --   | 5                                 | 9                                 | 134  | 28                                  | 43  | 45  | 42  |
| South Beach                             | 12                                    | 10                             | --   | 4                                 | 3                                 | 64   | 2                                   | 8   | 8   | 9   |
| St. Lawrence                            | 29                                    | 27                             | --   | 21                                | 20                                | 16   | 1                                   | 14  | 18  | 11  |
| Western NY CPC                          | 46                                    | 46                             | --   | 4                                 | 11                                | 53   | 3                                   | 21  | 25  | 22  |
| <b>Total</b>                            | <b>530</b>                            | <b>329</b>                     | <b>--</b>                                    | <b>123</b>                        | <b>125</b>                        | <b>23</b>  | <b>60</b>                           | <b>199</b>                                | <b>205</b>                                | <b>206</b>                                |
| <b>Forensic</b>                         |                                       |                                |  |                                   |                                   |  |                                     |   |   |   |
| Central New York                        | 450                                   | 169                            | --   | 16                                | 17                                | 149  | 22                                  | 122                                       | 118                                       | 115                                       |
| Kirby                                   | 220                                   | 218                            | --   | 22                                | 25                                | 201  | 88                                  | 203                                       | 208                                       | 208                                       |
| Mid-Hudson                              | 340                                   | 285                            | --   | 16                                | 28                                | 98   | 159                                 | 261                                       | 255                                       | 250                                       |
| Rochester                               | 84                                    | 84                             | --   | 4                                 | 4                                 | 148  | 53                                  | 82  | 78  | 83  |
| <b>Total</b>                            | <b>1,094</b>                          | <b>756</b>                     | <b>--</b>                                    | <b>58</b>                         | <b>74</b>                         | <b>152</b>   | <b>322</b>                          | <b>667</b>                                | <b>659</b>                                | <b>655</b>                                |

Updated as of Aug. 5, 2020

**Notes:**

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.
2. Budgeted capacity reflects the number of operating beds during the month of the report.
3. Discharge includes discharges to the community and transfers to another State IP facility.
4. Long Stay is defined as: Length of stay over one year for adult and forensic inpatients, and over 90 days for child inpatients.
5. Monthly Average Daily Census defined as: Total number of inpatient service days for a month divided by the total number of days in the month. Population totals displayed may differ from the sum of the facility monthly census values due to rounding.

**Table 2: Transformation and Article 28/31 Reinvestment Summary - By Facility**

| OMH Facility  | Target Population | Prior Capacity <sup>1</sup> | Reinvestment Expansion | Annualized Reinvestment | Allocated            | New Individuals Served |
|---|-------------------|-----------------------------|------------------------|-------------------------|----------------------|------------------------|
| HCBS Waiver Slots   |                   |                             |                        |                         |                      |                        |
| Greater Binghamton  | Children          | 60                          | 12                     | \$315,516               | \$315,516            | 58                     |
| Elmira  | Children          | 90                          | 12                     | \$315,516               | \$315,516            | 28                     |
| St. Lawrence  | Children          | 78                          | 12                     | \$315,516               | \$315,516            | 38                     |
| Sagamore  | Children          | 192                         | 60                     | \$1,488,240             | \$1,488,240          | 170                    |
| Western NY  | Children          | 110                         | 24                     | \$631,032               | \$631,032            | 91                     |
| Rochester   | Children          | 100                         | -                      | -                       | -                    | -                      |
| New York City   | Children          | 600                         | 78                     | \$1,749,440             | \$1,749,440          | 145                    |
| Rockland  | Children          | 177                         | 30                     | \$323,118               | \$323,118            | 48                     |
| Hutchings   | Children          | 72                          | 18                     | \$473,274               | \$473,274            | 55                     |
| Subtotal  |                   | <b>1,479</b>                | <b>246</b>             | <b>\$5,611,652</b>      | <b>\$5,611,652</b>   | <b>633</b>             |
| Supported Housing Beds  |                   |                             |                        |                         |                      |                        |
| Greater Binghamton  | Adults            | 289                         | 88                     | \$739,796               | \$739,796            | 185                    |
| Elmira  | Adults            | 517                         | 82                     | \$735,690               | \$735,690            | 161                    |
| St. Lawrence  | Adults            | 306                         | 55                     | \$459,480               | \$459,480            | 114                    |
| Pilgrim   | Adults            | 2,245                       | 208                    | \$3,565,536             | \$3,565,536          | 266                    |
| Buffalo   | Adults            | 1,196                       | 112                    | \$993,040               | \$993,040            | 223                    |
| Rochester   | Adults            | 555                         | 125                    | \$1,135,913             | \$1,135,913          | 250                    |
| New York City   | Adults            | 8,776                       | 364                    | \$6,335,420             | \$6,335,420          | 420                    |
| Rockland  | Adults            | 1,841                       | 145                    | \$2,003,539             | \$2,003,539          | 205                    |
| Capital District PC   | Adults            | 659                         | 84                     | \$632,077               | \$632,077            | 66                     |
| Hutchings   | Adults            | 837                         | 42                     | \$341,754               | \$341,754            | 74                     |
| Subtotal  |                   | <b>17,221</b>               | <b>1,305</b>           | <b>\$16,942,245</b>     | <b>\$16,942,245</b>  | <b>1,964</b>           |
| State-Community   |                   |                             |                        |                         |                      |                        |
| Greater Binghamton  |                   |                             |                        | \$5,740,000             | \$2,012,500          | 3,929                  |
| Elmira  |                   |                             |                        |                         | \$2,366,000          | 1,969                  |
| St. Lawrence  |                   |                             |                        | \$2,736,160             | \$2,736,160          | 2,608                  |
| Sagamore  |                   |                             |                        | \$3,640,000             | \$1,820,000          | 1,840                  |
| Pilgrim   |                   |                             |                        |                         | \$1,750,000          | 1,943                  |
| Western NY  |                   |                             |                        | \$1,050,000             | \$1,050,000          | 1,275                  |
| Buffalo   |                   |                             |                        | \$490,000               | \$490,000            | 654                    |
| Rochester   |                   |                             |                        | \$2,145,440             | \$2,145,440          | 1,476                  |
| New York City   |                   |                             |                        | \$2,590,000             | \$1,470,000          | 1,195                  |
| Rockland  |                   |                             |                        | \$770,000               | \$280,000            | 77                     |
| Capital District PC   |                   |                             |                        |                         | \$420,000            | 111                    |
| Hutchings   |                   |                             |                        | \$1,068,400             | \$1,068,400          | 638                    |
| Subtotal  |                   |                             |                        | <b>\$20,230,000</b>     | <b>\$17,608,500</b>  | <b>17,715</b>          |
| Aid to Localities   |                   |                             |                        |                         |                      |                        |
| Greater Binghamton  |                   |                             |                        | \$1,690,288             | \$954,921            | 10,639                 |
| Elmira  |                   |                             |                        |                         | \$703,574            | 1,634                  |
| St. Lawrence  |                   |                             |                        | \$1,331,000             | \$1,330,998          | 6,696                  |
| Sagamore  |                   |                             |                        | \$5,866,000             | \$918,571            | 213                    |
| Pilgrim   |                   |                             |                        |                         | \$4,593,767          | 12,156                 |
| Western NY  |                   |                             |                        | -                       | -                    | -                      |
| Buffalo   |                   |                             |                        | \$2,989,517             | \$2,989,517          | 6,473                  |
| Rochester   |                   |                             |                        | \$3,173,000             | \$3,173,000          | 2,914                  |
| New York City   |                   |                             |                        | \$7,432,000             | \$7,430,938          | 6,844                  |
| Rockland  |                   |                             |                        | \$5,740,000             | \$4,228,116          | 12,671                 |
| Capital District PC   |                   |                             |                        | \$1,077,000             | \$430,000            | 63                     |
| Hutchings   |                   |                             |                        |                         | \$1,077,000          | 3,324                  |
| Subtotal  |                   |                             |                        | <b>\$29,298,805</b>     | <b>\$27,830,402</b>  | <b>63,627</b>          |
| Statewide   |                   |                             |                        |                         |                      |                        |
| Suicide Prevention, Forensics   |                   |                             |                        | \$1,500,000             | \$1,500,000          | N/A                    |
| Sustained Engagement Support Team   |                   |                             |                        | \$1,000,000             | \$1,000,000          | 1,954                  |
| Residential Stipend Adjustment  |                   |                             |                        | \$5,725,636             | \$5,725,636          | N/A                    |
| Peer Specialist Certification   |                   |                             |                        | N/A                     | N/A                  | 365                    |
| SNF Transition Supports   |                   |                             |                        | \$5,500,000             | \$5,500,000          | 358                    |
| Subtotal  |                   |                             |                        | <b>\$13,725,636</b>     | <b>\$13,725,636</b>  | <b>2,677</b>           |
| Funds available subject to reduction of anticipated excess inpatient capacity |                   |                             |                        | \$11,676,432            |                      |                        |
| <b>TOTAL TRANSFORMATION</b>   |                   |                             |                        | <b>\$97,484,770</b>     | <b>\$81,718,435</b>  | <b>86,616</b>          |
| Article 28/31 Reinvestment  |                   |                             |                        |                         |                      |                        |
| St. James Mercy (WNY)   | Child & Adult     | N/A                         | N/A                    | \$894,725               | \$894,725            | 4,075                  |
| Medina Memorial (WNY)   | Adults            | N/A                         | N/A                    | \$199,030               | \$199,030            | 2,391                  |
| Holliswood/Stony Lodge/Mt Sinai (NYC)   | Child & Adult     | N/A                         | N/A                    | \$10,254,130            | \$10,254,130         | 3,064                  |
| Stony Lodge/Rye (Hudson River)  | Child & Adult     | N/A                         | N/A                    | \$4,650,831             | \$4,650,831          | 10,859                 |
| LBMC/NSUH/PK (Long Island)  | Child & Adult     | N/A                         | N/A                    | \$2,910,400             | \$2,910,400          | 11,416                 |
| Subtotal  |                   |                             |                        | <b>\$18,909,116</b>     | <b>\$18,909,116</b>  | <b>31,805</b>          |
| <b>GRAND TOTAL</b>  |                   |                             |                        | <b>\$116,393,886</b>    | <b>\$100,627,551</b> | <b>118,421</b>         |

1. Prior capacity refers to the program capacity at the end of State fiscal year 2013-14; before Transformation investments began.

**Table 3a: Greater Binghamton Health Center**

| Service  | Target Population | County  | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        |                                     |
|--|-------------------|---|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|  |                   |   |                |                                | Status Update            | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver  | Children          | Broome  | 24             | 6                              |                          | 4/1/2014      | 32                     | \$157,758                           |
| HCBS Waiver  | Children          | Tioga   | 6              | 6                              |                          | 6/5/2014      | 26                     | \$157,758                           |
| <b>SUBTOTAL:</b>                                   |                   |   | <b>30</b>      | <b>12</b>                      |                          |               | <b>58</b>              | <b>\$315,516</b>                    |
| Supported Housing                                  | Adult             | Broome  | 161            | 53                             |                          | 8/1/2014      | 133                    | \$431,261                           |
| Supported Housing                                  | Adult             | Chenango                                      | 46             | 8                              |                          | 10/1/2014     | 11                     | \$65,096                            |
| Supported Housing                                  | Adult             | Delaware                                      | 27             | 6                              |                          | 1/1/2016      | 5                      | \$48,822                            |
| Supported Housing                                  | Adult             | Otsego  | 30             | 8                              |                          | 6/1/2015      | 8                      | \$66,712                            |
| Supported Housing                                  | Adult             | Tioga   | 25             | 3                              |                          | 7/1/2015      | 7                      | \$26,175                            |
| Supported Housing                                  | Adult             | Tompkins                                      | 0              | 10                             |                          | 11/1/2014     | 21                     | \$101,730                           |
| <b>SUBTOTAL:</b>                                   |                   |   | <b>289</b>     | <b>88</b>                      |                          |               | <b>185</b>             | <b>\$739,796</b>                    |
| <b>State Resources:</b>                            |                   |   | N/A            |                                |                          |               |                        |                                     |
| Mobile Integration Team                            | Adults & Children | Greater Binghamton Health Center Service Area |                | 24 FTEs                        |                          | 6/1/2014      | 3,472                  | \$1,680,000                         |
| Clinic Expansion                                   | Adult             | Greater Binghamton Health Center Service Area |                | 1.75 FTEs                      |                          | 1/1/2015      | 422                    | \$122,500                           |
| OnTrack NY Expansion                               | Adult             | Southern Tier Service Area                    |                | 3 FTE                          |                          | 2/2/2017      | 35                     | \$210,000                           |
| <b>SUBTOTAL:</b>                                   |                   |   |                |                                |                          |               | <b>3,929</b>           | <b>\$2,012,500</b>                  |
| <b>Aid to Localities:</b>                          |                   |   | N/A            |                                |                          |               |                        |                                     |
| Crisis Intervention Team (CIT)                     | Adults & Children | Broome  |                |                                |                          | 9/14/2015     | 5,764                  | \$80,400                            |
| Engagement & Transitional Support Services Program | Adults & Children | Chenango & Delaware                           |                |                                |                          | 12/28/2015    | 634                    | \$160,800                           |
| Family Stabilization Program                       | Children          | Otsego  |                |                                |                          | 6/27/2016     | 101                    | \$80,400                            |
| Warm Line Program                                  | Adult             | Tioga   |                |                                |                          | 6/11/2016     | 60                     | \$35,040                            |
| Drop-In Center                                     | Adult             | Tioga   |                |                                |                          | 11/1/2015     | 123                    | \$45,360                            |
| Crisis Stabilization Team                          | Adult             | Broome  |                |                                |                          | 4/30/2018     | 437                    | \$80,000                            |
| Peer-In-Home Companion Respite                     | Adult             | Broome  |                |                                |                          | 8/1/2017      | 463                    | \$42,000                            |
| Enhanced Outreach Services                         | Adults & Children | Chenango                                      |                |                                |                          | 8/1/2017      | 1,072                  | \$80,000                            |
| Enhanced Outreach Services                         | Adults & Children | Delaware                                      |                |                                |                          | 8/1/2017      | 1,942                  | \$80,000                            |
| Enhanced Child & Family Support Services           | Children          | Otsego  |                |                                |                          | 9/1/2017      | N/A                    | \$54,958                            |
| System Monitoring Support                          | Adult & Children  | Otsego  |                |                                |                          | 9/1/2017      | N/A                    | \$25,042                            |
| Crisis/Respite Program Expansion <sup>1</sup>      | Adult             | Tompkins                                      |                |                                |                          | 1/1/2018      | 43                     | \$190,921                           |
| <b>SUBTOTAL:</b>                                   |                   |   |                |                                |                          |               | <b>10,639</b>          | <b>\$954,921</b>                    |

**State Resources - In Development:** **\$1,098,721**

**TOTAL:** **14,811** **\$5,121,454**

**Notes:**

1. Reinvestment funding \$50,921 previously allocated for Transitional Housing Program in Tompkins county on Table 3b was reallocated to a new Crisis/Respite Program Expansion in Tompkins county on Table 3a by combining with \$140,000 unallocated Aid to Localities funding on Table 3a.

**Table 3b: Elmira Psychiatric Center**

| Service  | Target Population | County   | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        |                                     |
|--|-------------------|--|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|  |                   |  |                |                                | Status Update            | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver                                      | Children          | Seneca   | 6              | 3                              |                          | 6/5/2014      | 9                      | \$78,879                            |
| HCBS Waiver                                      | Children          | Steuben  | 12             | 3                              |                          | 6/5/2014      | 11                     | \$78,879                            |
| HCBS Waiver                                      | Children          | Wayne  | 12             | 6                              |                          | 6/5/2014      | 8                      | \$157,758                           |
| <b>SUBTOTAL:</b>                                 |                   |  | <b>36</b>      | <b>12</b>                      |                          |               | <b>28</b>              | <b>\$315,516</b>                    |
| Supported Housing                                | Adult             | Allegany   | 35             | 2                              |                          | 11/1/2014     | 6                      | \$17,450                            |
| Supported Housing                                | Adult             | Cattaraugus                                      | 0              | 1                              |                          | 2/1/2015      | 1                      | \$8,725                             |
| Supported Housing                                | Adult             | Chemung  | 121            | 31                             |                          | 9/1/2014      | 60                     | \$276,055                           |
| Supported Housing                                | Adult             | Ontario  | 64             | 13                             |                          | 10/1/2014     | 27                     | \$118,417                           |
| Supported Housing                                | Adult             | Schuylar   | 6              | 6                              |                          | 12/1/2015     | 6                      | \$52,350                            |
| Supported Housing                                | Adult             | Seneca   | 28             | 9                              |                          | 8/1/2014      | 22                     | \$80,145                            |
| Supported Housing                                | Adult             | Steuben  | 119            | 8                              |                          | 9/1/2014      | 17                     | \$69,800                            |
| Supported Housing                                | Adult             | Tompkins   | 64             | 4                              |                          | 9/1/2014      | 9                      | \$40,692                            |
| Supported Housing                                | Adult             | Wayne  | 70             | 4                              |                          | 10/1/2014     | 7                      | \$36,436                            |
| Supported Housing                                | Adult             | Yates  | 10             | 4                              |                          | 6/1/2015      | 6                      | \$35,620                            |
| <b>SUBTOTAL:</b>                                 |                   |  | <b>517</b>     | <b>82</b>                      |                          |               | <b>161</b>             | <b>\$735,690</b>                    |
| <b>State Resources:</b>                          |                   |  |                |                                |                          |               |                        |                                     |
| Mobile Integration Team                          | Adults & Children | Elmira PC Service Area                           | N/A            | 14.35 FTEs                     |                          | 6/1/2014      | 1,457                  | \$1,004,500                         |
| Clinic Expansion                                 | Adult             | Elmira PC Service Area                           |                | 5.45 FTEs                      |                          | 1/1/2015      | 34                     | \$381,500                           |
| Crisis/respite Unit                              | Children          | Elmira PC Service Area                           |                | 12.5 FTEs                      |                          | 4/16/2015     | 478                    | \$875,000                           |
| Clinic Expansion                                 | Children          | Elmira PC Service Area                           |                | 1.5 FTEs                       |                          | 9/1/2014      | N/A                    | \$105,000                           |
| <b>SUBTOTAL:</b>                                 |                   |  |                |                                |                          |               | <b>1,969</b>           | <b>\$2,366,000</b>                  |
| <b>Aid to Localities:</b>                        |                   | Western Southern Tier/ Finger Lakes Service Area |                |                                |                          |               |                        |                                     |
| Respite Services                                 | Adult             | Western  | N/A            | N/A                            |                          | 3/1/2016      | 80                     | \$50,368                            |
| Community Support Services                       | Adult             | Southern Tier/ Finger Lakes                      |                |                                |                          | 5/1/2016      | 652                    | \$61,947                            |
| Family Support                                   | Adult             | Finger Lakes                                     |                |                                |                          | 3/7/2017      | 221                    | \$34,887                            |
| Peer Training                                    | Adult             | Service Area                                     |                |                                |                          | 12/5/2015     | 452                    | \$10,538                            |
| Mobile Psychiatric Supports <sup>2</sup>         | Adults & Children |  |                |                                |                          | N/A           | N/A                    | \$40,576                            |
| Transitional Housing Program                     | Adult             | Steuben  |                |                                |                          | 7/1/2015      | 81                     | \$101,842                           |
| Transitional Housing Program                     | Adult             | Yates  |                |                                |                          | 4/8/2016      | 49                     | \$50,921                            |
| Residential Crisis/Respite <sup>1</sup>          | Adult             | Chemung  |                |                                |                          | 7/1/2017      | 60                     | \$108,000                           |
| Home-Based Crisis Intervention Program Expansion | Children          | Chemung  |                |                                |                          | 1/1/2018      | 39                     | \$244,495                           |
| <b>SUBTOTAL:</b>                                 |                   |  |                |                                |                          |               | <b>1,634</b>           | <b>\$703,574</b>                    |

**State Resources - In Development: \$262,036**

**Aid to Localities - In Development: \$30,793**

**TOTAL: 3,792 \$4,413,609**

**Notes:**

1. Community Support Program Expansion - Long Stay Team was reprogrammed to support Residential Crisis/Respite, effective 1/1/2019.

\*Note: Reinvestment funding \$50,921 previously allocated for Transitional Housing Program in Tompkins county on Table 3b was reallocated to a new Crisis/Respite Program Expansion in Tompkins county on Table 3a by combining with \$140,000 unallocated Aid to Localities funding on Table 3a.

2. Aid to Localities funding previously allocated to Wayne County for Mobile Psychiatric Supports was reallocated to Seneca County, effective 7/1/19, to support Ontario, Seneca, Wayne and Yates counties.

**Table 3c: St. Lawrence Psychiatric Center**

| Service                            | Target Population | County                       | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        |                                     |
|------------------------------------|-------------------|------------------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|                                    |                   |                              |                |                                | Status Update            | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver                        | Children          | Essex                        | 12             | 6                              |                          | 6/5/2014      | 14                     | \$157,758                           |
| HCBS Waiver                        | Children          | St. Lawrence                 | 18             | 6                              |                          | 5/1/2014      | 24                     | \$157,758                           |
| <b>SUBTOTAL:</b>                   |                   |                              | <b>30</b>      | <b>12</b>                      |                          |               | <b>38</b>              | <b>\$315,516</b>                    |
| Supported Housing                  | Adult             | Clinton                      | 54             | 8                              |                          | 10/1/2014     | 23                     | \$66,712                            |
| Supported Housing                  | Adult             | Essex                        | 29             | 6                              |                          | 3/1/2015      | 9                      | \$50,034                            |
| Supported Housing                  | Adult             | Franklin                     | 42             | 5                              |                          | 1/1/2015      | 10                     | \$40,685                            |
| Supported Housing                  | Adult             | Jefferson                    | 57             | 9                              |                          | 11/1/2014     | 17                     | \$82,350                            |
| Supported Housing                  | Adult             | Lewis                        | 51             | 2                              |                          | 2/1/2015      | 5                      | \$16,274                            |
| Supported Housing                  | Adult             | St. Lawrence                 | 73             | 25                             |                          | 1/1/2015      | 50                     | \$203,425                           |
| <b>SUBTOTAL:</b>                   |                   |                              | <b>306</b>     | <b>55</b>                      |                          |               | <b>114</b>             | <b>\$459,480</b>                    |
| <b>State Resources:</b>            |                   |                              | N/A            |                                |                          |               |                        |                                     |
| Mobile Integration Team            | Adults & Children | St. Lawrence PC Service Area |                | 21 FTEs                        |                          | 6/6/2014      | 2,212                  | \$1,470,000                         |
| Clinic expansion                   | Children          | Jefferson                    |                | 6.5 FTEs                       |                          | 9/8/2015      | 156                    | \$455,000                           |
| Crisis/respice Unit <sup>1</sup>   | Children          | St. Lawrence PC Service Area |                | 11.5 FTEs                      |                          | 10/1/2016     | 240                    | \$811,160                           |
| <b>SUBTOTAL:</b>                   |                   |                              |                |                                |                          |               | <b>2,608</b>           | <b>\$2,736,160</b>                  |
| <b>Aid to Localities:</b>          |                   |                              | N/A            | N/A                            |                          |               |                        |                                     |
| Outreach Services Program          | Adult             | Clinton                      |                |                                |                          | 2/1/2015      | 138                    | \$46,833                            |
| Mobile Crisis Program              | Adult             | Essex                        |                |                                |                          | 4/28/2015     | 432                    | \$23,417                            |
| Community Support Program          | Adults & Children | Essex                        |                |                                |                          | 3/1/2015      | 466                    | \$23,416                            |
| Mobile Crisis Program              | Adults & Children | St. Lawrence                 |                |                                |                          | 7/1/2015      | 700                    | \$46,833                            |
| Support Services Program           | Adult             | Franklin                     |                |                                |                          | 3/15/2015     | 48                     | \$12,278                            |
| Self Help Program                  | Adult             | Franklin                     |                |                                |                          | 3/15/2015     | 155                    | \$12,277                            |
| Outreach Services Program          | Adults & Children | Franklin                     |                |                                |                          | 3/15/2015     | 921                    | \$12,278                            |
| Crisis Intervention Program        | Adults & Children | Franklin                     |                |                                |                          | 6/1/2015      | 81                     | \$10,000                            |
| Outreach Services Program          | Adults & Children | Lewis                        |                |                                |                          | 1/4/2016      | 389                    | \$46,833                            |
| Outreach Services Program          | Adult             | Jefferson                    |                |                                |                          | 9/28/2015     | 2,865                  | \$46,833                            |
| Non-Medicaid Care Coordination     | Children          | Jefferson                    |                |                                |                          | 9/1/2017      | 235                    | \$200,000                           |
| Child & Family Support Team        | Children          | St. Lawrence                 |                |                                |                          | 2/12/2018     | 130                    | \$200,000                           |
| Therapeutic Crisis Respite Program | Children          | Jefferson                    |                |                                |                          | 12/18/2018    | 136                    | \$650,000                           |
| <b>SUBTOTAL:</b>                   |                   |                              |                |                                |                          |               | <b>6,696</b>           | <b>\$1,330,998</b>                  |

|               |              |                    |
|---------------|--------------|--------------------|
| <b>TOTAL:</b> | <b>9,456</b> | <b>\$4,842,154</b> |
|---------------|--------------|--------------------|



**Table 3d: Sagamore Children's Psychiatric Center**

| Service                          | Target Population | County           | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress             |               |                           |                                     |
|----------------------------------|-------------------|------------------|----------------|--------------------------------|--------------------------------------|---------------|---------------------------|-------------------------------------|
|                                  |                   |                  |                |                                | Status Update                        | Start Up Date | New Individuals Served    | Annualized Reinvestment Amount (\$) |
| HCBS Waiver                      | Children          | Nassau           | 90             | 24                             |                                      | 10/1/2013     | 89                        | \$661,440                           |
| HCBS Waiver                      | Children          | Suffolk          | 102            | 30                             |                                      | 5/6/2014      | 81                        | \$826,800                           |
| <b>SUBTOTAL:</b>                 |                   |                  | <b>192</b>     | <b>54</b>                      |                                      |               | <b>170</b>                | <b>\$1,488,240</b>                  |
| <b>State Resources:</b>          |                   |                  |                | N/A                            |                                      |               |                           |                                     |
| Family Court Evaluation          | Children          | Long Island      |                | 1 FTE                          |                                      | 4/1/2014      | N/A                       | \$70,000                            |
| Mobile Crisis                    | Children          | Suffolk          |                | 1 FTE                          |                                      | 7/1/2014      | 1,039                     | \$70,000                            |
| Mobile Integration Team          | Children          | Nassau & Suffolk |                | 10 FTEs                        |                                      | 11/30/2014    | 290                       | \$700,000                           |
| Clinic Expansion <sup>1</sup>    | Children          | Nassau & Suffolk |                | 5 FTEs                         |                                      | 3/21/2016     | 71                        | \$350,000                           |
| Crisis/respite Unit              | Children          | Nassau & Suffolk |                | 9 FTEs                         |                                      | 3/9/2015      | 440                       | \$630,000                           |
| <b>SUBTOTAL:</b>                 |                   |                  |                |                                |                                      |               | <b>1,840</b>              | <b>\$1,820,000</b>                  |
| <b>Aid to Localities:</b>        |                   | Long Island      | N/A            | N/A                            |                                      |               |                           |                                     |
| 6 Non-Medicaid Care Coordinators | Children          | Suffolk          |                |                                |                                      | 4/1/2016      | 176                       | \$526,572                           |
| 1.5 Intensive Case Managers      | Children          | Suffolk          |                |                                | State Aid & State Share of Medicaid* | 4/1/2016      | 12                        | \$81,299                            |
| Non-Medicaid Case Management     | Children          | Nassau           |                |                                |                                      | 1/1/2019      | 25                        | \$85,000                            |
| Mobile Crisis Team <sup>2</sup>  | Adults & Children | Nassau           |                |                                |                                      | 8/1/2018      | See Table 3n <sup>2</sup> | \$225,700                           |
| <b>SUBTOTAL:</b>                 |                   |                  |                |                                |                                      |               | <b>213</b>                | <b>\$918,571</b>                    |

|  |                  |
|--|------------------|
| <b>Aid to Localities - In Development:</b> | <b>\$280,000</b> |
|--|------------------|

|               |              |                    |
|---------------|--------------|--------------------|
| <b>TOTAL:</b> | <b>2,223</b> | <b>\$4,506,811</b> |
|---------------|--------------|--------------------|

\* Gross Medicaid projected \$100,690

**Notes:**

1. A portion of previously allocated and unused clinic FTEs have been reprogrammed for future planning.
2. The Mobile Crisis Team in Nassau County is funded by Long Island Art. 28 reinvestment funding and Sagamore PC Aid to Localities funding. The number of newly served individuals is only reflected on Table 3n, so as not to duplicate the number of individuals served.

**Table 3e: Pilgrim Psychiatric Center**

| Service  | Target Population | County           | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress             |               |                           |                                     |
|--|-------------------|------------------|----------------|--------------------------------|--------------------------------------|---------------|---------------------------|-------------------------------------|
|  |                   |                  |                |                                | Status Update                        | Start Up Date | New Individuals Served    | Annualized Reinvestment Amount (\$) |
| Supported Housing  | Adult             | Nassau           | 885            | 83                             |                                      | 3/1/2015      | 86                        | \$1,422,786                         |
| Supported Housing  | Adult             | Suffolk          | 1,360          | 125                            |                                      | 12/1/2014     | 180                       | \$2,142,750                         |
| <b>SUBTOTAL:</b>   |                   |                  | <b>2,245</b>   | <b>208</b>                     |                                      |               | <b>266</b>                | <b>\$3,565,536</b>                  |
| <b>State Resources:</b>                                    |                   |                  |                |                                |                                      |               |                           |                                     |
| Clinic Expansion   | Adult             | Nassau & Suffolk |                | 5 FTEs                         |                                      | 11/20/2015    | 93                        | \$350,000                           |
| Mobile Integration Team                                    | Adult             | Nassau & Suffolk |                | 20 FTEs                        |                                      | 1/11/2016     | 1,850                     | \$1,400,000                         |
| <b>SUBTOTAL:</b>   |                   |                  |                |                                |                                      |               | <b>1,943</b>              | <b>\$1,750,000</b>                  |
| <b>Aid to Localities:</b>                                  |                   |                  |                |                                |                                      |               |                           |                                     |
|  |                   | Long Island      | N/A            | N/A                            |                                      |               |                           |                                     |
| 2 Assertive Community Treatment teams*                     | Adult             | Nassau           |                | 136                            | State Aid & State Share of Medicaid* | 3/1/2015      | 239                       | \$1,158,299                         |
| (3) Mobile Residential Support Teams                       | Adult             | Suffolk          |                |                                |                                      | 8/1/2015      | 4,475                     | \$1,033,926                         |
| Hospital Alternative Respite Program <sup>5</sup>          | Adult             | Suffolk          |                |                                |                                      | 7/6/2016      | 239                       | \$532,590                           |
| Recovery Center  | Adult             | Suffolk          |                |                                |                                      | 4/15/2016     | 658                       | \$250,000                           |
| Mobile Crisis Team Expansion - Long Stay Team <sup>1</sup> | Adults & Children | Nassau & Suffolk |                |                                |                                      | 7/1/2016      | See Table 3n <sup>1</sup> | \$503,812                           |
| Crisis Stabilization Center                                | Adult             | Suffolk          |                |                                |                                      | 1/1/2019      | 6,524                     | \$804,440                           |
| Client Financial Management Services <sup>2</sup>          | Adult             | Nassau           |                |                                |                                      | 1/1/2019      | 21                        | \$85,000                            |
| Mobile Crisis Team <sup>2, 4</sup>                         | Adults & Children | Nassau           |                |                                |                                      | 8/1/2018      | See Table 3n <sup>4</sup> | \$225,700                           |
| <b>SUBTOTAL:</b>   |                   |                  |                |                                |                                      |               | <b>12,156</b>             | <b>\$4,593,767</b>                  |

**State & Local Resources- In Development<sup>2, 3:</sup>**

**\$144,160**

**TOTAL:**

**14,365**

**\$10,053,463**

\* Gross Medicaid projected \$1,827,048; State Share adjusted to reflect current model

**Notes:**

1. The Mobile Crisis Team expansion in Nassau and Suffolk Counties is funded by Long Island Art. 28 reinvestment funding and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on Table 3n, so as not to duplicate the number of individuals served.
2. Previously undeveloped State FTE resources converted to support new local Mobile Crisis and Client Financial Management programming. Additional unallocated resources shifted to Table 3h.
3. State Resources funding – In Development \$70,000 previously allocated to NYC PC on Table 3h was reallocated to Pilgrim PC on Table 3e by combining with \$74,160 Aid to Localities funding- In Development on Table 3e.
4. The Mobile Crisis Team in Nassau County is funded by Long Island Art. 28 reinvestment funding and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on Table 3n, so as not to duplicate the number of individuals served.
5. Pilgrim PC Aid to Localities reinvestment funding for Hospital Alternative respite program on Table 3e is blended with Long Island Article 28 reinvestment funding for Peer Outreach program on Table 3n. The number of newly served individuals is only reported on Table 3e, to prevent duplication in the number of people served.

**Table 3f: Western NY Children's - Buffalo Psychiatric Center**

| Service  | Target Population | County                      | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        | Annualized Reinvestment Amount (\$) |
|--|-------------------|-----------------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|  |                   |                             |                |                                | Status Update            | Start Up Date | New Individuals Served |                                     |
| HCBS Waiver                                      | Children          | Allegany                    | 0              | 6                              |                          | 6/5/2014      | 18                     | \$157,758                           |
| HCBS Waiver                                      | Children          | Cattaraugus                 | 12             | 6                              |                          | 11/1/2013     | 19                     | \$157,758                           |
| HCBS Waiver                                      | Children          | Chautauqua                  | 6              | 6                              |                          | 6/5/2014      | 26                     | \$157,758                           |
| HCBS Waiver                                      | Children          | Erie                        | 78             | 6                              |                          | 4/1/2014      | 28                     | \$157,758                           |
| <b>SUBTOTAL:</b>                                 |                   |                             | <b>96</b>      | <b>24</b>                      |                          |               | <b>91</b>              | <b>\$631,032</b>                    |
| Supported Housing                                | Adult             | Cattaraugus                 | 104            | 12                             |                          | 7/1/2014      | 31                     | \$104,700                           |
| Supported Housing                                | Adult             | Chautauqua                  | 86             | 12                             |                          | 8/1/2014      | 22                     | \$104,700                           |
| Supported Housing                                | Adult             | Erie                        | 863            | 66                             |                          | 8/1/2014      | 136                    | \$587,730                           |
| Supported Housing                                | Adult             | Niagara                     | 143            | 22                             |                          | 9/1/2014      | 34                     | \$195,910                           |
| <b>SUBTOTAL:</b>                                 |                   |                             | <b>1,196</b>   | <b>112</b>                     |                          |               | <b>223</b>             | <b>\$993,040</b>                    |
| <b>State Resources:</b>                          |                   |                             | N/A            |                                |                          |               |                        |                                     |
| Mobile Integration Team                          | Children          | Western NY CPC Service Area |                | 10 FTEs                        |                          | 12/19/2014    | 1,104                  | \$700,000                           |
| Clinic Expansion                                 | Children          | Western NY CPC Service Area |                | 4 FTEs                         |                          | 2/5/2015      | 131                    | \$280,000                           |
| Mobile Mental Health Juvenile Justice Team       | Children          | Western NY CPC Service Area |                | 1 FTE                          |                          | 12/1/2015     | 40                     | \$70,000                            |
| Mobile Integration Team                          | Adult             | Buffalo PC Service Area     |                | 7 FTEs                         |                          | 1/12/2016     | 654                    | \$490,000                           |
| <b>SUBTOTAL:</b>                                 |                   |                             |                |                                |                          |               | <b>1,929</b>           | <b>\$1,540,000</b>                  |
| <b>Aid to Localities:</b>                        |                   |                             |                |                                |                          |               |                        |                                     |
| Peer Crisis Respite Center (including Warm Line) | Adult             | Chautauqua and Cattaraugus  |                |                                |                          | 11/18/2015    | 256                    | \$315,000                           |
| Mobile Transitional Support Teams (2)            | Adult             | Chautauqua and Cattaraugus  |                |                                |                          | 1/1/2015      | 1,003                  | \$234,000                           |
| Peer Crisis Respite Center (including Warm Line) | Adult             | Erie                        |                |                                |                          | 1/26/2015     | 846                    | \$353,424                           |
| Mobile Transitional Support Teams (3)            | Adult             | Erie                        |                |                                |                          | 1/26/2015     | 740                    | \$431,000                           |
| Crisis Intervention Team                         | Adults & Children | Erie                        |                |                                |                          | 1/1/2015      | 1,466                  | \$191,318                           |
| Peer Crisis Respite Center (including Warm Line) | Adult             | Niagara                     |                |                                |                          | 12/1/2014     | 1,414                  | \$256,258                           |
| Mobile Transitional Support Team                 | Adult             | Niagara                     |                |                                |                          | 1/20/2015     | 318                    | \$117,000                           |
| Community Integration Team - Long Stay Team      | Adult             | Erie                        |                |                                |                          | 10/27/2016    | 131                    | \$350,000                           |
| Diversion Program                                | Adult             | Erie                        |                |                                |                          | 1/12/2018     | 223                    | \$424,712                           |
| Reintegration Enhanced Support Program           | Adult             | Erie                        |                |                                |                          | 1/1/2019      | 76                     | \$316,805                           |
| <b>SUBTOTAL:</b>                                 |                   |                             |                |                                |                          |               | <b>6,473</b>           | <b>\$2,989,517</b>                  |

|               |              |                    |
|---------------|--------------|--------------------|
| <b>TOTAL:</b> | <b>8,716</b> | <b>\$6,153,589</b> |
|---------------|--------------|--------------------|

**Table 3g: Rochester Psychiatric Center**

| Service                                 | Target Population | County                                   | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress             |               |                        | Annualized Reinvestment Amount (\$) |
|---|-------------------|--|----------------|--------------------------------|--------------------------------------|---------------|------------------------|-------------------------------------|
|   |                   |  |                |                                | Status Update                        | Start Up Date | New Individuals Served |                                     |
| Supported Housing                       | Adult             | Genesee                                  | 45             | 2                              |                                      | 1/1/2016      | 4                      | \$17,810                            |
| Supported Housing                       | Adult             | Livingston                               | 38             | 2                              |                                      | 2/1/2015      | 4                      | \$18,218                            |
| Supported Housing                       | Adult             | Monroe                                   | 427            | 103                            |                                      | 10/1/2014     | 209                    | \$938,227                           |
| Supported Housing                       | Adult             | Orleans                                  | 25             | 6                              |                                      | 7/1/2015      | 12                     | \$54,654                            |
| Supported Housing                       | Adult             | Wayne                                    | 0              | 6                              |                                      | 12/1/2014     | 9                      | \$54,654                            |
| Supported Housing                       | Adult             | Wyoming                                  | 20             | 6                              |                                      | 11/1/2014     | 12                     | \$52,350                            |
| <b>SUBTOTAL:</b>                        |                   |  | <b>555</b>     | <b>125</b>                     |                                      |               | <b>250</b>             | <b>\$1,135,913</b>                  |
| <b>State Resources:</b>                 |                   |  |                | N/A                            |                                      |               |                        |                                     |
| Mobile Integration Team                 | Adult             | Rochester PC Service Area                |                | 24 FTEs                        |                                      | 10/30/2014    | 1,309                  | \$1,680,000                         |
| OnTrackNY Expansion                     | Adult             | Rochester PC Service Area                |                | 2 FTEs                         |                                      | 3/21/2016     | 63                     | \$185,440                           |
| Clinic Expansion                        | Adult             | Rochester PC Service Area                |                | 4 FTEs                         |                                      | 1/1/2015      | 104                    | \$280,000                           |
| <b>SUBTOTAL:</b>                        |                   |  |                |                                |                                      |               | <b>1,476</b>           | <b>\$2,145,440</b>                  |
| <b>Aid to Localities:</b>               |                   | Rochester PC Service Area                | N/A            | N/A                            |                                      |               |                        |                                     |
| Peer Bridger Program                    | Adult             | Genesee & Orleans                        |                |                                |                                      | 6/4/2015      | 38                     | \$30,468                            |
| Community Support Team                  | Adult             | Rochester PC Service Area                |                |                                |                                      | 3/1/2015      | 193                    | \$500,758                           |
| Peer Bridger Program                    | Adult             | Livingston<br>Monroe<br>Wayne<br>Wyoming |                |                                |                                      | 2/1/2015      | 197                    | \$262,032                           |
| Crisis Transitional Housing             | Adult             | Livingston                               |                |                                |                                      | 2/15/2015     | 62                     | \$112,500                           |
| Crisis Transitional Housing             | Adult             | Orleans                                  |                |                                |                                      | 7/30/2015     | 74                     | \$112,500                           |
| Crisis Transitional Housing             | Adult             | Wayne                                    |                |                                |                                      | 4/8/2015      | 79                     | \$112,500                           |
| Crisis Transitional Housing             | Adult             | Wyoming                                  |                |                                |                                      | 2/28/2015     | 83                     | \$112,500                           |
| Peer Run Respite Diversion              | Adult             | Monroe                                   |                |                                |                                      | 5/7/2015      | 1,180                  | \$500,000                           |
| Assertive Community Treatment Team      | Adult             | Monroe                                   |                | 48                             | State Aid & State Share of Medicaid* | 7/1/2015      | 89                     | \$390,388                           |
| Assertive Community Treatment Team      | Adult             | Monroe                                   |                | 48                             | State Aid & State Share of Medicaid* | 1/15/2016     | 129                    | \$390,388                           |
| Peer Support <sup>1</sup>               | Adult             | Monroe                                   |                |                                |                                      |               |                        | \$30,006                            |
| Enhanced Recovery Supports              | Adult             | Wyoming                                  |                |                                |                                      | 9/1/2014      | 365                    | \$51,836                            |
| Recovery Center                         | Adult             | Genesee & Orleans                        |                |                                |                                      | 5/7/2015      | 318                    | \$217,124                           |
| Community Support Team - Long Stay Team | Adult             | Monroe                                   |                |                                |                                      | 5/1/2016      | 107                    | \$350,000                           |
| <b>SUBTOTAL:</b>                        |                   |  |                |                                |                                      |               | <b>2,914</b>           | <b>\$3,173,000</b>                  |

|               |              |                    |
|---------------|--------------|--------------------|
| <b>TOTAL:</b> | <b>4,640</b> | <b>\$6,454,353</b> |
|---------------|--------------|--------------------|

\*Gross Medicaid projected \$621,528 per ACT Team (\$1,243,056)

**Notes:**

1. Peer support is an enhancement of the ACT model, and individuals served by the ACT Team also receive peer support.

**Table 3h: New York City Psychiatric Centers**

| Service                             | Target Population | County                   | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        |                                     |
|-------------------------------------|-------------------|--------------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|                                     |                   |                          |                |                                | Status Update            | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| HCBS Waiver                         | Children          | Bronx                    | 144            | 33                             |                          | 10/1/2013     | 57                     | \$916,566                           |
| HCBS Waiver                         | Children          | Kings                    | 180            | 12                             |                          | 1/1/2014      | 53                     | \$332,745                           |
| HCBS Waiver                         | Children          | New York                 | 132            | 6                              |                          | 6/1/2015      | 15                     | \$167,385                           |
| HCBS Waiver                         | Children          | Queens                   | 108            | 12                             |                          | 10/1/2013     | 20                     | \$332,745                           |
| <b>SUBTOTAL:</b>                    |                   |                          | <b>564</b>     | <b>63</b>                      |                          |               | <b>145</b>             | <b>\$1,749,440</b>                  |
| Supported Housing                   | Adult             | Bronx                    | 2,120          | 70                             |                          | 5/1/2015      | 91                     | \$1,218,350                         |
| Supported Housing                   | Adult             | Kings                    | 2,698          | 60                             |                          | 7/1/2016      | 64                     | \$1,044,300                         |
| Supported Housing                   | Adult             | New York                 | 1,579          | 104                            |                          | 3/1/2015      | 159                    | \$1,810,120                         |
| Supported Housing                   | Adult             | Queens                   | 1,887          | 70                             |                          | 12/1/2016     | 46                     | \$1,218,350                         |
| Supported Housing                   | Adult             | Richmond                 | 492            | 60                             |                          | 4/1/2016      | 60                     | \$1,044,300                         |
| <b>SUBTOTAL:</b>                    |                   |                          | <b>8,776</b>   | <b>364</b>                     |                          |               | <b>420</b>             | <b>\$6,335,420</b>                  |
| <b>State Resources:</b>             |                   |                          | N/A            |                                |                          |               |                        |                                     |
| Mobile Integration Team             | Adult             | Queens                   |                | 7 FTEs                         |                          | 3/21/2016     | 268                    | \$490,000                           |
| Mobile Integration Team             | Adult             | New York                 |                | 7 FTEs                         |                          | 12/23/2016    | 316                    | \$490,000                           |
| Mobile Integration Team             | Children          | Bronx<br>Kings<br>Queens |                | 7 FTEs                         |                          | 1/1/2017      | 611                    | \$490,000                           |
| <b>SUBTOTAL:</b>                    |                   |                          |                |                                |                          |               | <b>1,195</b>           | <b>\$1,470,000</b>                  |
| <b>Aid to Localities:</b>           |                   |                          |                |                                |                          |               |                        |                                     |
| Respite Capacity Expansion          | Adult             | NYC                      | N/A            | N/A                            |                          | 7/1/2015      | 2,762                  | \$2,884,275                         |
| Pathway Home Program                | Adult             | NYC                      |                |                                |                          | 4/1/2016      | 1,335                  | \$3,546,663                         |
| Crisis Pilot Program (3 Year)       | Adult             | NYC                      |                |                                |                          | 9/1/2016      | 2,547                  | \$462,760                           |
| Hospital Based Care Transition Team | Adult             | NYC                      |                |                                |                          | 4/1/2017      | 200                    | \$537,240                           |
| <b>SUBTOTAL:</b>                    |                   |                          |                |                                |                          |               | <b>6,844</b>           | <b>\$7,430,938</b>                  |

|  |                    |
|--|--------------------|
| <b>State Resources - In Development<sup>1</sup>:</b> | <b>\$1,120,000</b> |
|--|--------------------|

|               |              |                     |
|---------------|--------------|---------------------|
| <b>TOTAL:</b> | <b>8,604</b> | <b>\$18,105,798</b> |
|---------------|--------------|---------------------|

**Notes:**

1. State Resources funding – In Development \$70,000 previously allocated to NYC PC on Table 3h was reallocated to Pilgrim PC on Table 3e by combining with \$74,160 Aid to Localities funding- In Development on Table 3e.

**Table 3i: Rockland and Capital District Psychiatric Centers**

| Service   | Target Population | County                           | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress             |               |                           |                                     |
|---|-------------------|----------------------------------|----------------|--------------------------------|--------------------------------------|---------------|---------------------------|-------------------------------------|
|   |                   |                                  |                |                                | Status Update                        | Start Up Date | New Individuals Served    | Annualized Reinvestment Amount (\$) |
| HCBS Waiver   | Children          | Orange                           | 21             | 6                              |                                      | 11/1/2013     | 31                        | \$157,758                           |
| HCBS Waiver   | Children          | Rockland                         | 24             | 6                              |                                      | 6/5/2014      | 17                        | \$165,360                           |
| <b>SUBTOTAL:</b>                                    |                   |                                  | <b>45</b>      | <b>12</b>                      |                                      |               | <b>48</b>                 | <b>\$323,118</b>                    |
| Supported Housing                                   | Adult             | Dutchess                         | 229            | 20                             |                                      | 12/1/2014     | 29                        | \$273,220                           |
| Supported Housing                                   | Adult             | Orange                           | 262            | 36                             |                                      | 10/1/2014     | 57                        | \$491,796                           |
| Supported Housing                                   | Adult             | Putnam                           | 67             | 4                              |                                      | 5/1/2015      | 10                        | \$60,936                            |
| Supported Housing                                   | Adult             | Rockland                         | 173            | 19                             |                                      | 7/1/2014      | 26                        | \$300,143                           |
| Supported Housing                                   | Adult             | Sullivan                         | 61             | 10                             |                                      | 11/1/2014     | 12                        | \$98,540                            |
| Supported Housing                                   | Adult             | Ulster                           | 142            | 28                             |                                      | 1/1/2015      | 40                        | \$297,416                           |
| Supported Housing                                   | Adult             | Westchester                      | 907            | 28                             |                                      | 4/1/2015      | 31                        | \$481,488                           |
| Supported Housing                                   | Adult             | Albany                           | 276            | 11                             |                                      | 3/1/2017      | 11                        | \$110,649                           |
| Supported Housing                                   | Adult             | Columbia                         | 39             | 8                              |                                      | 1/1/2017      | 11                        | \$80,472                            |
| Supported Housing                                   | Adult             | Greene                           | 35             | 9                              |                                      | 3/1/2015      | See Table 3m <sup>1</sup> | \$90,531                            |
| Supported Housing                                   | Adult             | Rensselaer                       | 125            | 10                             |                                      | 6/1/2017      | 9                         | \$100,590                           |
| Supported Housing                                   | Adult             | Saratoga                         | 50             | 6                              |                                      |               | 7                         | \$60,354                            |
| Supported Housing                                   | Adult             | Schenectady                      | 153            | 3                              |                                      | 10/1/2015     | See Table 3m <sup>1</sup> | \$30,177                            |
| Supported Housing                                   | Adult             | Schoharie                        | 31             | 8                              |                                      | 2/1/2017      | 14                        | \$80,472                            |
| Supported Housing                                   | Adult             | Warren & Washington              | 54             | 8                              |                                      | 11/1/2017     | 14                        | \$78,832                            |
| <b>SUBTOTAL:</b>                                    |                   |                                  | <b>2,604</b>   | <b>208</b>                     |                                      |               | <b>271</b>                | <b>\$2,635,616</b>                  |
| <b>State Resources:</b>                             |                   |                                  |                |                                |                                      |               |                           |                                     |
| Mobile Integration Team                             | Adult             | Rockland PC Service Area         |                | 4 FTEs                         |                                      | 2/2/2017      | 77                        | \$280,000                           |
| Mobile Integration Team                             | Adult             | Capital District PC Service Area |                | 6 FTEs                         |                                      | 10/1/2016     | 111                       | \$420,000                           |
| <b>SUBTOTAL:</b>                                    |                   |                                  |                |                                |                                      |               | <b>188</b>                | <b>\$700,000</b>                    |
| <b>Aid to Localities:</b>                           |                   |                                  |                |                                |                                      |               |                           |                                     |
|   |                   | Rockland PC Service Area         | N/A            | N/A                            |                                      |               |                           |                                     |
| Hospital Diversion/Crisis Respite                   | Adult             | Dutchess                         |                |                                |                                      | 2/12/2015     | 241                       | \$200,000                           |
| Outreach Services                                   | Adult             | Orange                           |                |                                |                                      | 12/1/2014     | 87                        | \$36,924                            |
| Outreach Services                                   | Children          | Orange                           |                |                                |                                      | 10/1/2014     | 584                       | \$85,720                            |
| Advocacy/Support Services                           | Adult             | Putnam                           |                |                                |                                      | 9/28/2015     | 33                        | \$23,000                            |
| Self-Help Program                                   | Adult             | Putnam                           |                |                                |                                      | 2/1/2015      | 93                        | \$215,000                           |
| Mobile Crisis Intervention Program <sup>2</sup>     | Adults & Children | Rockland                         |                |                                |                                      | 3/31/2015     | 2,328                     | \$449,668                           |
| Hospital Diversion/ Transition Program <sup>2</sup> | Adults & Children | Sullivan                         |                |                                |                                      | 11/24/2014    | 2,358                     | \$225,000                           |
| Mobile Crisis Services <sup>2</sup>                 | Adults & Children | Ulster                           |                |                                |                                      | 2/9/2015      | 5,095                     | \$400,000                           |
| Assertive Community Treatment Team Expansion        | Adult             | Ulster                           |                | 20                             | State Aid & State Share of Medicaid: | 12/1/2014     | 110                       | \$100,616                           |
| Outreach Services                                   | Adult             | Westchester                      |                |                                |                                      | 4/1/2015      | 116                       | \$267,328                           |
| Crisis Intervention/ Mobile Mental Health Team      | Children          | Westchester                      |                |                                |                                      | 11/1/2014     | 239                       | \$174,052                           |
| Family Engagement & Support Services Program        | Adults & Children | Rockland                         |                |                                |                                      | 1/1/2017      | 715                       | \$95,000                            |
| Outreach Team - Long Stay Team                      | Adult             | Albany                           |                |                                |                                      | 9/6/2016      | 43                        | \$230,000                           |
|   |                   | Schenectady                      |                |                                |                                      | 9/9/2016      | 20                        | \$200,000                           |
|   |                   | Dutchess                         |                |                                |                                      | 12/12/2016    | 35                        | \$225,000                           |
|   |                   | Orange                           |                |                                |                                      | 9/14/2016     | 34                        | \$225,000                           |
|   |                   | Rockland                         |                |                                |                                      | 8/17/2016     | 31                        | \$225,000                           |
|   |                   | Westchester                      |                |                                |                                      | 10/4/2016     | 14                        | \$225,000                           |
| Respite Services Program                            | Children          | Dutchess                         |                |                                |                                      | 7/27/2017     | 62                        | \$275,000                           |
|   |                   | Westchester                      |                |                                |                                      | 9/19/2017     | 102                       | \$189,048                           |
| Home Based Crisis Intervention Services             | Children          | Orange                           |                |                                |                                      | 9/18/2017     | 78                        | \$100,000                           |
|   |                   | Rockland                         |                |                                |                                      | 10/23/2017    | 75                        | \$160,000                           |
|   |                   | Sullivan                         |                |                                |                                      | 2/28/2018     | 64                        | \$100,000                           |
|   |                   | Ulster                           |                |                                |                                      | 10/2/2017     | 77                        | \$81,976                            |
| Family Support Services                             | Children          | Westchester                      |                |                                |                                      | 10/1/2017     | 100                       | \$149,784                           |
| <b>SUBTOTAL:</b>                                    |                   |                                  |                |                                |                                      |               | <b>12,734</b>             | <b>\$4,658,116</b>                  |

**Aid to Localities -In Development:**

**\$1,074,192**

**TOTAL:**

**13,241**

**\$9,391,042**

\* Gross Medicaid projected \$229,156

**Notes:**

1. Greene and Schenectady Counties currently receive Stony-Lodge Rye Article 28 funding for supported housing, and utilization is reported on Table 3m. Additional supported housing units were awarded to these counties through Rockland PC Aid to Localities. All utilization will continue to be reported on the Table 3m to prevent duplication.

2. Mobile Crisis programs in Rockland, Sullivan and Ulster Counties are funded by the Rockland PC Aid to Localities funding and Stony-Lodge Rye Article 28 funding. The number of newly served individuals is only reflected on the Rockland PC table so as not to duplicate the number of individuals served.

**Table 3: Hutchings Psychiatric Center**

| Service   | Target Population | County                    | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        | Annualized Reinvestment Amount (\$) |
|---|-------------------|---------------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|   |                   |                           |                |                                | Status Update            | Start Up Date | New Individuals Served |                                     |
| HCBS Waiver                                     | Children          | Cayuga                    | 12             | 6                              |                          | 7/1/2014      | 16                     | \$157,758                           |
| HCBS Waiver                                     | Children          | Cortland                  | 6              | 6                              |                          | 7/1/2014      | 16                     | \$157,758                           |
| HCBS Waiver                                     | Children          | Onondaga                  | 42             | 6                              |                          | 4/1/2014      | 23                     | \$157,758                           |
| <b>SUBTOTAL:</b>                                |                   |                           | <b>60</b>      | <b>18</b>                      |                          |               | <b>55</b>              | <b>\$473,274</b>                    |
| Supported Housing                               | Adult             | Cayuga                    | 61             | 7                              |                          | 1/1/2016      | 15                     | \$56,959                            |
| Supported Housing                               | Adult             | Cortland                  | 53             | 4                              |                          | 1/1/2016      | 7                      | \$32,548                            |
| Supported Housing                               | Adult             | Fulton                    | 30             | 3                              |                          | 2/1/2017      | 1                      | \$24,411                            |
| Supported Housing                               | Adult             | Hamilton                  | 4              | 3                              |                          | 1/1/2017      | 2                      | \$24,411                            |
| Supported Housing                               | Adult             | Herkimer                  | 30             | 1                              |                          | 1/1/2017      | 4                      | \$8,137                             |
| Supported Housing                               | Adult             | Madison                   | 28             | 4                              |                          | 4/1/2017      | 5                      | \$32,548                            |
| Supported Housing                               | Adult             | Montgomery                | 37             | 3                              |                          | 1/1/2017      | 4                      | \$24,411                            |
| Supported Housing                               | Adult             | Oneida                    | 232            | 8                              |                          | 2/17/2017     | 14                     | \$65,096                            |
| Supported Housing                               | Adult             | Onondaga                  | 300            | 4                              |                          | 10/1/2017     | 5                      | \$32,548                            |
| Supported Housing                               | Adult             | Oswego                    | 62             | 5                              |                          | 12/1/2015     | 17                     | \$40,685                            |
| <b>SUBTOTAL:</b>                                |                   |                           | <b>837</b>     | <b>42</b>                      |                          |               | <b>74</b>              | <b>\$341,754</b>                    |
| <b>State Resources:</b>                         |                   |                           |                |                                |                          |               |                        |                                     |
| Crisis/respite unit                             | Children          | Hutchings PC Service Area | N/A            | 12 FTEs                        |                          | 11/5/2014     | 571                    | \$840,000                           |
| OnTrackNY Expansion                             | Adults & Children | Hutchings PC Service Area | N/A            | 3 FTEs                         |                          | 8/1/2015      | 67                     | \$228,400                           |
| <b>SUBTOTAL:</b>                                |                   |                           |                |                                |                          |               | <b>638</b>             | <b>\$1,068,400</b>                  |
| <b>Aid to Localities:</b>                       |                   |                           |                |                                |                          |               |                        |                                     |
| Respite Program                                 | Children          | Cayuga                    | N/A            | N/A                            |                          | 4/1/2017      | 2,141                  | \$75,000                            |
| Regional Mobile Crisis                          | Adults & Children | Cayuga                    |                |                                |                          | 4/1/2017      |                        | \$518,110                           |
| Advocacy/Support Services Program               | Children          | Cayuga                    |                |                                |                          | 4/1/2017      |                        | \$33,890                            |
| Long Stay Reduction Transition Team             | Adult             | Onondaga                  |                |                                |                          | 11/9/2016     | 39                     | \$300,000                           |
| Enhanced Outreach and Clinical Support Services | Adults & Children | Hamilton                  |                |                                |                          | 5/11/2018     | 63                     | \$37,500                            |
|   |                   | Herkimer                  |                |                                |                          | 11/17/2017    | 74                     | \$37,500                            |
|   |                   | Fulton                    |                |                                |                          | 11/1/2017     | 64                     | \$37,500                            |
| Enhanced Child & Family Support Services        | Children          | Montgomery                |                |                                |                          | 4/1/2017      | 907                    | \$31,450                            |
| Crisis Services <sup>1</sup>                    | Children          | Montgomery                |                |                                |                          | 3/1/2019      | 36                     | \$6,050                             |
| <b>SUBTOTAL:</b>                                |                   |                           |                |                                |                          |               | <b>3,324</b>           | <b>\$1,077,000</b>                  |
| <b>TOTAL:</b>                                   |                   |                           |                |                                |                          |               | <b>4,091</b>           | <b>\$2,960,428</b>                  |

**Notes:**

1. Aid to Localities funding (\$6,050) in development was reallocated to support Crisis Services in Montgomery County.

## Article 28 and 31 Hospital Reinvestment Summaries

*Pursuant to Chapter 53 of the Laws of 2014 for services and expenses of the medical assistance program to address community mental health service needs resulting from the reduction of psychiatric inpatient services.*

| Hospital                         | Target Population   | County/Region                 | Annualized Reinvestment Amount |
|----------------------------------|---------------------|-------------------------------|--------------------------------|
| St. James Mercy                  | Children and Adults | Allegany, Livingston, Steuben | \$894,725                      |
| Medina Memorial                  | Adults              | Niagara, Orleans              | \$199,030                      |
| Holliswood/Stony Lodge/Mt. Sinai | Children and Youth  | New York City                 | \$10,254,130                   |
| Stony Lodge & Rye                | Children and Adults | Hudson River                  | \$4,650,831                    |
| LBMC/NSUH/PK                     | Children and Adults | Nassau, Suffolk               | \$2,910,400                    |
| <b>Subtotal:</b>                 |                     |                               | <b>\$18,909,116</b>            |



**Table 3k: Western Region Article 28 Hospital Reinvestment**

| Service  | Target Population | County                            | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        |                                     |
|--|-------------------|-----------------------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|  |                   |                                   |                |                                | Status Update            | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| <b>Article 28:</b>   |                   |                                   |                |                                |                          |               |                        |                                     |
| <b>St. James Mercy</b>   |                   |                                   |                |                                |                          |               |                        |                                     |
| Intensive Intervention Services  | Adult             | Allegany                          |                |                                |                          | 8/25/2014     | 175                    | \$95,000                            |
| Post Jail Transition Coordinator/Forensic Therapist                              | Adults & Children | Livingston                        |                |                                |                          | 1/5/2015      | 2,057                  | \$59,725                            |
| Enhanced Mobile Crisis Outreach  | Adults & Children | Steuben                           |                |                                |                          | 11/3/2014     | 1,608                  | \$490,000                           |
| Intensive In-Home Crisis Intervention (Tri-County)                               | Children          | Allegany<br>Livingston<br>Steuben |                |                                |                          | 6/1/2015      | 235                    | \$250,000                           |
| <b>SUBTOTAL:</b>   |                   |                                   |                |                                |                          |               | <b>4,075</b>           | <b>\$894,725</b>                    |
| <b>Medina Memorial Hospital</b>  |                   |                                   |                |                                |                          |               |                        |                                     |
| Mental Hygiene Practitioner to handle crisis calls (late afternoon and evenings) | Adults & Children | Niagara                           |                |                                |                          | 8/15/2014     | 261                    | \$68,030                            |
| Enhanced Crisis Response   | Adults & Children | Orleans                           |                |                                |                          | 7/1/2014      | 2,130                  | \$131,000                           |
| <b>SUBTOTAL:</b>   |                   |                                   |                |                                |                          |               | <b>2,391</b>           | <b>\$199,030</b>                    |

|               |              |                    |
|---------------|--------------|--------------------|
| <b>TOTAL:</b> | <b>6,466</b> | <b>\$1,093,755</b> |
|---------------|--------------|--------------------|

**Table 3I: New York City Region Article 28 Hospital Reinvestment**

| Service  | Target Population | County | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                           |                                     |
|--|-------------------|--------|----------------|--------------------------------|--------------------------|---------------|---------------------------|-------------------------------------|
|  |                   |        |                |                                |                          | Start Up Date | New Individuals Served    | Annualized Reinvestment Amount (\$) |
| <b>Holliswood Hospital</b>   |                   |        |                |                                |                          |               |                           |                                     |
| HCBS Waiver  | Children          | Bronx  | 144            | 15                             | State Share of Medicaid: | 2/1/2016      | See Table 3h <sup>1</sup> | \$418,500                           |
| Crisis Beds  | Children          | NYC    |                | 5                              |                          | 1/1/2018      | 34                        | \$210,000                           |
| Rapid Response Mobile  | Children          | NYC    |                |                                |                          | 1/1/2014      | 301                       | \$1,150,000                         |
| Family Advocates   | Children          | NYC    |                |                                |                          | 1/1/2014      | 709                       | \$450,000                           |
| 4.5 Rapid Response Teams   | Children          | NYC    |                |                                |                          | 4/28/2015     | 308                       | \$1,989,569                         |
| Family Resource Center <sup>2</sup>                                | Children          | NYC    |                |                                |                          | 2/1/2016      | 500                       | \$1,335,777                         |
| High Fidelity Wrap Around  | Children          | NYC    |                |                                |                          |               |                           | \$181,865                           |
| <b>SUBTOTAL:</b>   |                   |        |                |                                |                          |               | <b>1,852</b>              | <b>\$5,735,711</b>                  |
| <b>Stony Lodge Hospital</b>  |                   |        |                |                                |                          |               |                           |                                     |
| Partial Hospitalization Program & Day Treatment Program (Bellevue) | Children          | NYC    |                |                                | State Share of Medicaid: | 2/2/2015      | 243                       | \$386,250                           |
| Home Based Crisis Intervention Team (Bellevue)                     | Children          | NYC    |                |                                |                          | 11/1/2015     | 152                       | \$300,000                           |
| Family Resource Center <sup>2</sup>                                | Children          | NYC    |                |                                |                          | 2/1/2016      | See Note <sup>2</sup>     | \$728,622                           |
| High Fidelity Wraparound   | Children          | NYC    |                |                                |                          |               |                           | \$185,128                           |
| <b>SUBTOTAL:</b>   |                   |        |                |                                |                          |               | <b>395</b>                | <b>\$1,600,000</b>                  |
| <b>Mount Sinai Hospital</b>  |                   |        |                |                                |                          |               |                           |                                     |
| Mt. Sinai Partial Hospitalization (15 slots)                       | Adult             | NYC    |                | 15                             | State Share of Medicaid: | 1/28/2016     | 290                       | \$303,966                           |
| 4 Assertive Community Treatment Teams (68 slots each)              | Adult             | NYC    |                | 272                            | State Share of Medicaid: | 10/3/2016     | 469                       | \$1,855,694                         |
| 1 Assertive Community Treatment Team (48 slots)                    | Adult             | NYC    |                | 48                             | State Share of Medicaid: | 4/1/2016      | 58                        | \$384,666                           |
| Expanded Respite Capacity <sup>3</sup>                             | Adult             | NYC    |                |                                |                          |               | See Table 3h <sup>3</sup> | \$374,093                           |
| <b>SUBTOTAL:</b>   |                   |        |                |                                |                          |               | <b>817</b>                | <b>\$2,918,419</b>                  |

|               |              |                     |
|---------------|--------------|---------------------|
| <b>TOTAL:</b> | <b>3,064</b> | <b>\$10,254,130</b> |
|---------------|--------------|---------------------|

- Notes:**
1. Waiver slots in Bronx County are funded by the NYC Aid to Localities reinvestment funding and Stony Lodge Article 28 funding. All waiver utilization is reported on the Table 3h - New York City to prevent duplication in the number of people served.
  2. The Family Resource Center is funded by the Holliswood Art. 28 reinvestment funding and Stony Lodge Art. 28 reinvestment funding. The number of newly served individuals is only reflected in the Holliswood Reinvestment so as not to duplicate the number of individuals served.
  3. This program funding is blended between Article 28 and State PC reinvestment. The number of newly served individuals in this table is only reported on the Table 3h, to prevent duplication in the number of people served.

**Table 3m: Hudson River Region Article 28 Hospital Reinvestment**

| Service   | Target Population | County      | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                           |                                     |
|---|-------------------|-------------|----------------|--------------------------------|--------------------------|---------------|---------------------------|-------------------------------------|
|   |                   |             |                |                                | Status Update            | Start Up Date | New Individuals Served    | Annualized Reinvestment Amount (\$) |
| <b>Article 28:</b>  |                   |             |                | N/A                            |                          |               |                           |                                     |
| <b>Stony Lodge/Rye Hospital</b>   |                   |             |                |                                |                          |               |                           |                                     |
| HCBS Waiver Slots   | Children          | Albany      |                | 6                              | State Share of Medicaid: | 12/1/2015     | 18                        | \$157,704                           |
|   |                   | Saratoga    |                | 3                              | State Share of Medicaid: | 1/1/2015      | 21                        | \$78,803                            |
|   |                   | Warren      |                | 3                              | State Share of Medicaid: | 1/1/2015      | 12                        | \$78,803                            |
|   |                   | Westchester |                | 6                              | State Share of Medicaid: | 1/1/2015      | 19                        | \$157,704                           |
| <b>SUBTOTAL:</b>  |                   |             |                |                                |                          |               | <b>70</b>                 | <b>\$473,014</b>                    |
| <b>Article 28:</b>  |                   |             |                | N/A                            |                          |               |                           |                                     |
| Supported Housing   | Adult             | Albany      |                | 2                              |                          | 9/1/2015      | 9                         | \$20,118                            |
|   |                   | Greene      |                | 5                              |                          | 3/1/2015      | 19                        | \$50,295                            |
|   |                   | Rensselaer  |                | 7                              |                          | 5/1/2015      | 16                        | \$70,413                            |
|   |                   | Schenectady |                | 7                              |                          | 10/1/2015     | 18                        | \$70,413                            |
| Mobile Crisis Services  | Adult             | Columbia    |                |                                |                          | 7/1/2015      | 2,308                     | \$180,636                           |
|   |                   | Greene      |                |                                |                          | 7/1/2015      | 2,276                     | \$203,859                           |
|   |                   | Sullivan    |                |                                |                          | 11/24/2014    | See Table 3i <sup>1</sup> | \$81,447                            |
| Hospital Diversion Respite  | Adult             | Columbia    |                |                                |                          | 11/1/2015     | 31                        | \$43,560                            |
|   |                   | Greene      |                |                                |                          | 3/1/2015      | 8                         | \$20,337                            |
| Respite Services  | Children          | Columbia    |                |                                |                          | 3/30/2015     | 16                        | \$15,750                            |
|   |                   | Greene      |                |                                |                          | 3/30/2015     | 69                        | \$65,670                            |
|   |                   | Orange      |                |                                |                          | 6/30/2015     | 31                        | \$30,000                            |
|   |                   | Sullivan    |                |                                |                          | 4/1/2015      | 43                        | \$25,000                            |
| Respite Services  | Adult             | Dutchess    |                |                                |                          | 3/1/2015      | 360                       | \$25,000                            |
|   |                   | Orange      |                |                                |                          | 3/20/2015     | 189                       | \$60,000                            |
|   |                   | Putnam      |                |                                |                          | 6/1/2015      | 14                        | \$25,000                            |
|   |                   | Westchester |                |                                |                          | 6/1/2015      | 77                        | \$136,460                           |
| Self Help Program   | Adult             | Dutchess    |                |                                |                          | 2/12/2015     | 1,051                     | \$60,000                            |
|   |                   | Orange      |                |                                |                          | 6/17/2015     | 61                        | \$30,000                            |
|   |                   | Westchester |                |                                |                          | 4/8/2015      | 196                       | \$388,577                           |
| Family Support Services   | Children          | Orange      |                |                                |                          | 2/18/2015     | 306                       | \$30,000                            |
|   |                   | Schoharie   |                |                                |                          | 2/23/2015     | 561                       | \$170,000                           |
| Adult Mobile Crisis Team (5 Counties: Rensselaer, Saratoga, Schenectady, Warren-Washington) | Adult             | Rensselaer  |                |                                |                          | 10/1/2015     | 1,762                     | \$1,000,190                         |
| Capital Region Respite Services (3 Counties: Albany, Rensselaer, Schenectady)               | Children          | Rensselaer  |                |                                |                          | 7/8/2015      | 63                        | \$30,000                            |
| Mobile Crisis Intervention  | Adult             | Rockland    |                |                                |                          | 3/30/2015     | See Table 3i <sup>1</sup> | \$400,000                           |
|   |                   | Ulster      |                |                                |                          | 2/9/2015      | See Table 3i <sup>1</sup> | \$300,000                           |
| Mobile Crisis Team (Tri-County: Saratoga, Warren-Washington)                                | Children          | Warren      |                |                                |                          | 1/1/2016      | 923                       | \$545,092                           |
| Home Based Crisis Intervention (Tri-County: Saratoga, Warren-Washington)                    | Children          | Warren      |                |                                |                          | 11/26/2013    | 382                       | \$100,000                           |
| <b>SUBTOTAL:</b>  |                   |             |                |                                |                          |               | <b>10,789</b>             | <b>\$4,177,817</b>                  |

|               |               |                    |
|---------------|---------------|--------------------|
| <b>TOTAL:</b> | <b>10,859</b> | <b>\$4,650,831</b> |
|---------------|---------------|--------------------|

**Notes:**

1. Mobile Crisis programs in Rockland, Sullivan and Ulster Counties are funded by the Rockland PC Aid to Localities funding and Stony Lodge-Rye Article 28 funding. The number of newly served individuals is only reflected on the Rockland PC table so as not to duplicate the number of individuals served.

**Table 3n: Long Island Region Article 28 Hospital Reinvestment**

| Service  | Target Population | County           | Prior Capacity | Reinvestment Expansion (units) | Investment Plan Progress |               |                        |                                     |
|--|-------------------|------------------|----------------|--------------------------------|--------------------------|---------------|------------------------|-------------------------------------|
|  |                   |                  |                |                                | Status Update            | Start Up Date | New Individuals Served | Annualized Reinvestment Amount (\$) |
| <b>Article 28:</b>   |                   |                  |                |                                |                          |               |                        |                                     |
| <b>Long Beach Medical Center/North Shore University Hospital/Partial Hospitalization Program Operated by Pederson-Krag</b> |                   |                  |                |                                |                          |               |                        |                                     |
| HCBS Waiver Slots  | Children          | Suffolk          |                | 6                              | State Share of Medicaid: |               | 31                     | \$165,400                           |
| <b>SUBTOTAL:</b>   |                   |                  |                |                                |                          |               | <b>31</b>              | <b>\$165,400</b>                    |
| <b>Article 28:</b>   |                   |                  |                |                                |                          |               |                        |                                     |
| (6) Mobile Residential Support Teams   | Adult             | Nassau           |                |                                |                          | 7/1/2015      | 467                    | \$1,544,000                         |
| Mobile Crisis Team Expansion <sup>1</sup>  | Adults & Children | Nassau & Suffolk |                |                                |                          | 8/1/2015      | 6,667                  | \$212,000                           |
| Satellite Clinic Treatment Services  | Adult             | Nassau           |                |                                | State Share of Medicaid: | 8/1/2016      | 130                    | \$200,000                           |
| (2) OnSite Rehabilitation  | Adult             | Nassau           |                |                                |                          | 2/1/2016      | 119                    | \$200,000                           |
| Help/Hot Line Expansion  | Adult             | Nassau           |                |                                |                          | 9/1/2018      | 1,300                  | \$50,000                            |
| On-Site MH Clinic  | Children          | Nassau           |                |                                |                          | 9/1/2018      | 15                     | \$50,000                            |
| (3) Clinic Treatment Services  | Adults & Children | Nassau           |                |                                |                          | 8/18/2016     | 1,636                  | \$375,000                           |
| Family Advocate  | Children          | Nassau           |                |                                |                          | 9/1/2017      | 1,051                  | \$84,000                            |
| Peer Outreach <sup>2</sup>   | Adult             | Suffolk          |                |                                |                          |               | See Table 3e           | \$30,000                            |
| <b>SUBTOTAL:</b>   |                   |                  |                |                                |                          |               | <b>11,385</b>          | <b>\$2,745,000</b>                  |

|               |               |                    |
|---------------|---------------|--------------------|
| <b>TOTAL:</b> | <b>11,416</b> | <b>\$2,910,400</b> |
|---------------|---------------|--------------------|

\*Gross Medicaid projected \$420,800

**Notes:**

1. The Mobile Crisis programs in Nassau and Suffolk Counties are funded by Long Island Art. 28 reinvestment funding, Sagamore and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on the Long Island Art. RIV table (Table 3n) so as not to duplicate the number of individuals served.
2. Long Island Article 28 reinvestment funding for Peer Outreach program on Table 3n is blended with Pilgrim PC Aid to Localities reinvestment funding for Hospital Alternative respite program on Table 3e. The number of newly served individuals on Table 3n is only reported on Table 3e, to prevent duplication in the number of people served.

**Table 4: NYS OMH State Psychiatric Center Inpatient Discharge Metrics**

| State Inpatient Facilities <sup>1</sup> | Metrics Post Discharge  |   |
|---|---|---|
|   | Readmission <sup>2</sup>  | ER Utilization <sup>3</sup>   |
|   | For discharge cohort (Jul, 2019-Sep, 2019), % Having Psychiatric Readmission within 30 days | For discharge cohort (Jul, 2019-Sep, 2019), % Utilizing Psychiatric Emergency Room within 30 days |
| <b>Adult</b>                            |   |   |
| Bronx                                   | 5.3%*   | 22.2%*  |
| Buffalo                                 | 4.0%  | 0.0%*   |
| Capital District                        | 11.1%*  | 12.5%*  |
| Creedmoor                               | 38.1%   | 0.0%  |
| Elmira                                  | 10.0%   | 14.3%*  |
| Greater Binghamton                      | 15.8%*  | 0.0%*   |
| Hutchings                               | 11.8%*  | 9.1%*   |
| Kingsboro                               | 5.3%*   | 0.0%*   |
| Manhattan                               | 20.5%   | 0.0%  |
| Pilgrim                                 | 8.3%  | 25.0%*  |
| Rochester                               | 0.0%*   | 10.0%*  |
| Rockland                                | 0.0%  | 4.8%  |
| South Beach                             | 4.3%  | 8.0%  |
| St. Lawrence                            | 16.7%*  | 0.0%*   |
| Washington Heights                      | 6.7%  | 0.0%  |
| <b>Total</b>                            | <b>11.7%</b>  | <b>5.6%</b>   |
| <b>Children &amp; Youth</b>             |   |   |
| Elmira                                  | 28.6%*  | 14.3%*  |
| Greater Binghamton                      | 0.0%  | 19.2%   |
| Hutchings                               | 3.2%  | 10.3%   |
| Mohawk Valley                           | 8.2%  | 9.8%  |
| NYC Children's Center                   | 10.9%   | 16.0%   |
| Rockland CPC                            | 5.0%  | 10.0%   |
| Sagamore CPC                            | 0.0%  | 0.0%*   |
| South Beach                             | 0.0%*   | 0.0%*   |
| St. Lawrence                            | 13.0%   | 12.8%   |
| Western NY CPC                          | 8.3%  | 14.3%   |
| <b>Total</b>                            | <b>7.8%</b>   | <b>12.3%</b>  |
| <b>Forensic</b>                         |   |   |
| Central New York                        | 0.0%  | 0.0%*   |
| Kirby                                   | 9.1%  | 9.4%  |
| Mid-Hudson                              | 8.8%  | 6.3%  |
| Rochester                               | 0.0%*   | 0.0%*   |
| <b>Total</b>                            | <b>5.2%</b>   | <b>5.8%</b>   |

Updated as of Jul 23, 2020

**Notes:**

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.
2. Readmissions were defined as State PC and Medicaid (Article 28 /31) psychiatric inpatient readmission events occurring within 1 to 30 days after the State PC discharge. The first readmission within the 30 days window was counted. The denominator for this measure was based on State inpatient discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort but who had a state operated service in the 3 months post discharge were retained in the discharge cohort.
3. ER utilization was identified using Medicaid claims and encounters only. The numerator included the first Psychiatric ER/CPEP event that occurred within thirty days post discharge. The denominator for this measure was based on State inpatient discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort.

\*Note this rate may not be stable due to small denominator (less than 20 discharges in the denominator).

**Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates<sup>1</sup>**

| Region      | County <sup>2</sup> | Hospital Name <sup>3</sup>                                  | Auspice    | Capacity (as of 07/1/20) |       |       | Metrics Post Discharge <sup>4</sup>   |         |         |                             |        |         |
|-------------|---------------------|---|------------|--------------------------|-------|-------|---|---------|---------|-----------------------------|--------|---------|
|             |                     |   |            |                          |       |       | Readmission <sup>5</sup>  |         |         | ER Utilization <sup>7</sup> |        |         |
|             |                     |   |            |                          |       |       | For discharge cohort (Jul, 2019-Sep, 2019), % Having Psychiatric Readmission within 30 days |         |         |                             |        |         |
| Total       | Adults              | Child   | Total      | Adult <sup>6</sup>       | Child | Total | Adult   | Child   |         |                             |        |         |
| Central     | Broome              | United Health Services Hospitals, Inc.                      | Article 28 | 56                       | 56    | 0     | 11.4%   | 11.4%   | .       | 8.4%                        | 8.4%   | .       |
| Central     | Cayuga              | Auburn Community Hospital                                   | Article 28 | 14                       | 14    | 0     | 19.6%   | 19.6%   | .       | 16.1%                       | 16.1%  | .       |
| Central     | Clinton             | Champlain Valley Physicians Hospital Med Ctr. <sup>8</sup>  | Article 28 | 30                       | 18    | 12    | 8.1%  | 8.0%    | 8.3%    | 14.9%                       | 10.0%  | 25.0%   |
| Central     | Cortland            | Cortland Regional Medical Center, Inc.                      | Article 28 | 11                       | 11    | 0     | 13.5%   | 13.5%   | .       | 13.5%                       | 13.5%  | .       |
| Central     | Franklin            | Adirondack Medical Center                                   | Article 28 | 12                       | 12    | 0     | 23.1% *   | 23.1% * | .       | 7.7% *                      | 7.7% * | .       |
| Central     | Jefferson           | Samaritan Medical Center                                    | Article 28 | 32                       | 32    | 0     | 19.4%   | 19.4%   | .       | 7.4%                        | 7.4%   | .       |
| Central     | Montgomery          | St. Mary's Healthcare                                       | Article 28 | 20                       | 20    | 0     | 13.2%   | 13.2%   | .       | 7.4%                        | 7.4%   | .       |
| Central     | Oneida              | Faxton - St. Luke's Healthcare                              | Article 28 | 26                       | 26    | 0     | 13.7%   | 13.7%   | .       | 2.9%                        | 2.9%   | .       |
| Central     | Oneida              | Rome Memorial Hospital, Inc.                                | Article 28 | 12                       | 12    | 0     | 0.0% *  | 0.0% *  | .       | 0.0% *                      | 0.0% * | .       |
| Central     | Oneida              | St. Elizabeth Medical Center                                | Article 28 | 24                       | 24    | 0     | 22.0%   | 22.0%   | .       | 7.0%                        | 7.0%   | .       |
| Central     | Onondaga            | St. Joseph's Hospital Health Center                         | Article 28 | 30                       | 30    | 0     | 21.2%   | 21.2%   | .       | 24.2%                       | 24.2%  | .       |
| Central     | Onondaga            | SUNY Health Science Center-University Hospital <sup>9</sup> | Article 28 | 57                       | 49    | 8     | 17.4%   | 16.9%   | 21.2%   | 20.1%                       | 20.0%  | 21.2%   |
| Central     | Oswego              | Oswego Hospital, Inc.                                       | Article 28 | 28                       | 28    | 0     | 16.1%   | 16.1%   | .       | 19.7%                       | 19.7%  | .       |
| Central     | Otsego              | Bassett Healthcare  | Article 28 | 20                       | 20    | 0     | 11.1%   | 11.1%   | .       | 13.3%                       | 13.3%  | .       |
| Central     | Saint Lawrence      | Claxton-Hepburn Medical Center                              | Article 28 | 28                       | 28    | 0     | 18.9%   | 18.9%   | .       | 10.8%                       | 10.8%  | .       |
| Hudson      | Albany              | Albany Medical Center                                       | Article 28 | 26                       | 26    | 0     | 21.6%   | 21.6%   | .       | 16.4%                       | 16.4%  | .       |
| Hudson      | Columbia            | Columbia Memorial Hospital                                  | Article 28 | 22                       | 22    | 0     | 10.4%   | 10.4%   | .       | 12.5%                       | 12.5%  | .       |
| Hudson      | Dutchess            | Westchester Medical /Mid-Hudson Division                    | Article 28 | 40                       | 40    | 0     | 21.6%   | 21.6%   | .       | 16.8%                       | 16.8%  | .       |
| Hudson      | Orange              | Bon Secours Community Hospital                              | Article 28 | 24                       | 24    | 0     | 33.8%   | 33.8%   | .       | 18.3%                       | 18.3%  | .       |
| Hudson      | Orange              | Orange Regional Medical Center - Arden Hill Hospital        | Article 28 | 30                       | 30    | 0     | 15.8%   | 15.8%   | .       | 21.8%                       | 21.8%  | .       |
| Hudson      | Putnam              | Putnam Hospital Center                                      | Article 28 | 20                       | 20    | 0     | 28.8%   | 28.8%   | .       | 21.2%                       | 21.2%  | .       |
| Hudson      | Rensselaer          | Northeast Health - Samaritan Hospital <sup>10</sup>         | Article 28 | 60                       | 60    | 0     | 13.3%   | 13.3%   | .       | 13.9%                       | 13.9%  | .       |
| Hudson      | Rockland            | Nyack Hospital  | Article 28 | 26                       | 26    | 0     | 20.5%   | 20.5%   | .       | 18.2%                       | 18.2%  | .       |
| Hudson      | Saratoga            | FW of Saratoga, Inc.  | Article 31 | 88                       | 31    | 57    | 5.4%  | 11.7%   | 2.8%    | 7.4%                        | 8.3%   | 7.0%    |
| Hudson      | Saratoga            | The Saratoga Hospital                                       | Article 28 | 16                       | 16    | 0     | 10.6%   | 10.6%   | .       | 13.6%                       | 13.6%  | .       |
| Hudson      | Schenectady         | Ellis Hospital  | Article 28 | 52                       | 36    | 16    | 17.9%   | 19.7%   | 13.5%   | 18.5%                       | 20.5%  | 13.5%   |
| Hudson      | Sullivan            | Catskill Regional Medical Center                            | Article 28 | 18                       | 18    | 0     | 20.0%   | 20.0%   | .       | 15.7%                       | 15.7%  | .       |
| Hudson      | Ulster              | Health Alliance Hospital Mary's Ave Campus                  | Article 28 | 40                       | 40    | 0     | 22.6%   | 22.6%   | .       | 16.0%                       | 16.0%  | .       |
| Hudson      | Warren              | Glens Falls Hospital  | Article 28 | 30                       | 30    | 0     | 16.3%   | 16.3%   | .       | 19.8%                       | 19.8%  | .       |
| Hudson      | Westchester         | Four Winds, Inc.  | Article 31 | 178                      | 28    | 150   | 12.7%   | 6.6%    | 13.8%   | 11.2%                       | 1.6%   | 12.9%   |
| Hudson      | Westchester         | Montefiore Mount Vernon Hospital, Inc.                      | Article 28 | 22                       | 22    | 0     | 24.2%   | 24.2%   | .       | 15.2%                       | 15.2%  | .       |
| Hudson      | Westchester         | New York Presbyterian Hospital <sup>11</sup>                | Article 28 | 233                      | 188   | 45    | 22.6%   | 24.6%   | 15.1%   | 15.3%                       | 16.9%  | 9.3%    |
| Hudson      | Westchester         | Northern Westchester Hospital Center                        | Article 28 | 15                       | 15    | 0     | 9.5%  | 9.5%    | .       | 9.5%                        | 9.5%   | .       |
| Hudson      | Westchester         | Phelps Memorial Hospital Center                             | Article 28 | 22                       | 22    | 0     | 27.5%   | 27.5%   | .       | 25.0%                       | 25.0%  | .       |
| Hudson      | Westchester         | St Joseph's Medical Center <sup>12</sup>                    | Article 28 | 152                      | 139   | 13    | 18.1%   | 18.3%   | 16.3%   | 15.8%                       | 16.1%  | 14.3%   |
| Hudson      | Westchester         | Westchester Medical Center                                  | Article 28 | 101                      | 66    | 35    | 22.3%   | 21.4%   | 66.7% * | 14.9%                       | 14.5%  | 33.3% * |
| Long Island | Nassau              | Mercy Medical Center  | Article 28 | 39                       | 39    | 0     | 9.1%  | 9.1%    | .       | 22.7%                       | 22.7%  | .       |
| Long Island | Nassau              | Nassau Health Care Corp/Nassau Univ Med Ctr                 | Article 28 | 128                      | 106   | 22    | 14.4%   | 14.2%   | 15.6%   | 13.7%                       | 14.2%  | 9.4%    |
| Long Island | Nassau              | North Shore University Hospital @Syosset <sup>13</sup>      | Article 28 | 20                       | 20    | 0     | 17.1%   | 17.1%   | .       | 22.9%                       | 22.9%  | .       |
| Long Island | Nassau              | South Nassau Communities Hospital                           | Article 28 | 36                       | 36    | 0     | 20.2%   | 20.2%   | .       | 16.3%                       | 16.3%  | .       |

**Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates<sup>1</sup>**

| Region      | County <sup>2</sup> | Hospital Name <sup>3</sup>                                  | Auspice    | Capacity (as of 07/1/20) |       |       | Metrics Post Discharge <sup>4</sup>   |       |         |   |       |         |
|-------------|---------------------|---|------------|--------------------------|-------|-------|---|-------|---------|---|-------|---------|
|             |                     |   |            |                          |       |       | Readmission <sup>5</sup>  |       |         | ER Utilization <sup>7</sup>   |       |         |
|             |                     |   |            |                          |       |       | For discharge cohort (Jul, 2019-Sep, 2019), % Having Psychiatric Readmission within 30 days |       |         | For discharge cohort (Jul, 2019-Sep, 2019), % Utilizing Psychiatric Emergency Room within 30 days |       |         |
| Total       | Adults              | Child   | Total      | Adult <sup>6</sup>       | Child | Total | Adult   | Child |         |   |       |         |
| Long Island | Suffolk             | Brookhaven Memorial Hospital Medical Center                 | Article 28 | 20                       | 20    | 0     | 22.0%   | 22.0% | .       | 17.1%   | 17.1% | .       |
| Long Island | Suffolk             | Brunswick Hospital Center, Inc. <sup>14</sup>               | Article 31 | 124                      | 87    | 37    | 23.5%   | 25.3% | 14.3%   | 28.7%   | 30.0% | 21.4%   |
| Long Island | Suffolk             | Huntington Hospital   | Article 28 | 21                       | 21    | 0     | 24.4%   | 24.4% | .       | 22.0%   | 22.0% | .       |
| Long Island | Suffolk             | John T. Mather Memorial Hospital                            | Article 28 | 37                       | 27    | 10    | 17.6%   | 16.7% | 21.4% * | 13.5%   | 15.0% | 7.1% *  |
| Long Island | Suffolk             | St. Catherine's of Siena Hospital                           | Article 28 | 42                       | 42    | 0     | 28.6%   | 28.6% | .       | 20.0%   | 20.0% | .       |
| Long Island | Suffolk             | State University of NY at Stony Brook <sup>15</sup>         | Article 28 | 63                       | 53    | 10    | 19.1%   | 19.6% | 15.8% * | 13.0%   | 12.5% | 15.8% * |
| Long Island | Suffolk             | The Long Island Home <sup>16</sup>                          | Article 31 | 150                      | 98    | 52    | 22.1%   | 22.7% | 20.8%   | 21.8%   | 20.7% | 24.7%   |
| NYC         | Bronx               | Bronx-Lebanon Hospital Center <sup>17</sup>                 | Article 28 | 104                      | 79    | 25    | 22.7%   | 25.1% | 6.6%    | 24.8%   | 25.6% | 19.7%   |
| NYC         | Bronx               | Montefiore Medical Center                                   | Article 28 | 55                       | 55    | 0     | 12.2%   | 12.2% | .       | 14.0%   | 14.0% | .       |
| NYC         | Bronx               | NYC-HHC Jacobi Medical Center                               | Article 28 | 107                      | 107   | 0     | 21.3%   | 21.3% | .       | 17.5%   | 17.5% | .       |
| NYC         | Bronx               | NYC-HHC Lincoln Medical & Mental Health Ctr.                | Article 28 | 60                       | 60    | 0     | 15.8%   | 15.8% | .       | 21.6%   | 21.6% | .       |
| NYC         | Bronx               | NYC-HHC North Central Bronx Hospital                        | Article 28 | 70                       | 70    | 0     | 15.0%   | 15.0% | .       | 15.6%   | 15.6% | .       |
| NYC         | Bronx               | St. Barnabas Hospital                                       | Article 28 | 49                       | 49    | 0     | 15.8%   | 15.8% | .       | 20.9%   | 20.9% | .       |
| NYC         | Kings               | Brookdale Hospital Medical Center                           | Article 28 | 61                       | 52    | 9     | 22.1%   | 20.1% | 31.1%   | 31.3%   | 28.4% | 44.4%   |
| NYC         | Kings               | Interfaith Medical Center, Inc.                             | Article 28 | 120                      | 120   | 0     | 23.8%   | 23.8% | .       | 21.7%   | 21.7% | .       |
| NYC         | Kings               | Kingsbrook Jewish Medical Center <sup>18</sup>              | Article 28 | 55                       | 55    | 0     | 19.7%   | 19.7% | .       | 22.7%   | 22.7% | .       |
| NYC         | Kings               | Maimonides Medical Center                                   | Article 28 | 70                       | 70    | 0     | 20.7%   | 20.7% | .       | 18.7%   | 18.7% | .       |
| NYC         | Kings               | NYC-HHC Coney Island Hospital                               | Article 28 | 64                       | 64    | 0     | 19.6%   | 19.6% | .       | 17.7%   | 17.7% | .       |
| NYC         | Kings               | NYC-HHC Kings County Hospital Center <sup>19</sup>          | Article 28 | 190                      | 145   | 45    | 16.1%   | 16.8% | 8.3%    | 18.8%   | 19.6% | 11.1%   |
| NYC         | Kings               | NYC-HHC Woodhull Medical & Mental Health Ctr. <sup>20</sup> | Article 28 | 112                      | 112   | 0     | 17.0%   | 17.0% | .       | 27.5%   | 27.5% | .       |
| NYC         | Kings               | New York Methodist Hospital                                 | Article 28 | 50                       | 50    | 0     | 23.7%   | 23.7% | .       | 20.2%   | 20.2% | .       |
| NYC         | Kings               | New York University Hospitals Center                        | Article 28 | 35                       | 35    | 0     | 15.3%   | 15.3% | .       | 12.5%   | 12.5% | .       |
| NYC         | New York            | Beth Israel Medical Center                                  | Article 28 | 92                       | 92    | 0     | 17.0%   | 17.0% | .       | 18.8%   | 18.8% | .       |
| NYC         | New York            | Lenox Hill Hospital   | Article 28 | 27                       | 27    | 0     | 28.1%   | 28.1% | .       | 31.3%   | 31.3% | .       |
| NYC         | New York            | Mount Sinai Medical Center <sup>21</sup>                    | Article 28 | 46                       | 46    | 0     | 13.0%   | 13.0% | .       | 11.0%   | 11.0% | .       |
| NYC         | New York            | NYC-HHC Bellevue Hospital Center                            | Article 28 | 330                      | 285   | 45    | 21.1%   | 22.0% | 15.4%   | 24.5%   | 25.7% | 16.7%   |
| NYC         | New York            | NYC-HHC Harlem Hospital Center                              | Article 28 | 52                       | 52    | 0     | 25.6%   | 25.6% | .       | 30.7%   | 30.7% | .       |
| NYC         | New York            | NYC-HHC Metropolitan Hospital Center                        | Article 28 | 122                      | 104   | 18    | 23.7%   | 25.7% | 10.9%   | 29.8%   | 32.1% | 15.2%   |
| NYC         | New York            | New York Gracie Square Hospital, Inc. <sup>22</sup>         | Article 31 | 133                      | 133   | 0     | 22.1%   | 22.1% | .       | 21.4%   | 21.4% | .       |
| NYC         | New York            | New York Presbyterian Hospital                              | Article 28 | 91                       | 91    | 0     | 12.7%   | 12.7% | .       | 19.5%   | 19.5% | .       |
| NYC         | New York            | New York University Hospitals Center                        | Article 28 | 22                       | 22    | 0     | 15.3%   | 15.3% | .       | 12.5%   | 12.5% | .       |
| NYC         | New York            | St. Luke's-Roosevelt Hospital Center                        | Article 28 | 110                      | 93    | 17    | 20.8%   | 22.4% | 15.7%   | 20.8%   | 24.2% | 9.8%    |
| NYC         | Queens              | Episcopal Health Services Inc.                              | Article 28 | 43                       | 43    | 0     | 10.7%   | 10.7% | .       | 21.4%   | 21.4% | .       |
| NYC         | Queens              | Jamaica Hospital Medical Center <sup>23</sup>               | Article 28 | 56                       | 56    | 0     | 19.1%   | 19.1% | .       | 24.4%   | 24.4% | .       |
| NYC         | Queens              | Long Island Jewish Medical Center                           | Article 28 | 226                      | 204   | 22    | 22.4%   | 23.0% | 17.4%   | 18.2%   | 17.8% | 21.7%   |
| NYC         | Queens              | NYC-HHC Elmhurst Hospital Center <sup>24</sup>              | Article 28 | 176                      | 150   | 26    | 23.3%   | 24.8% | 12.8%   | 20.2%   | 21.2% | 12.8%   |
| NYC         | Queens              | NYC-HHC Queens Hospital Center <sup>25</sup>                | Article 28 | 53                       | 53    | 0     | 23.9%   | 23.9% | .       | 22.6%   | 22.6% | .       |
| NYC         | Queens              | New York Flushing Hospital and Medical Center               | Article 28 | 18                       | 18    | 0     | 25.5%   | 25.5% | .       | 34.5%   | 34.5% | .       |
| NYC         | Richmond            | Richmond University Medical Center                          | Article 28 | 65                       | 55    | 10    | 19.1%   | 19.8% | 16.7%   | 55.7%   | 53.5% | 63.3%   |
| NYC         | Richmond            | Staten Island University Hospital <sup>26</sup>             | Article 28 | 35                       | 35    | 0     | 16.7%   | 16.7% | .       | 28.3%   | 28.3% | .       |
| Western     | Cattaraugus         | Olean General Hospital                                      | Article 28 | 14                       | 14    | 0     | 13.8%   | 13.8% | .       | 11.3%   | 11.3% | .       |



**Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates<sup>1</sup>**

| Region                 | County <sup>2</sup> | Hospital Name <sup>3</sup>                    | Auspice    | Capacity (as of 07/1/20) |              |            | Metrics Post Discharge <sup>4</sup>   |              |              |   |              |              |
|------------------------|---------------------|---|------------|--------------------------|--------------|------------|---|--------------|--------------|---|--------------|--------------|
|                        |                     |   |            |                          |              |            | Readmission <sup>5</sup>  |              |              | ER Utilization <sup>7</sup>   |              |              |
|                        |                     |   |            |                          |              |            | For discharge cohort (Jul, 2019-Sep, 2019), % Having Psychiatric Readmission within 30 days |              |              | For discharge cohort (Jul, 2019-Sep, 2019), % Utilizing Psychiatric Emergency Room within 30 days |              |              |
| Total                  | Adults              | Child   | Total      | Adult <sup>6</sup>       | Child        | Total      | Adult   | Child        |              |   |              |              |
| Western                | Chautauqua          | TLC Health Network                            | Article 28 | 20                       | 20           | 0          | 14.7%   | 14.7%        | .            | 13.2%   | 13.2%        | .            |
| Western                | Chautauqua          | Woman's Christian Assoc. of Jamestown, NY     | Article 28 | 40                       | 30           | 10         | 37.0%   | 42.5%        | 21.4% *      | 16.7%   | 20.0%        | 7.1% *       |
| Western                | Chemung             | St. Joseph's Hospital                         | Article 28 | 25                       | 25           | 0          | 8.5%  | 8.5%         | .            | 13.6%   | 13.6%        | .            |
| Western                | Erie                | Brylin Hospitals, Inc. <sup>27</sup>          | Article 31 | 93                       | 68           | 25         | 8.4%  | 2.7%         | 21.2%        | 11.2%   | 12.2%        | 9.1%         |
| Western                | Erie                | Erie County Medical Center <sup>28</sup>      | Article 28 | 160                      | 144          | 16         | 11.1%   | 11.7%        | 0.0% *       | 16.1%   | 16.4%        | 11.8% *      |
| Western                | Monroe              | Rochester General Hospital                    | Article 28 | 30                       | 30           | 0          | 16.3%   | 16.3%        | .            | 9.6%  | 9.6%         | .            |
| Western                | Monroe              | The Unity Hospital of Rochester               | Article 28 | 40                       | 40           | 0          | 19.6%   | 19.6%        | .            | 27.5%   | 27.5%        | .            |
| Western                | Monroe              | Univ of Roch Med Ctr/Strong Memorial Hospital | Article 28 | 93                       | 66           | 27         | 10.2%   | 12.0%        | 6.3%         | 18.9%   | 19.7%        | 17.2%        |
| Western                | Niagara             | Eastern Niagara Hospital, Inc.                | Article 28 | 12                       | 0            | 12         | 3.4%  | .            | 3.4%         | 0.0%  | .            | 0.0%         |
| Western                | Niagara             | Niagara Falls Memorial Medical Center         | Article 28 | 54                       | 54           | 0          | 14.3%   | 14.3%        | .            | 18.1%   | 18.1%        | .            |
| Western                | Ontario             | Clifton Springs Hospital and Clinic           | Article 28 | 18                       | 18           | 0          | 13.0%   | 13.0%        | .            | 23.9%   | 23.9%        | .            |
| Western                | Tompkins            | Cayuga Medical Center at Ithaca, Inc.         | Article 28 | 26                       | 20           | 6          | 11.9%   | 13.5%        | 0.0% *       | 5.1%  | 5.8%         | 0.0% *       |
| Western                | Wayne               | Newark-Wayne Community Hospital, Inc.         | Article 28 | 16                       | 16           | 0          | 12.1%   | 12.1%        | .            | 22.4%   | 22.4%        | .            |
| Western                | Wyoming             | Wyoming County Community Hospital             | Article 28 | 12                       | 12           | 0          | 11.5%   | 11.5%        | .            | 13.5%   | 13.5%        | .            |
| Western                | Yates               | Soldiers & Sailors Memorial Hospital          | Article 28 | 10                       | 10           | 0          | 0.0% *  | 0.0% *       | .            | 0.0% *  | 0.0% *       | .            |
| <b>Statewide Total</b> |                     |   |            | <b>5,857</b>             | <b>5,077</b> | <b>780</b> | <b>18.5%</b>  | <b>19.1%</b> | <b>13.4%</b> | <b>19.0%</b>  | <b>19.4%</b> | <b>15.7%</b> |

Updated as of Jul 23 2020

Source: Concerts, Medicaid, MHARS

**Notes:**

1. Private (Article 31) hospitals are classified as Institutes for Mental Diseases (IMD), and as such, are not reimbursed by Medicaid for inpatient treatment in their facilities for persons aged 22-64.
2. Data are presented by county of discharging hospital location and age group (child or adult). If an entity operates more than one hospital and county is not available on the records (e.g., managed care encounters), the discharges and readmissions are assigned to one of the hospitals.
3. Hospitals that closed prior to 07/1/2020 are excluded.
4. The denominators for the metrics were based on discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort.
5. Readmissions were defined as State PC and Medicaid psychiatric (Article 28 /31) inpatient events occurring within 1 to 30 days after the Article 28 /31 discharge. The readmission was only counted once.
6. When the psychiatric unit is a child or adolescent unit, persons aged 21 or younger are counted as a child. For adult units, persons aged 16 or older are counted as adults.
7. ER data were extracted from Medicaid claims and encounters only. The numerator included the first Psychiatric ER/CPEP event that occurred within thirty days post discharge.
8. Change at Champlain Valley Physicians Hospital Med Ctr. was reduced by 4 adult beds (from 22 to 18) effective on 5/25/2017.
9. Change at SUNY Health Science Center-University Hospital child capacity was expended by 8 bed from 0 to 8 effective on 01/21/2020.
10. Change at Northeast Health - Samaritan Hospital adult capacity was reduced by 3 bed from 63 to 60 effective on 10/21/2019.
11. Change at New York Presbyterian Hospital adult capacity was reduced by 17 bed from 205 to 188 effective on 10/2/2019.
12. Change at The St. Joseph's Medical Center adult capacity is expended by 3 bed from 136 to 139, effective on 9/1/2019.
13. North Shore University Hospital @ Syosset was not appearing in this report prior to June 2017, due to a Medicaid data matching issue that has now been resolved.
14. Changes at Brunswick Hospital Center, Inc. were expended by 8 adult bed from 79 to 87 and reduced by 8 child beds from 45 to 37 effective on 9/9/2016.
15. Changes at The State University of NY at Stony Brook were expended by 23 adult bed from 30 to 53 due to it took over the operation of Eastern Long Island Hospital, effective on 7/1/2019.
16. Changes at The Long Island Home were reduced by 43 adult bed from 141 to 98 and reduced by 13 child beds from 65 to 52 effective on 8/6/2018.
17. Change at Bronx-Lebanon Hospital Center was expanded by 6 adult bed from 73 to 79 effective on 10/20/2017.
18. Change at Kingsbrook Jewish Medical Center was reduced by 3 adult bed from 58 to 55 effective on 10/15/2018.
19. Change at NYC-HHC Kings County Hospital Center Was reduced by 15 adult bed from 160 to 145 effective on 12/19/2019.
20. Change at NYC-HHC Woodhull Medical & Mental Health Ctr. Was reduced by 23 adult bed from 135 to 112 effective on 11/30/2017.



**Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates<sup>1</sup>**

| Region | County <sup>2</sup> | Hospital Name <sup>3</sup> | Auspice | Metrics Post Discharge <sup>4</sup> |        |       |   |       |  |   |  |  |
|--------|---------------------|----------------------------|---------|-------------------------------------|--------|-------|---|-------|--|---|--|--|
|        |                     |                            |         | Capacity (as of 07/1/20)            |        |       | Readmission <sup>5</sup>  |       |  | ER Utilization <sup>7</sup>   |  |  |
|        |                     |                            |         | Total                               | Adults | Child | For discharge cohort (Jul, 2019-Sep, 2019), % Having Psychiatric Readmission within 30 days |       |  | For discharge cohort (Jul, 2019-Sep, 2019), % Utilizing Psychiatric Emergency Room within 30 days |  |  |
|        |                     |                            | Total   | Adult <sup>6</sup>                  | Child  | Total | Adult   | Child |  |   |  |  |

- 21. Change at Mount Sinai Medical Center was reduced by 30 adult bed from 76 to 46 effective on 7/1/2016.
- 22. Change at New York Gracie Square Hospital, Inc. was reduced by 24 adult bed from 157 to 133 effective on 9/15/2017.
- 23. Change at Jamaica Hospital Medical Center was expanded by 4 adult bed from 52 to 56 effective on 12/22/2017. However, this change was updated in Concerts on 3/20/2018, so they are not captured in the overall capacity previously.
- 24. Change at NYC-HHC Elmhurst Hospital Center was reduced by 1 adult bed from 151 to 150 effective on 12/20/2018.
- 25. Change at NYC-HHC Queens Hospital Center was reduced by 18 adult bed from 71 to 53 effective on 10/16/2017.
- 26. Change at Staten Island University Hospital was reduced by 29 adult bed from 64 to 35 due to one of units has been functionally closed and effective on 7/15/2016.
- 27. Change at Brylin Hospitals, Inc child capacity was expended by 5 bed from 20 to 25 effective on 03/30/2020.
- 28. Change at Erie County Medical Center was expanded by 24 adult non-operational beds from 120 to 144 due to consolidation of services from Kaleida hospital effective on July 2017. However, these 24 non-operational beds were just entered in Concerts in June, 2018 so they are not captured in the overall capacity previously.

\*Note: This rate may not be stable due to small denominator (less than 20 discharges in the denominator).