

# January 2021 Monthly Report

OMH Facility Performance Metrics and Community Service Investments

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## January 2021 Monthly Report

## OMH Facility Performance Metrics and Community Service Investments

## Report Overview:

This report is issued pursuant to the State Fiscal Year 2020-21 Budget agreement which requires that "The Commissioner of Mental Health shall provide monthly status reports of the 2020-21 community investments and the impact on inpatient census to the Temporary President of the Senate, the Speaker of the Assembly, and the Chairs of the Senate and Assembly fiscal committees. Such reports shall include state operated psychiatric facility census; admissions and discharges; rate of Medicaid psychiatric inpatient readmissions to any hospital within thirty days of discharge; Medicaid emergency room psychiatric visits; capital beds; budgeted capacity for that month; budgeted capacity change from previous month; descriptions of 2020-21 new community service investments; average length of stay; and number of long-term stay patients. Such reports shall include an explanation of any material census reductions, when known to the facility."

This report is comprised of several components:

- 1. State Psychiatric Center (PC) descriptive metrics;
- 2. Description and status of community service investments;
- 3. Psychiatric readmissions to hospitals and emergency rooms for State PC discharges;
- 4. Psychiatric readmissions to hospitals and emergency rooms for Article 28 and Article 31 hospital psychiatric unit discharges.

## Overview of Community Service Investment Tables

Detailed data tables provide information on funding and utilization levels for all programs funded by reinvestment of State PC reduction savings, and from reinvestment of the State share of Medicaid for inpatient hospital bed reductions. Funding for these programs began in 2014. These utilization tables provide a general description of the programs, the program location or coverage area, age groups served, prior capacity (when applicable), funding level, and the number of people served. During program start-up, progress notes indicate when funds were issued on contract or via the county State Aid Letter.

The children's HCBS waiver program transferred into a combined DOH waiver program. OMH continues to support this program through Medicaid fund transfers.

The glossary of services, which was previously included in the monthly report, is now posted to the OMH Transformation website at <u>https://www.omh.ny.gov/omhweb/transformation/</u>.



|  | Capital Beds                                 | Budgeted<br>Capacity <sup>2</sup>     | Capacity Change                                       | Admission                                  | Disc                                       | charge <sup>3</sup>   | Long Stay <sup>4</sup>                 | Month   | ly Average Daily C                              | ensus⁵  |
|--|--|---------------------------------------|---|--|--|---|--|---|---|---|
| Ctoto Innetiont                            | N  | N                                     | N   | N  | N  | Days  | N                                      | N   | N   | N   |
| State Inpatient<br>Facilities <sup>1</sup> | Capital Beds as of<br>end of SFY 2017-<br>18 | January, 2021<br>Budgeted<br>Capacity | Budgeted<br>Capacity change<br>from previous<br>month | # of Admissions<br>during January,<br>2021 | # of Discharges<br>during January,<br>2021 | Median Length of<br>Stay for discharges<br>during January, 2021 | # of Long Stay on<br>census 01/31/2021 | Avg. daily census<br>11/01/2020 -<br>11/30/2020 | Avg. daily census<br>12/01/2020 -<br>12/31/2020 | Avg. daily census<br>01/01/2021 -<br>01/31/2021 |
| Adult                                      |  |                                       |   |  |  |   |  |   |   |   |
| Bronx                                      | 156  | 154                                   |   | 7  | 6  | 155   | 89                                     | 152   | 151   | 152   |
| Buffalo                                    | 221  | 152                                   |   | 9  | 8  | 286   | 81                                     | 145   | 139   | 137   |
| Capital District                           | 158  | 100                                   |   | 9  | 8  | 223   | 63                                     | 100   | 94  | 91  |
| Creedmoor                                  | 480  | 312                                   |   | 7  | 4  | 110   | 216                                    | 287   | 299   | 304   |
| Elmira                                     | 104  | 47                                    |   | 2  | 2  | 53  | 24                                     | 47  | 47  | 47  |
| Greater Binghamton                         | 178  | 68                                    |   | 6  | 8  | 73  | 30                                     | 61  | 65  | 64  |
| Hutchings                                  | 132  | 100                                   |   | 11   | 14   | 237   | 40                                     | 89  | 90  | 93  |
| Kingsboro                                  | 254  | 161                                   |   | 3  | 8  | 286   | 87                                     | 157   | 154   | 152   |
| Manhattan                                  | 476  | 150                                   |   | 10   | 11   | 226   | 53                                     | 141   | 144   | 143   |
| Pilgrim                                    | 771  | 265                                   |   | 11   | 12   | 338   | 161                                    | 265   | 264   | 265   |
| Rochester                                  | 222  | 76                                    |   | 10   | 10   | 99  | 49                                     | 76  | 85  | 84  |
| Rockland                                   | 436  | 340                                   |   | 10   | 12   | 304   | 223                                    | 326   | 328   | 326   |
| South Beach                                | 280  | 230                                   |   | 16   | 13   | 134   | 89                                     | 219   | 216   | 216   |
| St. Lawrence                               | 84   | 38                                    |   | 3  | 1  | 50  | 13                                     | 38  | 35  | 35  |
| Washington Heights                         | 21   | 21                                    |   | 14   | 11   | 35  | 1                                      | 17  | 17  | 17  |
| Total                                      | 3,973  | 2,214                                 |   | 128  | 128  | 150   | 1,219                                  | 2,120   | 2,127   | 2,124   |
| Children & Youth                           |  | -<br>-                                |   |  |  |   |  |   |   |   |
| Elmira                                     | 48   | 12                                    |   | 9  | 7  | 25  | 0                                      | 9   | 8   | 7   |
| Greater Binghamton                         | 16   | 13                                    |   | 15   | 7  | 16  | 0                                      | 9   | 8   | 9   |
| Hutchings                                  | 30   | 23                                    |   | 20   | 10   | 26  | 1                                      | 14  | 13  | 13  |
| Mohawk Valley                              | 32   | 27                                    |   | 26   | 16   | 20  | 0                                      | 25  | 11  | 15  |
| NYC Children's Center                      | 184  | 92                                    |   | 15   | 7  | 99  | 22                                     | 52  | 56  | 61  |
| Rockland CPC                               | 56   | 15                                    |   | 9  | 11   | 29  | 2                                      | 15  | 16  | 15  |
| Sagamore CPC                               | 77   | 49                                    |   | 11   | 9  | 148   | 18                                     | 38  | 39  | 39  |
| South Beach                                | 12   | 10                                    |   | 2  | 1  | 66  | 1                                      | 8   | 5   | 7   |
| St. Lawrence                               | 29   | 27                                    |   | 30   | 26   | 21  | 0                                      | 25  | 20  | 19  |
| Western NY CPC                             | 46   | 46                                    |   | 2  | 9  | 64  | 1                                      | 20  | 18  | 13  |
| Total                                      | 530  | 314                                   |   | 139  | 103  | 25  | 45                                     | 214   | 194   | 198   |
| Forensic                                   |  |                                       |   | ·  |  |   | ·                                      |   | ·   | ·   |
| Central New York                           | 450  | 169                                   |   | 9  | 15   | 198   | 31                                     | 126   | 119   | 111   |
| Kirby                                      | 220  | 218                                   |   | 14   | 8  | 159   | 103                                    | 202   | 197   | 200   |
| Mid-Hudson                                 | 340  | 285                                   |   | 9  | 15   | 62  | 157                                    | 219   | 219   | 213   |
| Rochester                                  | 84   | 84                                    |   | 2  | 1  | 316   | 52                                     | 83  | 78  | 79  |
| Total                                      | 1,094  | 756                                   |   | 34   | 39   | 155   | 343                                    | 630   | 613   | 604   |

### Table 1: NYS OMH State Psychiatric Center Inpatient Descriptive Metrics for January, 2021

Notes:

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.

2. Budgeted capacity reflects the number of operating beds during the month of the report.

3. Discharge includes discharges to the community and transfers to another State IP facility.

4. Long Stay is defined as: Length of stay over one year for adult and forensic inpatients, and over 90 days for child inpatients.

5. Monthly Average Daily Census defined as: Total number of inpatient service days for a month divided by the total number of days in the month. Population totals displayed may differ from the sum of the facility monthly census values due to rounding.



| HCBS Waiver Slots           Greater Binghamton         Children         60         12         \$315,516         \$315,516         58   | OMH Facility                             | Target Population     | Prior Capacity <sup>1</sup> | Reinvestment<br>Expansion | Annualized<br>Reinvestment | Allocated          | New Individual<br>Served |
|--|--|-----------------------|-----------------------------|---------------------------|----------------------------|--------------------|--------------------------|
| sister Berghamton         Chiefman         00         12         \$115,101         01           mina         Chiefman         02         12         \$115,101         01         02         01<   | Own TT acinty                            | Target Fopulation     |                             |                           | Reinvestment               | Allocated          | Served                   |
| Imma         Chaldeen         SO         12         S15.556         S15.556.556         S15.556         S15.55   |  |                       | HCBS                        | waiver Slots              |                            |                    |                          |
| Lamence         Childeen         78         12         \$315,516         38         315,526         315,526         38         315,526         38         315,526         38         315,526         38         315,526         38         315,526         38         315,526         38         315,526         38         316,526         36         36,526,536         38         315,526         38         316,526         38         316,526         38         316,526         38         316,526         38         316,526         316         36,526,526 </td <td>Greater Binghamton</td> <td>Children</td> <td>60</td> <td>12</td> <td>\$315,516</td> <td>\$315,516</td> <td>58</td>   | Greater Binghamton                       | Children              | 60                          | 12                        | \$315,516                  | \$315,516          | 58                       |
| Batemos         Childem         110         22         60         31.488.240         55.1.488.240         110         21.4         55.1.022         91           Oddreider         Childer         100         2         51.720.440         55.1.022         91         51.488.240         55.1.022         91         51.720.440         55.7.120   | Imira                                    | Children              | 90                          | 12                        | \$315,516                  | \$315,516          | 28                       |
| State NY         Children         110         24         \$\$31,022         \$\$31,022         \$\$1,014,02           Ortholdren         Onder No         000         700         \$\$12,024         \$\$1,10,442         \$   |  |                       |                             |                           |                            |                    |                          |
| Orderatin         Childeen         100         1         1           Orderatin         Collideen         100         1   |  |                       |                             |                           |                            |                    |                          |
| Subtoal         Children         600         78         51,768,460           Subtoal         Children         177         30         523216         48           Lubinna         Subtoal         72         18         5273274         55         55,611,652         633           Subtoal         Subtoal         299         88         5736,766         55,611,652         633           Subtoal         Adults         299         88         5736,766         55,611,652         633           Subtoal         Adults         299         88         5736,766         175         53,653,84           Subtoal         Adults         1,198         112         5386,538         23,653,58         23,653,58         23,653,58         23,653,58         23,653,58         23,653,58         23,653,58         23,653,58         24,853,540         223         53,653,58         23,653,58         24,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540         223,853,540 <td></td> <td></td> <td></td> <td>24</td> <td>\$631,032</td> <td>\$631,032</td> <td>91</td>   |  |                       |                             | 24                        | \$631,032                  | \$631,032          | 91                       |
| codeland         Children         177         30         5323,118         533,118         44           Judiching         Subtolal         1,479         2.66         53,611,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,652         53,511,552         53,511,552         53,511,552         53,511,552         53,511,552         53,515,550         51,515,513         53,555,550         52,520,520         53,555,550         52,520,520         53,511,52,513         53,555,550         55,530,513         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,530         53,555,550         53,555,530         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550         53,555,550,500         53,550,550 <td< td=""><td></td><td></td><td></td><td>-</td><td>-</td><td>-</td><td>-</td></td<>   |  |                       |                             | -                         | -                          | -                  | -                        |
| Linkhings         Children         72         18         3473/374         54/3274         55           Subported Housing Bads         Supported Housing Bads         Supported Housing Bads         State Stat |  |                       |                             |                           |                            |                    |                          |
| Sublem         1,479         246         55,611,652         653           Supported Housing Beds   |  |                       |                             |                           |                            |                    |                          |
| Instant         Adults         289         88         \$738,796         \$738,796         \$190           Intering         Adults         517         82         \$738,796         \$190         \$375,690         \$375,690         \$100         \$375,690         \$100         \$375,690         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$100         \$375,690         \$3770,600         \$3770,600         \$3770,600 <td></td> <td>Children</td> <td></td> <td></td> <td></td> <td></td> <td></td>  |  | Children              |                             |                           |                            |                    |                          |
| Imita         Adults         517         82         \$735,660         \$75           Learence         Adults         2306         55         \$450,480         \$450,480         \$160,400         \$175         \$450,480         \$160,400         \$175         \$150,400,400         \$175         \$150,400,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,500,400   |  |                       | Supported Ho                | ousing Beds               |                            |                    |                          |
| Imita         Adults         517         82         \$735,660         \$75           Learence         Adults         2306         55         \$450,480         \$450,480         \$160,400         \$175         \$450,480         \$160,400         \$175         \$150,400,400         \$175         \$150,400,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,510,400         \$150,500,400   |  |                       |                             |                           | A                          | <b>A</b> -14 - 14  |                          |
| Lawrene         Adults         308         55         \$459.480           ufaio         Adults         1.186         112         \$980.300         \$3.555.56         \$23.655.56         \$23.655.56         \$23.655.56         \$23.655.56         \$23.655.56         \$23.655.56         \$23.655.56         \$23.655.56         \$23.655.56         \$20.655.56         \$23.655.565.50         \$23.655.565.50  |  |                       |                             |                           |                            |                    |                          |
| Igrim         Adults         2.245         206         33.665.56         270           Ordester         Adults         555         125         \$1.135,913         226           Ordester         Adults         555         125         \$1.135,913         226           Ordester         Adults         555         125         \$1.135,913         226           Ordester         Adults         141         145         \$2.003,539         207           Jacking         Adults         141         145         \$2.003,539         207           Jacking         Adults         141         145         \$2.003,539         207           Jacking         Subtotal         17.221         1,305         \$1.692,245         \$2.020           State-Communiv         State-Communiv         \$2.756,160         2.765,160         2.765,160         2.765,160         \$2.76  |  |                       |                             |                           |                            |                    |                          |
| Infaile         Adults         1.106         112         \$903,040         223           Ochester         Adults         555         125         \$11,135,913         284         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         288         \$13,354,02         223         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,335,913         228         \$11,355,913         228         \$11,355,913         228         \$11,355,913         228         \$11,355,913         228         \$11,355,913         \$11,35   |  |                       |                             |                           |                            |                    |                          |
| Ordesser         Adults         555         125         \$1,35,913         258           oxdand         Adults         8,776         364         \$6,233,420         \$53,35,20           oxdand         Adults         1,841         145         \$2,003,539         \$53,077         71           states         Adults         8,37         42         \$541,754         \$53,077         71           states         Community         States         \$57,40,000         \$5,740,000         \$52,786,160         \$33,240         \$2,286,000         \$1,68,000  |  |                       |                             |                           |                            |                    |                          |
| ew York (b)<br>octatand         Adults         8.776         364         56.356,420         23.35,420         42.8           octatand         Adults         653         8.4         56.336,420         32.005,530         20.7           aptial District PC         Adults         653         8.4         5632,077         71           utchings         Adults         637         42         \$341,754         62           State=Community         51,646,245         \$16,942,245         \$16,942,245         \$2,001,530         2,743           reater Binghamton         \$5,740,000         \$2,278,160         2,728         2,728         100         2,728           utable         \$1,600,000         \$2,741,744         02         2,728,160         2,728         100         2,728           utable         \$1,600,000         \$2,741,744         02         2,728,160         2,728         100         2,728         100,80,000         1,244         \$1,800,000         1,244         \$1,800,000         1,244         \$1,800,000         1,244         \$1,800,000         1,244         \$1,800,000         1,244         \$1,980,400         1,927         \$1,927,000         \$1,76,952         1,927         \$1,927,900         \$1,927,900         \$1,927,900   |  |                       |                             |                           |                            |                    |                          |
| ockland         Adults         1,841         14.5         \$2,003,539         207           Ball District PC         Adults         650         84         \$503,277         71           Subtotal         17,221         1,005         \$16,942,245         \$341,754         62           Subtotal         17,221         1,005         \$16,942,245         \$341,754         62           Subtotal         17,221         1,005         \$16,942,245         \$344,754         62           Itamento         \$3,740,000         \$2,736,160         \$3,740,000         \$2,736,160         \$3,740,000         \$1,780,000         \$2,744,400         \$1,780,000         \$2,744,400         \$1,780,000         \$2,744,400         \$1,780,000         \$2,744,400         \$1,780,000         \$2,744,400         \$2,800,000         \$2,744,400         \$1,800,000         \$1,780,000         \$2,744,400         \$1,800,000         \$2,744,400         \$2,800,000         \$2,744,400         \$2,800,000         \$2,744,400         \$1,800,000         \$2,744,400         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000         \$2,800,000   |  |                       |                             |                           |                            |                    |                          |
| Adults         650         84         5832.077         71           Jachings         Adults         637         42         5311.754         82           Subtotal         17,21         1,305         \$16,942.245         \$316.754         82           State Community         State Community         \$341.754         82         2020           Image according and according accordin   |  |                       |                             |                           |                            |                    |                          |
| Adults         837         42         State         State <thstate< th="">         State         Stat</thstate<>   |  |                       |                             |                           |                            |                    |                          |
| Subicial         17,221         1,305         \$16,942,245         \$16,942,245         \$16,942,245         \$2,020           State-Community           State-Community<  | •  |                       |                             |                           |                            |                    |                          |
| State-Community           mira         \$5,740,000         \$2,735,160         \$2,730,100         \$3,120,000         \$1,844         \$1,500,000         \$1,343         \$3,00,000         \$1,700         \$3,120,000         \$1,200  |  | Adults                |                             |                           |                            |                    |                          |
| astar Binghamton         \$5,740,000         \$2,285,160           mra         \$5,740,000         \$2,286,160         \$2,286,160           gamore         \$3,640,000         \$1,820,000         1,844           starse         \$3,640,000         \$2,286,160         \$2,286,160         \$2,286,160         \$2,286,160         \$2,286,160         \$2,286,160         \$2,286,160         \$2,286,160         \$2,286,160         \$2,286,160         \$2,212,500         \$1,844         \$1,850,000         \$1,844         \$1,850,000         \$1,844         \$1,650,000         \$2,145,440         \$1,680,000         \$2,145,440         \$1,680,000         \$2,145,440         \$1,680,000         \$2,145,440         \$1,680,000         \$2,145,440         \$1,680,000         \$2,145,440         \$1,680,000         \$1,670,000         \$1,670,000         \$1,670,000         \$1,670,000         \$1,680,000         \$1,670,000         \$1,680,000         \$1,6   |  |                       |                             |                           | ····                       | ••••••             | _,                       |
| mina         \$3,740,000         \$2,366,000         2,049           isagemore         \$2,736,160         \$2,736,160         \$2,736,160           isagemore         \$3,640,000         \$1,820,000         1,844           isting         \$1,050,000         \$1,820,000         \$1,840           ochester         \$2,145,440         \$1,580,000         \$1,484           stagemore         \$2,145,440         \$1,580,000         \$2,145,440         \$1,580,000           ochester         \$2,145,440         \$1,680,000         \$1,770,000         \$2,145,440         \$1,680,000         \$1,760,000         \$1,760,000         \$1,680,000         \$1,760,000         \$1,680,000         \$1,760,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,286         \$1,31,000         \$1,76,685,000         \$1,680,286         \$1,522,051,71         \$2,328         \$1,522,051,71         \$2,328         \$1,522,575,17         \$2,328         \$1,522,575,17         \$2,328         \$1,725,537,71         \$2,386,300         \$1,725,71         \$2,328         \$1,725,71         \$2,328         \$1,725,71         \$2,328         \$1,725,71         \$2,328         \$1,725,71         \$2,328         \$1,725,71         \$2,326         \$1,725,71 <td></td> <td></td> <td>01010-001</td> <td>ionity</td> <td></td> <td><b>6</b>0.010 ===</td> <td></td>  |  |                       | 01010-001                   | ionity                    |                            | <b>6</b> 0.010 === |                          |
| Lawrence         \$2,736,160         \$2,740,100         \$2,736,160         \$2,740,000         \$2,740,000         \$2,740,000         \$2,740,000         \$2,740,101         \$2,816,840,170         \$2,93  | *  | 4                     |                             |                           | \$5,740,000                |                    | ,                        |
| agamore         \$3,640,000         \$1,820,000         1,844           Igrim         \$1,050,000         2,129         \$1,050,000         1,348           Statestra         \$2,145,440         \$490,000         707           Schaft         \$2,145,440         \$490,000         707           Schaft         \$2,145,440         \$1,580         \$1,70,000           Schaft         \$2,145,440         \$1,680,000         \$2,145,440         \$1,680,000           Schaft         \$2,165,400         \$2,165,400         \$2,145,440         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,000         \$1,680,777         236         \$1,331,000         \$1,690,288         \$1,331,000         \$1,725,036         \$1,331,000         \$1,725,037         \$2,268         \$1,331,000         \$1,725,036         \$1,725,036         \$1,725,77         236         \$1,331,000         \$1,725,71         \$2,36         \$1,725,71         236         \$1,331,926,938         \$2,224         \$1,616,13,377         \$1,725,036         \$1,725,936         \$1,725,936         \$1,724,228,917         \$1,32,928,917         \$1,32,928,917         \$1,32,928,917         \$1,32,928,917         \$1,32,928,917         \$1,32,928,917  |  |                       |                             |                           | <b>A</b> O <b>T</b> OO 100 |                    |                          |
| iarim         \$3,84,000         \$1,750,000         \$1,750,000         \$1,750,000         \$1,84,440           Ufalo         \$2,145,440         \$1,600,000         \$1,000,000         \$2,000         \$1,000,000         \$1,0  |  |                       |                             |                           | \$2,736,160                |                    |                          |
| Testern NY         Ifalo         \$1,050,000         1,348           Ochester         \$2,145,440         1,580           w York City         \$2,245,440         1,580           ockland         \$2,290,000         \$2,145,440         1,580           optial District PC         \$1,050,000         1,248         \$2,000 <td></td> <td>-</td> <td></td> <td></td> <td>\$3,640,000</td> <td></td> <td></td>   |  | -                     |                             |                           | \$3,640,000                |                    |                          |
| uffalo         \$490,000         707           ow York City         \$2,145,440         \$2,145,440         \$2,145,440         \$1,580           ow York City         \$2,145,440         \$1,680,000         \$1,770,000         \$1,770,000         \$1,068,400         \$1,027,100         \$1,027,100         \$1,027,100         \$1,027,100         \$1,027,100         \$1,027,100         \$1,027,100         \$3,173,000         \$4,208,015,77         7,132         \$3,173,000         \$3,173,000         \$3,173,000         \$3,173,000         \$3,174,30,033         \$2,29,89,517         \$1,07,000         \$3,177,000         \$3,673         \$1,070,000         \$3,173,000         \$3,173,000         \$3,173,000         \$3,173,000         \$3,173,000         \$3,173,000         \$3,177,000         \$3,673         \$1,070,000         \$3,177,000         \$3,673         \$1,000,000         \$2,223  |  | -                     |                             |                           | \$1.050.000                |                    |                          |
| Schester         Schester         Schester           we York City         Schester         Schester         Schester           we York City         Schester         Statewide         Schester           agamorie         Statewide         Schester         Schester           witchings         Schester         Schester         Schester           Schester         Schester         Schester         Schester           witchings         Schester         Schester         Schester           Schester         Schester         Schester         Schester           Schester         Schester         Schester         Schester           Schester         Schester         Schester </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>  |  |                       |                             |                           |                            |                    |                          |
| sew York City<br>oodsland<br>aptal District PC<br>utchings         S2.590.000<br>\$1770.000         S2.80.000         12.80<br>\$2.80.000           aptal District PC<br>utchings         Subtotal         \$2.0,82.000         \$1.686.400         \$2.0,82.000         \$1.686.400           Subtotal         \$2.0,230,000         \$1.690.288         \$1.690.288         \$1.690.288         \$1.690.288           Lawrence         \$1.331.000         \$5.666.000         \$1.333.090         \$1.692.285           Lawrence         \$1.331.000         \$5.366.000         \$1.333.092         \$1.333.092           gamore         \$1.331.000         \$5.366.000         \$1.333.000         \$4.933.767         \$1.41.48           Subtotal         \$2.999.517         \$1.332.998         \$1.330.998         \$1.309.98         \$1.309.98           Jarlad District PC<br>utchings         \$1.677.000         \$5.740.000         \$1.422         \$1.630.988         \$7.244           Subtotal         \$2.999.517         \$1.3077.000         \$2.57.25.636         NAA           seer Specialist Cartification         \$1.000.000         \$2.771         \$1.000.000         \$2.282           MCA Subtotal         \$1.3725,636         \$1.44         \$1.650.0000         \$1.550.0000         \$2.55.500.000         \$2.55.55.500.000         \$2.55.55.55.55.55.55.55.55.55.55.55.55.55  |  |                       |                             |                           |                            |                    |                          |
| ockland         \$770,000         \$1,068,400         \$1,068,400         \$1,068,400         \$1,068,400         \$1,068,400         \$1,068,400         \$669           Subtotal         \$20,230,000         \$1,690,288         \$1,690,288         \$1,690,288         \$1,690,288         \$1,690,288         \$1,500,000         \$1,282         \$1,330,998         \$1,169,288         \$1,330,998         \$1,169,288         \$1,330,998         \$1,169,288         \$1,500,288         \$1,5  |  |                       |                             |                           |                            |                    |                          |
| aprial District PC         \$7.70,000         \$420,000         124           stude         \$1.088,400         \$68,000         \$69           Subtotal         \$20,230,000         \$17,608,500         \$8,698   |  |                       |                             |                           |                            |                    |                          |
| stubios         \$1,088,400         \$10,88,400         \$10,88,400         \$10,88,400         \$10,88,400         \$10,88,400         \$10,80,500         \$10,80,500         \$10,80,800         \$10,87,700         \$31,30,98         7,141,480         \$10,87,700         \$31,30,98         7,141         \$10,87,700         \$31,30,900         \$7,71,710,00         \$31,30,900         \$7,71,713         \$10,87,700         \$31,30,900         \$7,71,710,00         \$31,00,000         \$2,232,23,23,233,300         \$2,7,75,582         70,181         \$10,90,0   |  |                       |                             |                           | \$770,000                  |                    |                          |
| Subtotal         \$20,230,000         \$17,608,500         18,698           Aid to Localities  |  |                       |                             |                           | \$1 068 400                |                    |                          |
| Imital procession         \$1,690,288         \$5,690,288           Lawrence         \$1,31,000         \$629,754         1,622           gamore         \$1,331,000         \$629,754         1,622           gamore         \$1,331,000         \$63,098         7,195           gamore         \$1,330,098         7,195         \$629,754         1,622           gamore         \$1,300,098         7,195         \$66,000         \$1,300,098         7,195           gamore         \$2,99,517         \$2,99,517         7,132         \$2,99,517         7,132           ochester         \$3,173,000         \$3,070         \$3,173,000         \$3,089         \$7,430,938         7,244           optial District PC         \$1,077,000         \$7,430,938         7,244         \$4,0000         71           utchings         \$1,077,000         \$27,756,562         70,181         \$1,077,000         \$21,775,562         70,181           statewide         \$1,000,000         \$2,725,636         N/A         N/A         \$1,000,000         \$2,228,106         \$1,000,000         \$2,228         \$5,00,000         \$1,000,000         \$2,228         \$5,00,000         \$1,000,000         \$2,228         \$5,00,000         \$1,000,000         \$2,228         \$5,00,000   |  |                       |                             |                           |                            |                    | 18,698                   |
| Imira         \$1,030,200         \$629,754         1,622           agamore         \$1,331,000         \$1330,000         \$1330,000         \$1330,000         \$1330,000         \$1330,098         7,195         \$236         \$4,593,767         14,148         \$2,989,517         \$236         \$34,593,767         14,148         \$37,473,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,743,000         \$34,743,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,73,000         \$34,228,116         13,874         \$34,73,000         \$34,73,000         \$34,228,116         13,874         \$34,73,000         \$34,228,116         13,874         \$34,73,000         \$34,228,116         13,874         \$34,30,000         711         \$1,007,000         \$36,73         \$24,228,116         13,874         \$34,000         711         \$14,148         \$34,000         \$1,000,000         \$22,898,617         \$34,173,000         \$34,172         \$34,173,000         \$34,172         \$34,173,000         \$34,172         \$34,173,000         \$34,172         \$34,172,17,173,18         \$34,172         \$34,172         \$34,172         \$34,172,173,173,18,172,1   |  |                       | Aid to Lo                   | ocalities                 |                            |                    |                          |
| Imita         Statewide           istined Engagement Support Team         \$1,331,000           statewide         \$1,331,000           istined Engagement Support Team         \$1,331,000           istined Engagement Support Team         \$1,300,000           statewide         \$1,500,000           istined Engagement Support Team         \$1,500,000           statewide         \$1,000,000           statewide  | reater Binghamton                        |                       |                             |                           | \$1 600 288                | \$954,921          | 11,897                   |
| agamore         \$5,866,000         \$5,866,000           igirim         \$5,866,000         \$4,593,767         14,143           estern NY         \$2,989,517         \$3,173,000         \$3,173,000           wY ork City         \$3,173,000         \$3,173,000         \$3,173,000         \$3,473,000           apital District PC         \$5,740,000         \$4,228,116         13,874           apital District PC         \$1,007,000         \$4,228,116         13,874           utchings         \$1,007,000         \$4,228,116         13,874           statewide         \$1,007,000         \$1,007,000         \$1,007,000           utcide Prevention, Forensics         \$1,500,000         \$1,000,000         \$2,7,756,562           utcide Prevention, Forensics         \$1,000,000         \$1,000,000         \$2,232           ustained Engagement Support Team         \$1,000,000         \$1,000,000         \$2,232           statewide         \$13,725,636         \$1,44         \$1,000,000         \$2,232           unds available subject to reduction of anticipated excess inpatient capacity         \$11,676,432         \$13,725,636         \$13,725,636           tude         \$11,725,636         \$13,032         \$13,725,636         \$13,32           unds available subject to reduction of ant  | Imira                                    |                       |                             |                           | ψ1,090,200                 | \$629,754          |                          |
| ligrim         \$3,580,000         \$4,593,767         14,143           festern NY         \$2,989,517         \$1,13,000         3,089           ochester         \$3,173,000         \$3,080,000         \$7,432,000         \$7,432,000           ockand         \$7,432,000         \$7,432,000         \$7,430,938         \$7,244           apital District PC         \$5,740,000         \$4,593,767         14,148         \$1,077,000         \$7,430,938         \$7,244           utchings         \$1,077,000         \$1,077,000         \$4,30,938         \$7,244         \$4,509,386         \$7,244           utchings         \$1,077,000         \$4,000,007         \$1,077,000         \$4,000,007         \$1,077,000         \$1,077,000         \$1,077,000         \$1,007,000         \$4,000,000         \$2,232         \$27,756,582         70,181           utcide Prevention, Forensics         \$1,000,000         \$1,000,000         \$2,232         \$2,726,536         NA           set Specialist Certification         N/A         \$1,000,000         \$2,232         \$5,500,000         \$3,55,500,000         \$3,55,500,000         \$43,56           utais available subject to reduction of anticipated excess inpatient capacity         \$11,676,432         \$13,725,636         \$13,725,636         \$13,725,636         \$13,225   | t. Lawrence                              |                       |                             |                           | \$1,331,000                | \$1,330,998        | 7,195                    |
| Igrim         \$4,99,767         14,148           Gestern NY         \$2,999,517         7,132           ochester         \$3,173,000         \$3,173,000           wY Ork City         \$5,740,000         \$4,228,116         13,874           ockland         \$5,740,000         \$4,228,116         13,874           utchings         \$1,077,000         \$4,228,116         13,874           utchings         \$1,077,000         \$4,00,00         71           utchings         \$1,077,000         \$1,077,000         \$4,00,00         71           utchings         \$1,077,000         \$1,077,000         \$1,000,000         \$2,232           utcide Prevention, Forensics         \$1,000,000         \$1,000,000         \$2,232           ustained Engagement Support Team         \$5,726,636         N/A           seidential Stipend Adjustment         \$5,526,000         \$1,000,000         \$2,232           subtotal         \$13,725,636         N/A         365           with a variitable subject to reduction of anticipated excess inpatient capacity         \$11,676,432         \$13,725,636         \$13,725,636           unds available subject to reduction of anticipated excess inpatient capacity         \$11,676,432         \$199,030         \$1765             utcide Prevent  | agamore                                  |                       |                             |                           | \$5,866,000                | \$918,571          | 236                      |
| uffalo         \$2,989,517         \$1,3200           ochester         \$3,173,000         \$3,173,000         \$3,173,000           wY Ork City         \$5,740,000         \$5,740,000         \$4,228,116         13,874           apital District PC         \$1,077,000         \$1,077,000         \$1,077,000         \$1,077,000         \$1,077,000         \$1,077,000         \$1,077,000         \$1,077,000         \$1,077,000         \$1,077,000         \$1,000,000         \$2,238,615         \$2,756,582         70,181           utcide Prevention, Forensics         \$1,500,000         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,232         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,323         \$1,000,000         \$2,32  |  |                       |                             |                           | \$0,000,000                | \$4,593,767        | 14,148                   |
| Subtotal         \$3,173,000         \$3,089           ew York City         \$3,173,000         \$3,089           cokland         \$3,73,000         \$3,089           gottal District PC         \$5,740,000         \$7,430,938         7,244           apital District PC         \$1,077,000         \$4,228,116         13,874           utchings         \$1,077,000         \$1,077,000         3,673           Subtotal         \$29,298,605         \$27,756,582         70,181           statewide         \$1,000,000         \$1,000,000         \$1,000,000         \$2,232           esidential Stipend Adjustment         \$5,725,636         N/A         NA         365           NF Transition Supports         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,725,636         \$13,225         \$14,64,615         94,564           Lames Mercy (WNY)         Child & Adult         N/A         N/A         \$19,030         \$19,030         \$19,030         \$19,030         \$19,0   |  |                       |                             |                           | -                          | -                  |                          |
| ew York City         \$7,432,000         \$7,430,938         7,244           ockland         \$5,740,000         \$5,740,000         \$42,28,116         13,874           spital District PC         \$1,077,000         \$430,000         71         \$1,077,000           utchings         \$10,077,000         \$1,077,000         \$1,077,000         3,673           Subtotal         \$29,298,805         \$27,756,582         70,181  |  | 4                     |                             |                           |                            |                    |                          |
| ockland         \$5,740,000         \$4,228,116         13,874           apital District PC         \$1,077,000         \$1,077,000         36,673           Subtotal         \$29,298,805         \$27,756,582         70,181   | ochester                                 | 4                     |                             |                           |                            |                    |                          |
| apital District PC         \$3,7,40,000         \$430,000         71           utchings         \$1,077,000         \$29,298,805         \$27,756,582         70,181           Subtotal         \$29,298,805         \$27,756,582         70,181   |  | 4                     |                             |                           | \$7,432,000                |                    |                          |
| Subtotal         \$1,077,000         \$1,077,000         \$1,077,000         \$1,673           Subtotal         \$29,998,805         \$27,756,582         70,181   |  | 4                     |                             |                           | \$5,740,000                |                    |                          |
| Subtotal         \$29,298,805         \$27,756,582         70,181           Statewide           Licide Prevention, Forensics         \$1,500,000         \$1,500,000         \$1,500,000         \$1,000,000         \$2,232         \$2,333   |  | -                     |                             |                           | \$1.077.000                |                    |                          |
| Licide Prevention, Forensics         \$1,500,000         N/A           Istained Engagement Support Team         \$1,000,000         \$1,000,000         \$2,232           seidential Stipend Adjustment         \$5,725,636         N/A         \$5,725,636         N/A           ser Specialist Certification         N/A         N/A         \$5,500,000         \$5,500,000         \$5,500,000         \$3,032           VF Transition Supports         \$5,500,000         \$13,725,636         \$14,550         \$14,550         \$14,550         \$14,550         \$14,550         \$14,550         \$14,550         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500,000         \$15,500   |  |                       |                             |                           |                            |                    | ,                        |
| ustained Engagement Support Team         \$1,000,000         \$2,232           esidential Stipend Adjustment         \$5,725,636         N/A           eer Specialist Certification         N/A         N/A           NF Transition Supports         \$5,500,000         \$435           Subtotal         \$13,725,636         N/A           unds available subject to reduction of anticipated excess inpatient capacity         \$11,676,432         \$13,725,636         \$14,55         \$15,50,00  |  |                       | State                       | wide                      |                            |                    |                          |
| ustained Engagement Support Team         \$1,000,000         2,232           esidential Stipend Adjustment         \$5,725,636         N/A           eer Specialist Certification         N/A         \$5,725,636         N/A           NF Transition Supports         \$5,500,000         \$35         \$5,000,000         \$435           Subtotal         \$13,725,636         \$14,64,615         94,564           Article 28/31 Reinvestment           Ledina Memorial (WNY)         Child & Adult         N/A         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$10,254,130         \$12,841           Ideina Memorial (WNY)         Adults         N/A         N/A         \$199,030         \$10,254,130         \$10,254,130         \$12,841         \$10,254,130         \$10,254,130         \$10,254,130  | visida Devesti - 5                       |                       |                             |                           | ¢4 500 005                 | C1 500 555         |                          |
| esidential Stipend Adjustment         \$5,725,636         N/A           eer Specialist Certification         N/A         N/A           NF Transition Supports         \$5,500,000         \$5,500,000           Subtotal         \$13,725,636         N/A           unds available subject to reduction of anticipated excess inpatient capacity         \$11,676,432         \$13,725,636         \$13,725,636           TOTAL TRANSFORMATION         \$97,484,770         \$81,644,615         94,564           Article 28/31 Reinvestment           t. James Mercy (WNY)         Child & Adult         N/A         \$199,030         \$199,030         \$199,030         \$199,030         \$2,765         \$199,030         \$199,030         \$2,910,400         \$13,259         \$2,910,400         \$13,259         \$2,910,400         \$13,259         \$2,910,400         \$13,259         \$2,910,400         \$13,259         \$18,909,116         \$18,909,116         \$18,909,116         \$18,909,116         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,804         \$14,805         \$14,804         \$   |  |                       |                             |                           |                            |                    |                          |
| Subtrain         N/A           NF Transition Supports         \$5,500,000           NF Transition Supports         \$5,500,000           Subtrain         \$13,725,636           unds available subject to reduction of anticipated excess inpatient capacity         \$11,676,432           TOTAL TRANSFORMATION         \$97,484,770           Article 28/31 Reinvestment         \$81,644,615           Article 28/31 Reinvestment         \$894,725           Ledina Memorial (WNY)         Adults           N/A         \$199,030           Siliswood/Stony Lodge/Mt Sinai (NYC)         Child & Adult           N/A         \$10,254,130           Subtotal         \$10,254,130           Subtotal         \$18,909,116           Subtotal         \$18,909,116   |  |                       |                             |                           |                            |                    |                          |
| NF Transition Supports       \$5,500,000       \$5,500,000       \$35         Subtotal       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$13,725,636       \$3,032         TOTAL TRANSFORMATION       \$97,484,770       \$81,644,615       94,564         Article 28/31 Reinvestment         L. James Mercy (WNY)       Child & Adult       N/A       N/A       \$199,030       \$2,765         edina Memorial (WNY)       Adults       N/A       N/A       \$199,030       \$199,030       \$2,765         olliswood/Stony Lodge/Mt Sinai (NYC)       Child & Adult       N/A       N/A       \$10,254,130       \$10,254,130       \$10,254,130       \$10,254,130       \$10,254,130       \$10,254,130       \$10,254,130       \$10,254,130       \$10,254,130       \$2,910,400       \$13,259         Subtotal       Subtotal       \$18,909,116       \$18,909,116       \$18,909,116       \$35,461   |  |                       |                             |                           |                            |                    |                          |
| Subtotal         \$13,725,636         \$13,725,636         3,032           unds available subject to reduction of anticipated excess inpatient capacity         \$11,676,432         \$11,676,432         \$13,725,636         3,032           TOTAL TRANSFORMATION         \$97,484,770         \$81,644,615         94,564           Article 28/31 Reinvestment           Article 28/31 Reinvestment           Article 28/31 Reinvestment           Output           Adults         N/A         \$199,030         \$199,030         \$199,030         \$199,030         \$2,765           oliiswood/Stony Lodge/Mt Sinai (NYC)         Child & Adult         N/A         N/A         \$10,254,130         \$199,030         \$10,254,130         \$2,814           Subtotal         N/A         N/A         \$10,254,130         \$2,814         \$4,650,831         \$11,765         \$2,910,400         \$3,259           Subtotal         Subtotal         N/A         N/A         \$18,909,116         \$18,909,116         \$18,909,116         \$35,461  |  |                       |                             |                           |                            |                    |                          |
| unds available subject to reduction of anticipated excess inpatient capacity         \$11,676,432           TOTAL TRANSFORMATION         \$97,484,770         \$81,644,615         94,564           Article 28/31 Reinvestment         \$97,484,770         \$81,644,615         94,564           L. James Mercy (WNY)         Child & Adult         N/A         N/A         \$199,030         \$2,765           oiliswood/Stony Lodge/Mt Sinai (NYC)         Child & Adult         N/A         N/A         \$10,254,130         \$10,254,130         \$2,910,400         \$13,259           Subtotal         Subtotal         N/A         N/A         N/A         \$18,909,116         \$35,461   |  |                       |                             |                           |                            |                    |                          |
| TOTAL TRANSFORMATION         \$97,484,770         \$81,644,615         94,564           Article 28/31 Reinvestment           t. James Mercy (WNY)         Child & Adult         N/A         \$894,725         4,388           ledina Memorial (WNY)         Adults         N/A         \$199,030         2,765           olliswood/Stony Lodge/Mt Sinai (NYC)         Child & Adult         N/A         N/A         \$10,254,130         \$10,254,130         3,284           BMC/NSUH/PK (Long Island)         Child & Adult         N/A         N/A         \$4,650,831         \$11,765           Subtotal         \$18,909,116         \$18,909,116         \$18,909,116         \$18,909,116         \$18,909,116         \$18,909,116  | unds available subject to reduction of a | inticipated excess in | patient capacity            |                           |                            | -                  | ·                        |
| Article 28/31 Reinvestment           t. James Mercy (WNY)         Child & Adult         N/A         N/A         \$894,725         \$894,725         4,388           ledina Memorial (WNY)         Adults         N/A         N/A         \$199,030         2,765           olliswood/Stony Lodge/Mt Sinai (NYC)         Child & Adult         N/A         N/A         \$10,254,130         \$10,254,130         3,284           tony Lodge/Rye (Hudson River)         Child & Adult         N/A         N/A         \$4,650,831         \$11,765           BMC/NSUH/PK (Long Island)         Child & Adult         N/A         N/A         \$2,910,400         \$13,259           Subtotal         \$18,909,116         \$18,909,116         \$18,909,116         \$14,901,116         \$14,901,116  |  |                       |                             |                           |                            | \$81,644,615       | 94.564                   |
| L. James Mercy (WNY)         Child & Adult         N/A         N/A         \$894,725         \$894,725         4,388           ledina Memorial (WNY)         Adults         N/A         N/A         \$199,030         \$199,030         2,765           olliswood/Stony Lodge/Mt Sinai (NYC)         Child & Adult         N/A         N/A         \$10,254,130         \$10,254,130         3,284           tony Lodge/Rye (Hudson River)         Child & Adult         N/A         N/A         \$4,650,831         \$11,765           BMC/NSUH/PK (Long Island)         Child & Adult         N/A         N/A         \$2,910,400         13,259           Subtotal         \$18,909,116         \$18,909,116         \$18,909,116         \$18,909,116         \$14,900,116   |  |                       | Article 28/21               | Reinvestmont              | <i><b>401,101,110</b></i>  | \$01,010<br>\$     | 37,007                   |
| Ideina Memorial (WNY)         Adults         N/A         N/A         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$199,030         \$2,765         \$10,254,130         \$3,284         \$3,284         \$3,284         \$3,650,831         \$11,765         \$3,284         \$4,650,831         \$11,765         \$2,910,400         \$3,259         \$2,910,400         \$3,259         \$2,910,400         \$3,259         \$3,259         \$3,259         \$3,264         \$3,461  |  |                       |                             |                           |                            |                    |                          |
| Ising         Ising <thising< th="">         Ising         <thi< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td></thi<></thising<>   |  |                       |                             |                           |                            |                    |                          |
| tony Lodge/Rye (Hudson River)         Child & Adult         N/A         \$4,650,831         \$1,765           BMC/NSUH/PK (Long Island)         Child & Adult         N/A         N/A         \$2,910,400         \$2,910,400         \$2,910,400         \$35,461           Subtotal         \$18,909,116   |  |                       |                             |                           |                            |                    |                          |
| BMC/NSUH/PK (Long Island)         Child & Adult         N/A         \$2,910,400         \$2,910,400         13,259           Subtotal         \$18,909,116         \$18,909,116         \$35,461   |  |                       |                             |                           |                            |                    |                          |
| Subtotal \$18,909,116 \$18,909,116 35,461  |  |                       |                             |                           |                            |                    |                          |
|  |  |                       | IN/A                        | IN/A                      |                            |                    |                          |
| GRAND TOTAL \$116,393,886 \$100,553,731 130,025  |  |                       |                             |                           |                            |                    |                          |
|  | GRAND TOTAL                              |                       |                             |                           | \$116,393,886              | \$100,553,731      | 130,025                  |

1. Prior capacity refers to the program capacity at the end of State fiscal year 2013-14; before Transformation investments began.



|   |            |                                       |          |              |           | Investment I         | Plan Progress |             |                      |
|---|------------|---------------------------------------|----------|--------------|-----------|----------------------|---------------|-------------|----------------------|
|   |            |                                       |          | Reinvestment |           |                      |               | New         | Annualized           |
|   | Target     | _                                     | Prior    | Expansion    |           |                      |               | Individuals | Reinvestmen          |
| Service                                       | Population | County                                | Capacity | (units)      | Status Up | date                 | Start Up Date | Served      | Amount (\$)          |
| HCBS Waiver                                   | Children   | Broome                                | 24       | 6            |           |                      | 4/1/2014      | 32          | \$157,758            |
| HCBS Waiver                                   | Children   | Tioga                                 | 6        | 6            |           |                      | 6/5/2014      | 26          | \$157,758            |
| SUBTOTAL:                                     |            |                                       | 30       | 12           |           |                      |               | 58          | \$315,516            |
| Supported Housing                             | Adult      | Broome                                | 161      | 53           |           |                      | 8/1/2014      | 138         | \$431,261            |
| Supported Housing                             | Adult      | Chenango                              | 46       | 8            |           |                      | 10/1/2014     | 11          | \$65,096             |
| Supported Housing                             | Adult      | Delaware                              | 27       | 6            |           |                      | 1/1/2016      | 5           | \$48,822             |
| Supported Housing                             | Adult      | Otsego                                | 30       | 8            |           |                      | 6/1/2015      | 8           | \$66,712             |
| Supported Housing                             | Adult      | Tioga                                 | 25       | 3            |           |                      | 7/1/2015      | 7           | \$26,175             |
| Supported Housing                             | Adult      | Tompkins                              | 0        | 10           |           |                      | 11/1/2014     | 21          | \$101,730            |
| SUBTOTAL:                                     |            |                                       | 289      | 88           |           |                      |               | 190         | \$739,796            |
| State Resources:                              |            |                                       | N/A      |              |           |                      |               |             |                      |
| Mobile Integration Team                       | Adults &   | Greater                               | IN/A     |              |           |                      |               |             |                      |
|   | Children   | Binghamton                            |          |              |           |                      |               |             |                      |
|   | ormaron    | Health Center                         |          |              |           |                      |               |             |                      |
|   |            | Service Area                          |          | 24 FTEs      |           |                      | 6/1/2014      | 3,649       | \$1,680,000          |
| Clinic Expansion                              | Adult      | Greater                               |          |              |           |                      |               | - /         | • / • • • • • • •    |
|   |            | Binghamton                            |          |              |           |                      |               |             |                      |
|   |            | Health Center                         |          |              |           |                      |               |             |                      |
|   |            | Service Area                          |          | 1.75 FTEs    |           |                      | 1/1/2015      | 422         | \$122,500            |
| OnTrack NY Expansion                          | Adult      | Southern Tier                         |          |              |           |                      |               |             |                      |
|   |            | Service Area                          |          | 3 FTE        |           |                      | 2/2/2017      | 41          | \$210,000            |
| SUBTOTAL:                                     |            |                                       |          |              |           |                      |               | 4,112       | \$2,012,500          |
| Aid to Localities:                            |            | Eastern                               |          |              |           |                      |               |             |                      |
| Aid to Localities:                            |            | Southern Tier                         |          |              |           |                      |               |             |                      |
|   |            | Service Area                          | N/A      | N/A          |           |                      |               |             |                      |
| Crisis Intervention Team (CIT)                | Adults &   | Broome                                | 11/73    | INA          |           |                      |               |             |                      |
|   | Children   | Dicomo                                |          |              |           |                      | 9/14/2015     | 6,557       | \$80,400             |
| Engagement & Transitional Support             | Adults &   | Chenango &                            |          |              |           |                      | 0/14/2010     | 0,001       | 400,100              |
| Services Program                              | Children   | Delaware                              |          |              |           |                      | 12/28/2015    | 704         | \$160,800            |
| Family Stabilization Program                  | Children   | Otsego                                |          |              |           |                      | 6/27/2016     | 125         | \$80,400             |
| Warm Line Program                             | Adult      | Tioga                                 |          |              |           |                      | 6/11/2016     | 60          | \$35,040             |
| Drop-In Center                                | Adult      | Tioga                                 |          |              |           |                      | 11/1/2015     | 123         | \$45,360             |
| Crisis Stabilization Team                     | Adult      | Broome                                |          |              |           |                      | 4/30/2018     | 592         | \$80,000             |
| Peer-In-Home Companion Respite                | Adult      | Broome                                |          |              |           |                      | 8/1/2017      | 543         | \$42,000             |
| Enhanced Outreach Services                    | Adults &   | Chenango                              |          | 1            |           |                      | 5, 1, 2011    | 0.0         | ÷.2,000              |
|   | Children   | , , , , , , , , , , , , , , , , , , , |          |              |           |                      | 8/1/2017      | 1,072       | \$80,000             |
| Enhanced Outreach Services                    | Adults &   | Delaware                              |          |              |           |                      |               |             |                      |
|   | Children   |                                       |          |              |           |                      | 8/1/2017      | 2,077       | \$80,000             |
| Enhanced Child & Family Support               | Children   | Otsego                                |          |              |           |                      |               |             |                      |
| Services                                      |            |                                       |          |              |           |                      | 9/1/2017      | N/A         | \$54,958             |
| System Monitoring Support                     | Adult &    | Otsego                                |          |              |           |                      | 0/1/00/17     |             | <b>*************</b> |
|   | Children   | <b>T</b>                              |          |              |           |                      | 9/1/2017      | N/A         | \$25,042             |
| Crisis/Respite Program Expansion <sup>1</sup> | Adult      | Tompkins                              |          | ļ            |           |                      | 1/1/2018      | 44          | \$190,921            |
| SUBTOTAL:                                     |            |                                       |          |              |           |                      |               | 11,897      | \$954,921            |
|   |            |                                       |          |              |           | State Resources - In | Development   |             | \$1,098,721          |
|   |            |                                       |          |              | 2         | biate Resources - In | Development:  |             | \$1,098,721          |
|   |            |                                       |          |              |           |                      |               |             |                      |

#### Notes:

1. Reinvestment funding \$50,921 previously allocated for Transitional Housing Program in Tompkins county on Table 3b was reallocated to a new Crisis/Respite Program Expansion in Tompkins county on Table 3a by combining with \$140,000 unallocated Aid to Localities funding on Table 3a.



|  |                      |                           |                   |                                      | Inve          | stment Plan Progress |                              |  |
|--|----------------------|---------------------------|-------------------|--------------------------------------|---------------|----------------------|------------------------------|--|
| Service                                    | Target<br>Population | County                    | Prior<br>Capacity | Reinvestment<br>Expansion<br>(units) | Status Update | Start Up Date        | New<br>Individuals<br>Served | Annualized<br>Reinvestmer<br>Amount (\$)     |
| HCBS Waiver                                | Children             | Seneca                    | 6                 | 3                                    | Claire Opaalo | 6/5/2014             | 9                            | \$78,879                                     |
| HCBS Waiver                                | Children             | Steuben                   | 12                | 3                                    |               | 6/5/2014             | 11                           | \$78,879                                     |
| HCBS Waiver                                | Children             | Wayne                     | 12                | 6                                    |               | 6/5/2014             | 8                            | \$157,758                                    |
| SUBTOTAL:                                  | Onidicit             | Wayne                     | 36                | 12                                   |               | 0,0,2011             | 28                           | \$315,516                                    |
|  |                      |                           |                   |                                      |               |                      |                              |  |
| Supported Housing                          | Adult                | Allegany                  | 35                | 2                                    |               | 11/1/2014            | 9                            | \$17,450                                     |
| Supported Housing                          | Adult                | Cattaraugus               | 0                 | 1                                    |               | 2/1/2015             | 1                            | \$8,725                                      |
| Supported Housing                          | Adult                | Chemung                   | 121               | 31                                   |               | 9/1/2014             | 62                           | \$276,055                                    |
| Supported Housing                          | Adult                | Ontario                   | 64                | 13                                   |               | 10/1/2014            | 31                           | \$118,417                                    |
| Supported Housing                          | Adult                | Schuyler                  | 6                 | 6                                    |               | 12/1/2015            | 6                            | \$52,350                                     |
| Supported Housing                          | Adult                | Seneca                    | 28                | 9                                    |               | 8/1/2014             | 24                           | \$80,145                                     |
| Supported Housing                          | Adult                | Steuben                   | 119               | 8                                    |               | 9/1/2014             | 18                           | \$69,800                                     |
| Supported Housing                          | Adult                | Tompkins                  | 64                | 4                                    |               | 9/1/2014             | 11                           | \$40,692                                     |
| Supported Housing                          | Adult                | Wayne                     | 70                | 4                                    |               | 10/1/2014            | 7                            | \$36,436                                     |
| Supported Housing                          | Adult                | Yates                     | 10                | 4                                    |               | 6/1/2015             | 6                            | \$35,620                                     |
| SUBTOTAL:                                  |                      |                           | 517               | 82                                   |               |                      | 175                          | \$735,690                                    |
| Cista Das suma sa                          |                      |                           | N1/A              |                                      |               |                      |                              |  |
| State Resources:                           |                      |                           | N/A               |                                      |               |                      |                              |  |
| Mobile Integration Team                    | Adults &<br>Children | Elmira PC<br>Service Area |                   | 14.35 FTEs                           |               | 6/1/2014             | 1,496                        | \$1,004,500                                  |
| Clinic Expansion                           | Adult                | Elmira PC                 |                   | 14.0011123                           |               | 0/1/2014             | 1,430                        | \$1,004,000                                  |
|  |                      | Service Area              |                   | 5.45 FTEs                            |               | 1/1/2015             | 34                           | \$381,500                                    |
| Crisis/respite Unit                        | Children             | Elmira PC                 |                   |                                      |               |                      |                              | <b>,</b> ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
|  |                      | Service Area              |                   | 12.5 FTEs                            |               | 4/16/2015            | 519                          | \$875,000                                    |
| Clinic Expansion                           | Children             | Elmira PC                 |                   |                                      |               |                      |                              | · · · · · · · · · · · · · · · · · · ·        |
|  |                      | Service Area              |                   | 1.5 FTEs                             |               | 9/1/2014             | N/A                          | \$105,000                                    |
| SUBTOTAL:                                  |                      |                           |                   |                                      |               | 0, 1, 2011           | 2,049                        | \$2,366,000                                  |
|  |                      |                           |                   |                                      |               |                      |                              |  |
| Aid to Localities:                         |                      | Western                   |                   |                                      |               |                      |                              |  |
|  |                      | Southern Tier/            |                   |                                      |               |                      |                              |  |
|  |                      | Finger Lakes              |                   |                                      |               |                      |                              |  |
|  |                      | Service Area              | N/A               | N/A                                  |               |                      |                              |  |
| Respite Services                           | Adult                | Western                   |                   |                                      |               | 3/1/2016             | 81                           | \$50,368                                     |
| Community Support Services                 | Adult                | Southern Tier/            |                   |                                      |               | 5/1/2016             | 665                          | \$61,947                                     |
| Family Support                             | Adult                | Finger Lakes              |                   |                                      |               | 3/7/2017             | 225                          | \$34,887                                     |
| Peer Training                              | Adult                | Service Area              |                   |                                      |               | 12/5/2015            | 463                          | \$10,538                                     |
| Mobile Psychiatric Supports <sup>1,2</sup> | Adults &             |                           |                   |                                      |               |                      |                              | A-1-5-                                       |
| -  | Children             |                           |                   |                                      |               | N/A                  | N/A                          | \$74,756                                     |
| Transitional Housing Program               | Adult                | Steuben                   |                   |                                      |               | 7/1/2015             | 88                           | \$101,842                                    |
| Transitional Housing Program               | Adult                | Yates                     |                   |                                      |               | 4/8/2016             | 51                           | \$50,921                                     |
| Home-Based Crisis Intervention             | Children             | Chemung                   |                   |                                      |               | 4/4/0040             | 40                           | #044.405                                     |
| Program Expansion<br>SUBTOTAL:             |                      |                           |                   | <u>├</u> ───                         |               | 1/1/2018             | 49<br><b>1.622</b>           | \$244,495<br>\$629,754                       |
| SUBIUIAL:                                  |                      |                           |                   | I                                    |               |                      | 1,022                        | <b>⊅0∠9,/5</b> 4                             |

Aid to Localities - In Development:

TOTAL: 3,874 \$4,413,609

\$104,613

Notes:

1. Aid to Localities funding previously allocated to Wayne County for Mobile Psychiatric Supports was reallocated to Seneca County, effective 7/1/19, to support Ontario, Seneca, Wayne and Yates counties.

2. \$108,000 in reinvestment funding allocated for a Residential Crisis/Respite program was reprogrammed, effective January 1, 2021, to support Mobile Psychiatric Supports in Seneca County (\$34,180) and additional Aid to Localities funding (\$73,820) within the Elmira Psychiatric Center catchment area, currently under development.



|                                     |                      |                                    | Table 3c: | St. Lawrence Ps           | vchiatric Center |                       |                           |                            |
|-------------------------------------|----------------------|------------------------------------|-----------|---------------------------|------------------|-----------------------|---------------------------|----------------------------|
|                                     |                      |                                    |           |                           |                  | estment Plan Progress | 5                         |                            |
|                                     | Target               |                                    | Prior     | Reinvestment<br>Expansion | Status Update    |                       | New Individuals<br>Served | Annualized<br>Reinvestment |
| Service                             | Population           | County                             | Capacity  | (units)                   |                  | 0/5/004.4             | 4.4                       | Amount (\$)                |
| HCBS Waiver                         | Children             | Essex                              | 12        | 6                         |                  | 6/5/2014              | 14                        | \$157,758                  |
| HCBS Waiver                         | Children             | St. Lawrence                       | 18        | 6                         |                  | 5/1/2014              | 24                        | \$157,758                  |
| SUBTOTAL:                           |                      |                                    | 30        | 12                        |                  |                       | 38                        | \$315,516                  |
| Supported Housing                   | A                    | Oliatan                            | 54        | 0                         |                  | 40/4/0044             | 05                        | \$66,712                   |
|                                     | Adult                | Clinton<br>Essex                   | 54<br>29  | 8                         |                  | 10/1/2014<br>3/1/2015 | 25<br>9                   | \$50,034                   |
| Supported Housing Supported Housing | Adult<br>Adult       | Franklin                           | 42        | 6<br>5                    |                  | 1/1/2015              | 10                        | \$40,685                   |
| Supported Housing                   | Adult                | Jefferson                          | 42<br>57  | 9                         |                  | 1/1/2015              | 10                        | \$40,665                   |
| Supported Housing                   | Adult                | Lewis                              | 57        | 2                         |                  | 2/1/2014              | 5                         | \$16,274                   |
| Supported Housing                   | Adult                | St. Lawrence                       | 73        | 25                        |                  | 1/1/2015              | 50                        | \$203,425                  |
| SUBTOTAL:                           | Adult                | St. Lawrence                       | 306       | 25<br>55                  |                  | 1/1/2015              | 116                       | \$203,425<br>\$459,480     |
| SUBTOTAL:                           |                      |                                    | 306       | 55                        |                  |                       | 110                       | \$459,480                  |
| State Resources:                    |                      |                                    | N/A       |                           |                  |                       |                           |                            |
| Mobile Integration Team             | Adults &<br>Children | St. Lawrence<br>PC Service<br>Area |           | 21 FTEs                   |                  | 6/6/2014              | 2,358                     | \$1,470,000                |
| Clinic expansion                    | Children             | Jefferson                          |           | 6.5 FTEs                  |                  | 9/8/2015              | 156                       | \$455.000                  |
| Crisis/respite Unit <sup>1</sup>    | Children             | St. Lawrence<br>PC Service<br>Area |           | 11.5 FTEs                 |                  | 10/1/2016             | 271                       | \$811,160                  |
| SUBTOTAL:                           |                      |                                    |           |                           |                  |                       | 2,785                     | \$2,736,160                |
|                                     |                      | 1                                  |           |                           |                  |                       |                           |                            |
| Aid to Localities:                  |                      | St. Lawrence<br>PC Service<br>Area | N/A       | N/A                       |                  |                       |                           |                            |
| Outreach Services Program           | Adult                | Clinton                            |           |                           |                  | 2/1/2015              | 161                       | \$46,833                   |
| Mobile Crisis Program               | Adult                | Essex                              |           |                           |                  | 4/28/2015             | 496                       | \$23,417                   |
| Community Support Program           | Adults &<br>Children | Essex                              |           |                           |                  | 3/1/2015              | 485                       | \$23,416                   |
| Mobile Crisis Program               | Adults &<br>Children | St. Lawrence                       |           |                           |                  | 7/1/2015              | 762                       | \$46,833                   |
| Support Services Program            | Adult                | Franklin                           |           |                           |                  | 3/15/2015             | 48                        | \$12,278                   |
| Self Help Program                   | Adult                | Franklin                           |           |                           |                  | 3/15/2015             | 156                       | \$12,277                   |
| Outreach Services Program           | Adults &<br>Children | Franklin                           |           |                           |                  | 3/15/2015             | 972                       | \$12,278                   |
| Crisis Intervention Program         | Adults &<br>Children | Franklin                           |           |                           |                  | 6/1/2015              | 83                        | \$10,000                   |
| Outreach Services Program           | Adults &<br>Children | Lewis                              |           |                           |                  | 1/4/2016              | 422                       | \$46,833                   |
| Outreach Services Program           | Adult                | Jefferson                          |           |                           |                  | 9/28/2015             | 3,050                     | \$46,833                   |
| Non-Medicaid Care Coordination      | Children             | Jefferson                          |           |                           |                  | 9/1/2017              | 244                       | \$200,000                  |
| Child & Family Support Team         | Children             | St. Lawrence                       |           | 1 1                       |                  | 2/12/2018             | 147                       | \$200,000                  |
| Therapeutic Crisis Respite Program  | Children             | Jefferson                          |           |                           |                  | 12/18/2018            | 169                       | \$650,000                  |
| SUBTOTAL:                           |                      |                                    |           |                           |                  | .2, .3, 2010          | 7,195                     | \$1,330,998                |

TOTAL: 10,134 \$4,842,154



|                                     |                      |                     |          |              | Inves                                   | tment Plan Prog | gress                     |             |
|-------------------------------------|----------------------|---------------------|----------|--------------|---|-----------------|---------------------------|-------------|
|                                     |                      |                     |          | Reinvestment |   |                 |                           | Annualized  |
|                                     | Target               |                     | Prior    | Expansion    |   |                 | New Individuals           | Reinvestmen |
| Service                             | Population           | County              | Capacity | (units)      | Status Update                           | Start Up Date   | Served                    | Amount (\$) |
| HCBS Waiver                         | Children             | Nassau              | 90       | 24           |   | 10/1/2013       | 89                        | \$661,440   |
| HCBS Waiver                         | Children             | Suffolk             | 102      | 30           |   | 5/6/2014        | 81                        | \$826,800   |
| SUBTOTAL:                           |                      |                     | 192      | 54           |   |                 | 170                       | \$1,488,240 |
| State Resources:                    |                      |                     | N/A      |              |   |                 |                           |             |
| Family Court Evaluation             | Children             | Long Island         |          | 1 FTE        |   | 4/1/2014        | N/A                       | \$70,000    |
| Mobile Crisis                       | Children             | Suffolk             |          | 1 FTE        |   | 7/1/2014        | 1,039                     | \$70,000    |
| Mobile Integration Team             | Children             | Nassau &<br>Suffolk |          | 10 FTEs      |   | 11/30/2014      | 294                       | \$700,000   |
| Clinic Expansion <sup>1</sup>       | Children             | Nassau &<br>Suffolk |          | 5 FTEs       |   | 3/21/2016       | 71                        | \$350,000   |
| Crisis/respite Unit                 | Children             | Nassau &<br>Suffolk |          | 9 FTEs       |   | 3/9/2015        | 440                       | \$630,000   |
| SUBTOTAL:                           |                      | GUITOIR             |          | 31123        |   | 3/3/2013        | 1,844                     | \$1,820,000 |
| Aid to Localities:                  |                      | Long Island         | N/A      | N/A          |   |                 |                           |             |
| 6 Non-Medicaid Care<br>Coordinators | Children             | Suffolk             |          |              |   | 4/1/2016        | 197                       | \$526,572   |
| 1.5 Intensive Case Managers         | Children             | Suffolk             |          |              | State Aid & State Share of<br>Medicaid* | 4/1/2016        | 12                        | \$81,299    |
| Non-Medicaid Case<br>Management     | Children             | Nassau              |          |              |   | 1/1/2019        | 27                        | \$85,000    |
| Mobile Crisis Team <sup>2</sup>     | Adults &<br>Children | Nassau              |          |              |   | 8/1/2018        | See Table 3n <sup>2</sup> | \$225,700   |
| SUBTOTAL:                           |                      |                     |          |              |   |                 | 236                       | \$918,571   |
|                                     |                      |                     |          |              | Aid to Localities - In                  | Development:    |                           | \$280,000   |
|                                     |                      |                     |          |              |   | TOTAL:          | 2,250                     | \$4,506,811 |

\* Gross Medicaid projected \$100,690

Notes:

1. A portion of previously allocated and unused clinic FTEs have been reprogrammed for future planning.

2. The Mobile Crisis Team in Nassau County is funded by Long Island Art. 28 reinvestment funding and Sagamore PC Aid to Localities funding. The number of newly served individuals is only reflected on Table 3n, so as not to duplicate the number of individuals served.



|  |                      |                     |          |              | Inv                        | estment Plan Pr | ogress                    |                         |
|--|----------------------|---------------------|----------|--------------|----------------------------|-----------------|---------------------------|-------------------------|
|  |                      |                     |          | Reinvestment |                            |                 | Ŭ                         | Annualized              |
|  | Target               |                     | Prior    | Expansion    |                            |                 | New Individuals           | Reinvestment            |
| Service  | Population           | County              | Capacity | (units)      | Status Update              | Start Up Date   | Served                    | Amount (\$)             |
| Supported Housing                                    | Adult                | Nassau              | 885      | 83           |                            | 3/1/2015        | 86                        | \$1,422,786             |
| Supported Housing                                    | Adult                | Suffolk             | 1,360    | 125          |                            | 12/1/2014       | 184                       | \$2,142,750             |
| SUBTOTAL:  |                      |                     | 2,245    | 208          |                            |                 | 270                       | \$3,565,536             |
| State Resources:                                     |                      |                     | N/A      |              |                            |                 |                           |                         |
| Clinic Expansion                                     | Adult                | Nassau &            |          | E ETE e      |                            | 11/20/2015      | 02                        | ¢250.000                |
| Mabila lata matian Tanan                             | ابراد ال             | Suffolk             |          | 5 FTEs       |                            | 11/20/2015      | 93                        | \$350,000               |
| Mobile Integration Team                              | Adult                | Nassau &<br>Suffolk |          | 20 FTEs      |                            | 1/11/2016       | 2,036                     | \$1,400,000             |
| SUBTOTAL:  |                      |                     |          |              |                            |                 | 2,129                     | \$1,750,000             |
|  |                      | l an e lalan d      | N/A      | N/A          |                            |                 |                           |                         |
| Aid to Localities:                                   | A 1 1/               | Long Island         | IN/A     | IN/A         | 0:                         |                 |                           |                         |
| 2 Assertive Community<br>Treatment teams*            | Adult                | Nassau              |          | 100          | State Aid & State Share of | 0/4/0045        | 050                       | ¢4 450 000              |
|  | A                    | Suffolk             |          | 136          | Medicaid*                  | 3/1/2015        | 253                       | \$1,158,299             |
| (3) Mobile Residential                               | Adult                | SUTTOIK             |          |              |                            | 0/4/004E        | 4 5 4 0                   | ¢1 022 026              |
| Support Teams<br>Hospital Alternative Respite        | Adult                | Suffolk             |          |              |                            | 8/1/2015        | 4,540                     | \$1,033,926             |
|  | Aduit                | SUIIOK              |          |              |                            | 7/6/2016        | 200                       | \$532,590               |
| Program <sup>5</sup>                                 | A                    | Suffolk             |          |              |                            | 4/15/2016       | 280<br>692                | \$250,000               |
| Recovery Center<br>Mobile Crisis Team                | Adult                |                     |          |              |                            | 4/15/2016       | 092                       | Ψ230,000                |
|  | Adults &<br>Children | Nassau &<br>Suffolk |          |              |                            | 7/1/2016        | See Table 3n <sup>1</sup> | \$503,812               |
| Expansion - Long Stay Team <sup>1</sup>              |                      |                     |          |              |                            | 1/1/2019        |                           | \$804,440               |
| Crisis Stabilization Center                          | Adult                | Suffolk             |          | ├            |                            | 1/1/2019        | 8,358                     | <b>ФО</b> 04,440        |
| Client Financial Management<br>Services <sup>2</sup> | Adult                | Nassau              |          |              |                            | 1/1/2019        | 25                        | \$85,000                |
| Mobile Crisis Team <sup>2</sup> , <sup>4</sup>       | Adults &<br>Children | Nassau              |          |              |                            | 8/1/2018        | See Table 3n <sup>4</sup> | \$225,700               |
| SUBTOTAL:  | Children             |                     |          | + +          |                            | 0/1/2010        | 14,148                    | \$4,593,767             |
| SUBIUTAL.  |                      | I                   | 1        |              |                            |                 | 14,140                    | φ <del>4</del> ,333,707 |

\* Gross Medicaid projected \$1,827,048; State Share adjusted to reflect current model

#### Notes:

1. The Mobile Crisis Team expansion in Nassau and Suffolk Counties is funded by Long Island Art. 28 reinvestment funding and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on Table 3n, so as not to duplicate the number of individuals served.

2. Previously undeveloped State FTE resources converted to support new local Mobile Crisis and Client Financial Management programming. Additional unallocated resources shifted to Table 3h.

TOTAL:

16,547

\$10,053,463

3. State Resources funding – In Development \$70,000 previously allocated to NYC PC on Table 3h was reallocated to Pilgrim PC on Table 3e by combining with \$74,160 Aid to Localities funding- In Development on Table 3e.

4. The Mobile Crisis Team in Nassau County is funded by Long Island Art. 28 reinvestment funding and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on Table 3n, so as not to duplicate the number of individuals served.

5. Pilgrim PC Aid to Localities reinvestment funding for Hospital Alternative respite program on Table 3e is blended with Long Island Article 28 reinvestment funding for Peer Outreach program on Table 3n. The number of newly served individuals is only reported on Table 3e, to prevent duplication in the number of people served.



|                               |                      |              |          |              |               | nvestment Plan Proc | gress           |                  |
|-------------------------------|----------------------|--------------|----------|--------------|---------------|---------------------|-----------------|------------------|
|                               |                      |              |          | Reinvestment |               |                     |                 | Annualized       |
|                               | Target               |              | Prior    | Expansion    |               |                     | New Individuals | Reinvestmen      |
| Service                       | Population           | County       | Capacity | (units)      | Status Update | Start Up Date       | Served          | Amount (\$)      |
| HCBS Waiver                   | Children             | Allegany     | 0        | 6            |               | 6/5/2014            | 18              | \$157,758        |
| HCBS Waiver                   | Children             | Cattaraugus  | 12       | 6            |               | 11/1/2013           | 19              | \$157,758        |
| HCBS Waiver                   | Children             | Chautauqua   | 6        | 6            |               | 6/5/2014            | 26              | \$157,758        |
| HCBS Waiver                   | Children             | Erie         | 78       | 6            |               | 4/1/2014            | 28              | \$157,758        |
| SUBTOTAL:                     |                      |              | 96       | 24           |               |                     | 91              | \$631,032        |
| Supported Housing             | Adult                | Cattaraugus  | 104      | 12           |               | 7/1/2014            | 32              | \$104,700        |
| Supported Housing             | Adult                | Chautauqua   | 86       | 12           |               | 8/1/2014            | 22              | \$104,700        |
| Supported Housing             | Adult                | Erie         | 863      | 66           |               | 8/1/2014            | 136             | \$587,730        |
| Supported Housing             | Adult                | Niagara      | 143      | 22           |               | 9/1/2014            | 33              | \$195,910        |
| SUBTOTAL:                     |                      |              | 1,196    | 112          |               |                     | 223             | \$993,040        |
| State Resources:              |                      |              | N/A      |              |               |                     |                 |                  |
| Mobile Integration Team       | Children             | Western NY   |          |              |               |                     |                 |                  |
|                               |                      | CPC Service  |          | 10 575       |               | 10/10/0011          |                 | <b>A</b> 700.000 |
| <u> </u>                      | <u></u>              | Area         |          | 10 FTEs      |               | 12/19/2014          | 1,177           | \$700,000        |
| Clinic Expansion              | Children             | Western NY   |          |              |               |                     |                 |                  |
|                               |                      | CPC Service  |          | 4 575        |               | 0/5/0045            | 101             | <b>*</b> ~~~~~~~ |
|                               | <u></u>              | Area         |          | 4 FTEs       |               | 2/5/2015            | 131             | \$280,000        |
| Mobile Mental Health Juvenile | Children             | Western NY   |          |              |               |                     |                 |                  |
| Justice Team                  |                      | CPC Service  |          | 4 575        |               | 40/4/0045           | 40              | ¢70.000          |
|                               |                      | Area         |          | 1 FTE        |               | 12/1/2015           | 40              | \$70,000         |
| Mobile Integration Team       | Adult                | Buffalo PC   |          | 7 575        |               | 4/40/0040           | 707             | <b>*</b> 400.000 |
|                               |                      | Service Area |          | 7 FTEs       |               | 1/12/2016           | 707             | \$490,000        |
| SUBTOTAL:                     |                      | -            |          |              |               |                     | 2,055           | \$1,540,000      |
| Aid to Localities:            |                      |              |          |              |               |                     |                 |                  |
| Peer Crisis Respite Center    | Adult                | Chautauqua   |          |              |               |                     |                 |                  |
| (including Warm Line)         |                      | and          |          |              |               |                     |                 |                  |
|                               |                      | Cattaraugus  |          |              |               | 11/18/2015          | 272             | \$315,000        |
| Mobile Transitional Support   | Adult                | Chautauqua   |          |              |               |                     |                 | ,                |
| Teams (2)                     |                      | and          |          |              |               |                     |                 |                  |
|                               |                      | Cattaraugus  |          |              |               | 1/1/2015            | 1,100           | \$234,000        |
| Peer Crisis Respite Center    | Adult                | Erie         |          |              |               | 1/ 1/2013           | 1,100           | ψ234,000         |
| (including Warm Line)         | Adult                | LIIC         |          |              |               | 1/26/2015           | 905             | \$353,424        |
| Mobile Transitional Support   | Adult                | Erie         | 1        |              |               | 1/20/2010           | 000             | φ000, 12 T       |
| Teams (3)                     | Addit                | LIIC         |          |              |               | 1/26/2015           | 811             | \$431,000        |
| Crisis Intervention Team      | Adults &<br>Children | Erie         |          |              |               | 1/1/2015            | 1,642           | \$191,318        |
| Peer Crisis Respite Center    | Adult                | Niagara      |          |              |               |                     | .,              | <i></i>          |
| (including Warm Line)         |                      |              |          |              |               | 12/1/2014           | 1,573           | \$256,258        |
| Mobile Transitional Support   | Adult                | Niagara      |          |              |               |                     |                 |                  |
| Team                          |                      |              |          |              |               | 1/20/2015           | 340             | \$117,000        |
| Community Integration Team -  | Adult                | Erie         |          |              |               |                     |                 |                  |
| Long Stay Team                |                      | <u> </u>     |          |              |               | 10/27/2016          | 145             | \$350,000        |
| Diversion Program             | Adult                | Erie         |          |              |               | 1/12/2018           | 260             | \$424,712        |
| Reintegration Enhanced        | Adult                | Erie         |          |              |               |                     |                 |                  |
| Support Program               | Adult                | LUE          |          |              |               | 1/1/2019            | 84              | \$316,805        |
| SUBTOTAL:                     |                      |              |          | r i i        |               |                     | 7,132           | \$2,989,517      |

TOTAL: 9,501 \$6,153,589



|  |                      |  | Table 3g          | Rochester Psy                        | chiatric Center                         |                          |                           |   |  |  |
|--|----------------------|--|-------------------|--------------------------------------|---|--------------------------|---------------------------|---|--|--|
|  |                      |  |                   | <b>,</b>                             |   | Investment Plan Progress |                           |   |  |  |
| Service                                    | Target<br>Population | County                                   | Prior<br>Capacity | Reinvestment<br>Expansion<br>(units) | Status Update                           | Start Up Date            | New Individuals<br>Served | Annualized<br>Reinvestment<br>Amount (\$) |  |  |
| Supported Housing                          | Adult                | Genesee                                  | 45                | 2                                    | T                                       | 1/1/2016                 | 5                         | \$17,810                                  |  |  |
| Supported Housing                          | Adult                | Livingston                               | 38                | 2                                    |   | 2/1/2015                 | 4                         | \$18,218                                  |  |  |
| Supported Housing                          | Adult                | Monroe                                   | 427               | 103                                  |   | 10/1/2014                | 213                       | \$938,227                                 |  |  |
| Supported Housing                          | Adult                | Orleans                                  | 25                | 6                                    |   | 7/1/2015                 | 13                        | \$54,654                                  |  |  |
| Supported Housing                          | Adult                | Wavne                                    | 0                 | 6                                    |   | 12/1/2014                | 9                         | \$54.654                                  |  |  |
| Supported Housing                          | Adult                | Wyoming                                  | 20                | 6                                    |   | 11/1/2014                | 14                        | \$52,350                                  |  |  |
| SUBTOTAL:                                  |                      |  | 555               | 125                                  |   |                          | 258                       | \$1,135,913                               |  |  |
| State Resources:                           |                      |  | N/A               |                                      |   |                          |                           |   |  |  |
| Mobile Integration Team                    | Adult                | Rochester PC<br>Service Area             |                   | 24 FTEs                              |   | 10/30/2014               | 1,407                     | \$1,680,000                               |  |  |
| OnTrackNY Expansion                        | Adult                | Rochester PC<br>Service Area             |                   | 24 FTES                              |   | 3/21/2016                | 69                        | \$1,680,000                               |  |  |
| Clinic Expansion                           | Adult                | Rochester PC<br>Service Area             |                   | 4 FTEs                               |   | 1/1/2015                 | 104                       | \$280,000                                 |  |  |
| SUBTOTAL:                                  |                      | Octvice Area                             |                   |                                      |   | 1/ 1/2010                | 1,580                     | \$2,145,440                               |  |  |
| Aid to Localities:                         |                      | Rochester PC<br>Service Area             | N/A               | N/A                                  |   |                          |                           |   |  |  |
| Peer Bridger Program                       | Adult                | Genesee &<br>Orleans                     |                   |                                      |   | 6/4/2015                 | 51                        | \$30,468                                  |  |  |
| Community Support Team                     | Adult                | Rochester PC<br>Service Area             |                   |                                      |   | 3/1/2015                 | 199                       | \$500,758                                 |  |  |
| Peer Bridger Program                       | Adult                | Livingston<br>Monroe<br>Wayne<br>Wyoming |                   |                                      |   | 2/1/2015                 | 197                       | \$262,032                                 |  |  |
| Crisis Transitional Housing                | Adult                | Livingston                               |                   |                                      |   | 2/15/2015                | 68                        | \$112,500                                 |  |  |
| Crisis Transitional Housing                | Adult                | Orleans                                  |                   |                                      |   | 7/30/2015                | 82                        | \$112,500                                 |  |  |
| Crisis Transitional Housing                | Adult                | Wayne                                    |                   |                                      |   | 4/8/2015                 | 84                        | \$112,500                                 |  |  |
| Crisis Transitional Housing                | Adult                | Wyoming                                  |                   |                                      |   | 2/28/2015                | 96                        | \$112,500                                 |  |  |
| Peer Run Respite Diversion                 | Adult                | Monroe                                   |                   |                                      |   | 5/7/2015                 | 1,274                     | \$500,000                                 |  |  |
| Assertive Community<br>Treatment Team      | Adult                | Monroe                                   |                   | 48                                   | State Aid & State Share of<br>Medicaid* | 7/1/2015                 | 90                        | \$390,388                                 |  |  |
| Assertive Community<br>Treatment Team      | Adult                | Monroe                                   |                   | 48                                   | State Aid & State Share of<br>Medicaid* | 1/15/2016                | 132                       | \$390,388                                 |  |  |
| Peer Support <sup>1</sup>                  | Adult                | Monroe                                   |                   |                                      |   |                          |                           | \$30,006                                  |  |  |
| Enhanced Recovery Supports                 | Adult                | Wyoming                                  |                   |                                      |   | 9/1/2014                 | 366                       | \$51,836                                  |  |  |
| Recovery Center                            | Adult                | Genesee &<br>Orleans                     |                   |                                      |   | 5/7/2015                 | 341                       | \$217,124                                 |  |  |
| Community Support Team -<br>Long Stay Team | Adult                | Monroe                                   |                   |                                      |   | 5/1/2016                 | 109                       | \$350,000                                 |  |  |
| SUBTOTAL:                                  |                      |  |                   |                                      |   |                          | 3,089                     | \$3,173,000                               |  |  |

\*Gross Medicaid projected \$621,528 per ACT Team (\$1,243,056)

Notes:

1. Peer support is an enhancement of the ACT model, and individuals served by the ACT Team also receive peer support.



TOTAL:

4,927

\$6,454,353

|                                |            | Та       | ble 3h: Ne | ew York City Psy | chiatric Centers |                     |                 |              |
|--------------------------------|------------|----------|------------|------------------|------------------|---------------------|-----------------|--------------|
|                                |            |          |            |                  |                  | nvestment Plan Prog | gress           |              |
|                                |            |          |            | Reinvestment     |                  |                     |                 | Annualized   |
|                                | Target     |          | Prior      | Expansion        |                  |                     | New Individuals | Reinvestment |
| Service                        | Population | County   | Capacity   | (units)          | Status Update    | Start Up Date       | Served          | Amount (\$)  |
| HCBS Waiver                    | Children   | Bronx    | 144        | 33               |                  | 10/1/2013           | 57              | \$916,566    |
| HCBS Waiver                    | Children   | Kings    | 180        | 12               |                  | 1/1/2014            | 53              | \$332,745    |
| HCBS Waiver                    | Children   | New York | 132        | 6                |                  | 6/1/2015            | 15              | \$167,385    |
| HCBS Waiver                    | Children   | Queens   | 108        | 12               |                  | 10/1/2013           | 20              | \$332,745    |
| SUBTOTAL:                      |            |          | 564        | 63               |                  |                     | 145             | \$1,749,440  |
| Supported Housing              | Adult      | Bronx    | 2.120      | 70               |                  | 5/1/2015            | 96              | \$1,218,350  |
| Supported Housing              | Adult      | Kings    | 2,698      | 60               |                  | 7/1/2016            | 65              | \$1,044,300  |
| Supported Housing              | Adult      | New York | 1,579      | 104              |                  | 3/1/2015            | 159             | \$1,810,120  |
| Supported Housing              | Adult      | Queens   | 1,887      | 70               |                  | 12/1/2016           | 46              | \$1,218,350  |
| Supported Housing              | Adult      | Richmond | 492        | 60               |                  | 4/1/2016            | 62              | \$1,044,300  |
| SUBTOTAL:                      |            |          | 8,776      | 364              |                  |                     | 428             | \$6,335,420  |
| State Resources:               |            |          | N/A        |                  |                  |                     |                 |              |
| Mobile Integration Team        | Adult      | Queens   |            | 7 FTEs           |                  | 3/21/2016           | 285             | \$490.000    |
| Mobile Integration Team        | Adult      | New York |            | 7 FTEs           |                  | 12/23/2016          | 328             | \$490,000    |
| Mobile Integration Team        | Children   | Bronx    |            |                  |                  |                     |                 |              |
| 5                              |            | Kings    |            |                  |                  |                     |                 |              |
|                                |            | Queens   |            | 7 FTEs           |                  | 1/1/2017            | 647             | \$490,000    |
| SUBTOTAL:                      |            |          |            |                  |                  |                     | 1,260           | \$1,470,000  |
| Aid to Localities:             |            |          |            |                  |                  |                     |                 |              |
| Respite Capacity Expansion     | Adult      | NYC      | N/A        | N/A              |                  | 7/1/2015            | 2,762           | \$2,884,275  |
| Pathway Home Program           | Adult      | NYC      |            |                  |                  | 4/1/2016            | 1,389           | \$3,546,663  |
| Crisis Pilot Program (3 Year)  | Adult      | NYC      |            |                  |                  | 9/1/2016            | 2,882           | \$462,760    |
| Hospital Based Care Transition | Adult      | NYC      |            | 1                |                  |                     |                 |              |
| Team                           |            |          |            |                  |                  | 4/1/2017            | 211             | \$537,240    |
| SUBTOTAL:                      |            |          |            |                  |                  |                     | 7,244           | \$7,430,938  |

State Resources - In Development<sup>1</sup>:

\$1,120,000

TOTAL: 9,077 \$18,105,798

Notes:

1. State Resources funding - In Development \$70,000 previously allocated to NYC PC on Table 3h was reallocated to Pilgrim PC on Table 3e by combining with \$74,160 Aid to Localities funding- In Development on Table 3e.



|   |                      | Table SI. Ru                   | ckianu a | nu Capital Dis         | strict Psychiatric Centers | otmont Plan Pro         | 1000                      |                        |
|---|----------------------|--------------------------------|----------|------------------------|----------------------------|-------------------------|---------------------------|------------------------|
|   |                      |                                |          | Reinvestment           | Inve                       | estment Plan Proc       |                           | Annualized             |
|   | Target               |                                | Prior    | Expansion              |                            |                         | New Individuals           | Reinvestmer            |
| Service   | Population           | County                         | Capacity | (units)                | Status Update              | Start Up Date           | Served                    | Amount (\$)            |
| HCBS Waiver   | Children             | Orange                         | 21       | 6                      | ·                          | 11/1/2013               | 31                        | \$157,758              |
| HCBS Waiver   | Children             | Rockland                       | 24       | 6                      |                            | 6/5/2014                | 17                        | \$165,360              |
| SUBTOTAL:   |                      |                                | 45       | 12                     |                            |                         | 48                        | \$323,118              |
| Supported Housing                                       | Adult                | Dutchess                       | 229      | 20                     |                            | 12/1/2014               | 29                        | \$273,220              |
| Supported Housing                                       | Adult                | Orange                         | 262      | 36                     |                            | 10/1/2014               | 58                        | \$491,796              |
| Supported Housing                                       | Adult                | Putnam                         | 67       | 4                      |                            | 5/1/2015                | 10                        | \$60,936               |
| Supported Housing                                       | Adult                | Rockland                       | 173      | 19                     |                            | 7/1/2014                | 27                        | \$300,143              |
| Supported Housing                                       | Adult                | Sullivan                       | 61       | 10                     |                            | 11/1/2014               | 12                        | \$98,540               |
| Supported Housing                                       | Adult                | Ulster                         | 142      | 28                     |                            | 1/1/2015                | 40                        | \$297,416              |
| Supported Housing                                       | Adult                | Westchester                    | 907      | 28                     |                            | 4/1/2015                | 31                        | \$481,488              |
| Supported Housing                                       | Adult                | Albany                         | 276      | 11                     |                            | 3/1/2017                | 11                        | \$110,649              |
| Supported Housing                                       | Adult                | Columbia                       | 39       | 8                      |                            | 1/1/2017                | 11                        | \$80,472               |
| Supported Housing                                       | Adult                | Greene                         | 35       | 9                      |                            | 3/1/2015                | See Table 3m <sup>1</sup> | \$90,531               |
| Supported Housing                                       | Adult                | Rensselaer                     | 125      | 10                     |                            | 6/1/2017                | 9                         | \$100,590              |
| Supported Housing                                       | Adult                | Saratoga                       | 50       | 6                      |                            |                         | 7                         | \$60,354               |
| Supported Housing                                       | Adult                | Schenectady                    | 153      | 3                      |                            | 10/1/2015               | See Table 3m <sup>1</sup> | \$30,177               |
| Supported Housing                                       | Adult                | Schoharie                      | 31       | 8                      |                            | 2/1/2017                | 14                        | \$80,472               |
| Supported Housing                                       | Adult                | Warren &<br>Washington         | 54       | 8                      |                            | 11/1/2017               | 19                        | \$78,832               |
| SUBTOTAL:   |                      | washington                     | 2,604    | 208                    |                            | 11/1/2017               | 278                       | \$2,635,616            |
|   |                      |                                |          |                        |                            |                         |                           |                        |
| State Resources:  |                      | D 11 1 D 0                     |          |                        |                            |                         |                           |                        |
| Mobile Integration Team                                 | Adult                | Rockland PC                    |          | 4 575                  |                            | 0/0/0047                |                           | <b>\$000</b> 000       |
| Mahila late exetien Team                                | A                    | Service Area                   |          | 4 FTEs                 |                            | 2/2/2017                | 91                        | \$280,000              |
| Mobile Integration Team                                 | Adult                | Capital District<br>PC Service |          |                        |                            |                         |                           |                        |
|   |                      | Area                           |          | 6 ETEC                 |                            | 10/1/2016               | 124                       | \$420.000              |
| SUBTOTAL:   |                      | Alea                           |          | 6 FTEs                 |                            | 10/1/2016               | 124<br>215                | \$420,000<br>\$700.000 |
| SUBIUTAL.   |                      |                                |          |                        |                            |                         | 215                       | \$700,000              |
| Aid to Localities:                                      |                      | Rockland PC                    |          |                        |                            |                         |                           |                        |
|   |                      | Service Area                   | N/A      | N/A                    |                            |                         |                           |                        |
| Hospital Diversion/Crisis Respite                       | Adult                | Dutchess                       |          |                        |                            | 2/12/2015               | 243                       | \$200,000              |
| Outreach Services                                       | Adult                | Orange                         |          |                        |                            | 12/1/2014               | 94                        | \$36,924               |
| Outreach Services                                       | Children             | Orange                         |          |                        |                            | 10/1/2014               | 612                       | \$85,720               |
| Advocacy/Support Services                               | Adult                | Putnam                         |          |                        |                            | 9/28/2015               | 33                        | \$23,000               |
| Self-Help Program                                       | Adult                | Putnam                         |          |                        |                            | 2/1/2015                | 98                        | \$215,000              |
| Mobile Crisis Intervention Program <sup>2</sup>         | Adults &<br>Children | Rockland                       |          |                        |                            | 3/31/2015               | 2 407                     | \$449,668              |
| Hospital Diversion/ Transition                          | Adults &             | Sullivan                       |          |                        |                            | 5/51/2015               | 2,407                     | Ψ <del>ΨΨ</del> ϑ,000  |
| Program <sup>2</sup>                                    | Children             | Sullivan                       |          |                        |                            | 11/24/2014              | 2,615                     | \$225,000              |
| Mobile Crisis Services <sup>2</sup>                     | Adults &             | Ulster                         |          |                        |                            |                         |                           |                        |
| Accepting Community Transform                           | Children             | Lillatan                       |          | <b>├</b> ──── <b>│</b> | State Aid & State Obarrad  | 2/9/2015                | 5,680                     | \$400,000              |
| Assertive Community Treatment                           | Adult                | Ulster                         |          | 20                     | State Aid & State Share of | 10/1/0011               | 140                       | ¢100.010               |
| Team Expansion  | الدام ۸              | Wootobootor                    |          | 20                     | Medicaid:                  | 12/1/2014<br>4/1/2015   | 110<br>128                | \$100,616              |
| Outreach Services<br>Crisis Intervention/ Mobile Mental | Adult                | Westchester                    |          | ├                      |                            | 4/1/2015                | IZð                       | \$267,328              |
| Crisis Intervention/ Mobile Mental<br>Health Team       | Children             | Westchester                    |          |                        |                            | 11/1/2014               | 265                       | \$174,052              |
| Family Engagement & Support                             | Adults &             | Rockland                       |          |                        |                            |                         |                           |                        |
| Services Program  | Children             |                                |          |                        |                            | 1/1/2017                | 797                       | \$95,000               |
| Outreach Team - Long Stay Team                          | Adult                | Albany                         |          |                        |                            | 9/6/2016                | 43                        | \$230,000              |
|   |                      | Schenectady                    |          |                        |                            | 9/9/2016                | 28                        | \$200,000              |
|   |                      | Dutchess                       |          | <b>├</b> ────┤         |                            | 12/12/2016              | 38                        | \$225,000              |
|   |                      | Orange                         |          | <b>├</b> ───┤          |                            | 9/14/2016               | 34                        | \$225,000              |
|   |                      | Rockland                       |          | ├                      |                            | 8/17/2016               | 31                        | \$225,000              |
| Dessite Consisse Day and                                | Ohildara             | Westchester                    |          |                        |                            | 10/4/2016               | 14                        | \$225,000              |
| Respite Services Program                                | Children             | Dutchess                       |          |                        |                            | 7/27/2017               | 66                        | \$275,000<br>\$189,048 |
| Homo Boood Crisis Intervention                          | Children             | Westchester                    |          | ┝────┤                 |                            | 9/19/2017               | 132<br>97                 | . ,                    |
| Home Based Crisis Intervention<br>Services              | Children             | Orange<br>Rockland             |          | <u> </u>               |                            | 9/18/2017<br>10/23/2017 | 97<br>91                  | \$100,000<br>\$160,000 |
| JEI VILES   |                      | Sullivan                       |          | ├                      |                            | 2/28/2017               | 91<br>72                  | \$160,000              |
|   |                      | Ulster                         |          | ├                      |                            | 10/2/2018               | 92                        | \$100,000              |
| Family Support Services                                 | Children             | Westchester                    |          | <u>├</u>               |                            | 10/2/2017               | 125                       | \$149,784              |
| anny ouppoir ocivices                                   | OUNDED               | ** 03101103101                 |          |                        |                            | 10/1/2017               | 120                       | ψ1-10,70 <del>4</del>  |
| SUBTOTAL:   |                      |                                |          |                        |                            |                         | 13,945                    | \$4,658,116            |

\* Gross Medicaid projected \$229,156

#### Notes:

1. Greene and Schenectady Counties currently receive Stony-Lodge Rye Article 28 funding for supported housing, and utilization is reported on Table 3m. Additional supported housing units were awarded to these counties through Rockland PC Aid to Localities. All utilization will continue to be reported on the Table 3m to prevent duplication.

2. Mobile Crisis programs in Rockland, Sullivan and Ulster Counties are funded by the Rockland PC Aid to Localities funding and Stony-Lodge Rye Article 28 funding. The number of newly served individuals is only reflected on the Rockland PC table so as not to duplicate the number of individuals served.



\$9,391,042

TOTAL:

14,486

|                              |            |              | Table 3  | : Hutchings Ps | sychiatric Center |                    |                 |             |
|------------------------------|------------|--------------|----------|----------------|-------------------|--------------------|-----------------|-------------|
|                              |            |              |          |                |                   | nvestment Plan Pro | gress           |             |
|                              |            |              |          | Reinvestment   |                   |                    | <u> </u>        | Annualized  |
|                              | Target     |              | Prior    | Expansion      |                   |                    | New Individuals | Reinvestmen |
| Service                      | Population | County       | Capacity | (units)        | Status Update     | Start Up Date      | Served          | Amount (\$) |
| HCBS Waiver                  | Children   | Cayuga       | 12       | 6              | Claige Opagie     | 7/1/2014           | 16              | \$157,758   |
| HCBS Waiver                  | Children   | Cortland     | 6        | 6              |                   | 7/1/2014           | 16              | \$157,758   |
| HCBS Waiver                  | Children   | Onondaga     | 42       | 6              |                   | 4/1/2014           | 23              | \$157.758   |
| SUBTOTAL:                    | 011101011  | enendaga     | 60       | 18             |                   |                    | 55              | \$473,274   |
|                              |            |              |          |                |                   |                    |                 |             |
| Supported Housing            | Adult      | Cayuga       | 61       | 7              |                   | 1/1/2016           | 15              | \$56,959    |
| Supported Housing            | Adult      | Cortland     | 53       | 4              |                   | 1/1/2016           | 7               | \$32,548    |
| Supported Housing            | Adult      | Fulton       | 30       | 3              |                   | 2/1/2017           | 1               | \$24,411    |
| Supported Housing            | Adult      | Hamilton     | 4        | 3              |                   | 1/1/2017           | 2               | \$24,411    |
| Supported Housing            | Adult      | Herkimer     | 30       | 1              |                   | 1/1/2017           | 6               | \$8,137     |
| Supported Housing            | Adult      | Madison      | 28       | 4              |                   | 4/1/2017           | 5               | \$32,548    |
| Supported Housing            | Adult      | Montgomery   | 37       | 3              |                   | 1/1/2017           | 5               | \$24,411    |
| Supported Housing            | Adult      | Oneida       | 232      | 8              |                   | 2/17/2017          | 16              | \$65,096    |
| Supported Housing            | Adult      | Onondaga     | 300      | 4              |                   | 10/1/2017          | 6               | \$32,548    |
| Supported Housing            | Adult      | Oswego       | 62       | 5              |                   | 12/1/2015          | 19              | \$40,685    |
| SUBTOTAL:                    |            |              | 837      | 42             |                   |                    | 82              | \$341,754   |
| State Resources:             |            |              |          |                |                   |                    |                 |             |
| Crisis/respite unit          | Children   | Hutchings PC |          |                |                   |                    |                 |             |
|                              |            | Service Area | N/A      | 12 FTEs        |                   | 11/5/2014          | 594             | \$840,000   |
| OnTrackNY Expansion          | Adults &   | Hutchings PC |          |                |                   |                    |                 |             |
|                              | Children   | Service Area | N/A      | 3 FTEs         |                   | 8/1/2015           | 75              | \$228,400   |
| SUBTOTAL:                    |            |              |          |                |                   |                    | 669             | \$1,068,400 |
| Aid to Localities:           |            | Hutchings PC |          |                |                   |                    |                 |             |
|                              |            | Service Area | N/A      | N/A            |                   |                    |                 |             |
| Respite Program              | Children   | Cayuga       |          |                |                   | 4/1/2017           |                 | \$75,000    |
| Regional Mobile Crisis       | Adults &   |              |          |                |                   |                    |                 | + - /       |
| -3                           | Children   | Cayuga       |          |                |                   | 4/1/2017           | 2,318           | \$518,110   |
| Advocacy/Support Services    | Children   | Cayuga       |          |                |                   | 4/1/2017           |                 |             |
| Program                      |            |              |          |                |                   | 4/1/2017           |                 | \$33,890    |
| Long Stay Reduction          | Adult      | Onondaga     |          |                |                   |                    |                 |             |
| Transition Team              |            | -            |          |                |                   | 11/9/2016          | 39              | \$300,000   |
| Enhanced Outreach and        | Adults &   | Hamilton     |          |                |                   | 5/11/2018          | 93              | \$37,500    |
| Clinical Support Services    | Children   | Herkimer     |          |                |                   | 11/17/2017         | 74              | \$37,500    |
|                              |            | Fulton       |          |                |                   | 11/1/2017          | 71              | \$37,500    |
| Enhanced Child & Family      | Children   | Montgomery   |          |                |                   |                    |                 |             |
| Support Services             |            |              |          |                |                   | 4/1/2017           | 1,042           | \$31,450    |
| Crisis Services <sup>1</sup> | Children   | Montgomery   |          |                |                   | 3/1/2019           | 36              | \$6,050     |
| SUBTOTAL:                    |            |              |          |                |                   |                    | 3,673           | \$1,077,000 |
|                              |            |              |          |                |                   |                    |                 | •           |
|                              |            |              |          |                |                   | TOTAL:             | 4,479           | \$2,960,428 |

Notes:

1. Aid to Localities funding (\$6,050) in development was reallocated to support Crisis Services in Montgomery County.



## Article 28 and 31 Hospital Reinvestment Summaries

Pursuant to Chapter 53 of the Laws of 2014 for services and expenses of the medical assistance program to address community mental health service needs resulting from the reduction of psychiatric inpatient services.

|                                  |                     |                       | Annualized<br>Reinvestment |
|----------------------------------|---------------------|-----------------------|----------------------------|
| Hospital                         | Target Population   | County/Region         | Amount                     |
|                                  |                     | Allegany, Livingston, |                            |
| St. James Mercy                  | Children and Adults | Steuben               | \$894,725                  |
| Medina Memorial                  | Adults              | Niagara, Orleans      | \$199,030                  |
| Holliswood/Stony Lodge/Mt. Sinai | Children and Youth  | New York City         | \$10,254,130               |
| Stony Lodge & Rye                | Children and Adults | Hudson River          | \$4,650,831                |
| LBMC/NSUH/PK                     | Children and Adults | Nassau, Suffolk       | \$2,910,400                |
| Subtotal                         |                     |                       | \$18,909,116               |

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|  |                      | Table 3k                          | : Western | <b>Region Article</b>     | 28 Hospital Reinvestmer | nt               |                    |                            |
|--|----------------------|-----------------------------------|-----------|---------------------------|-------------------------|------------------|--------------------|----------------------------|
|  |                      |                                   |           |                           | Inves                   | stment Plan Prog | gress              |                            |
|  | Target               |                                   | Prior     | Reinvestment<br>Expansion |                         | Start Up         | New<br>Individuals | Annualized<br>Reinvestment |
| Service  | Population           | County                            | Capacity  | (units)                   | Status Update           | Date             | Served             | Amount (\$)                |
| Article 28:  |                      |                                   | N/A       |                           |                         |                  |                    |                            |
| St. Jame   | es Mercy             | •                                 |           |                           |                         |                  |                    |                            |
| Intensive Intervention<br>Services   | Adult                | Allegany                          |           |                           |                         | 8/25/2014        | 181                | \$95,000                   |
| Post Jail Transition<br>Coordinator/Forensic<br>Therapist                            | Adults &<br>Children | Livingston                        |           |                           |                         | 1/5/2015         | 2,232              | \$59,725                   |
| Enhanced Mobile Crisis<br>Outreach   | Adults &<br>Children | Steuben                           |           |                           |                         | 11/3/2014        | 1,713              | \$490,000                  |
| Intensive In-Home Crisis<br>Intervention (Tri-County)                                | Children             | Allegany<br>Livingston<br>Steuben |           |                           |                         | 6/1/2015         | 262                | \$250.000                  |
| SUBTOTAL:  |                      |                                   |           |                           |                         |                  | 4,388              | \$894,725                  |
| Medina Memo  | orial Hospita        | l                                 |           |                           |                         |                  |                    |                            |
| Mental Hygiene Practioner to<br>handle crisis calls (late<br>afternoon and evenings) |                      | Niagara                           |           |                           |                         | 8/15/2014        | 271                | \$68,030                   |
| Enhanced Crisis Response   | Adults &<br>Children | Orleans                           |           |                           |                         | 7/1/2014         | 2,494              | \$131,000                  |
| SUBTOTAL:  |                      |                                   |           |                           |                         |                  | 2,765              | \$199,030                  |

TOTAL: 7,153 \$1,093,755



|  |            | Table 3I: Ne | w York Ci | ty Region Arti | cle 28 Hospital Reinvestmen | t            |                           |              |
|--|------------|--------------|-----------|----------------|-----------------------------|--------------|---------------------------|--------------|
|  |            |              |           |                | -                           | ent Plan Pro | ogress                    |              |
|  |            |              |           | Reinvestment   |                             |              | New                       | Annualized   |
|  | Target     |              | Prior     | Expansion      |                             | Start Up     | Individuals               | Reinvestment |
| Service                                | Population | County       | Capacity  | (units)        |                             | Date         | Served                    | Amount (\$)  |
| Holliswood                             |            | ,            |           | , ,,           |                             |              |                           |              |
| HCBS Waiver                            | Children   | Bronx        | 144       | 15             | State Share of Medicaid:    | 2/1/2016     | See Table 3h <sup>1</sup> | \$418,500    |
| Crisis Beds                            | Children   | NYC          |           | 5              |                             | 1/1/2018     | 34                        | \$210,000    |
| Rapid Response Mobile                  | Children   | NYC          |           |                |                             | 1/1/2014     | 301                       | \$1,150,000  |
| Family Advocates                       | Children   | NYC          |           |                |                             | 1/1/2014     | 709                       | \$450,000    |
| 4.5 Rapid Response Teams               | Children   | NYC          |           |                |                             | 4/28/2015    | 308                       | \$1,989,569  |
| Family Resource Center <sup>2</sup>    | Children   | NYC          |           |                |                             | 2/1/2016     | 500                       | \$1,335,777  |
| High Fidelity Wrap Around              | Children   | NYC          |           |                |                             |              |                           | \$181,865    |
| SUBTOTAL:                              |            |              |           |                |                             |              | 1,852                     | \$5,735,711  |
| Stony Lodg                             | e Hospital |              |           |                |                             |              |                           | · · ·        |
| Partial Hospitalization                | Children   | NYC          |           |                |                             |              |                           |              |
| Program & Day Treatment                |            |              |           |                |                             |              |                           |              |
| Program (Bellevue)                     |            |              |           |                | State Share of Medicaid:    | 2/2/2015     | 268                       | \$386,250    |
| Home Based Crisis                      | Children   | NYC          |           |                |                             |              |                           |              |
| Intervention Team (Bellevue)           |            |              |           |                |                             | 11/1/2015    | 163                       | \$300,000    |
| Family Resource Center <sup>2</sup>    | Children   | NYC          |           |                |                             | 2/1/2016     | See Note <sup>2</sup>     | \$728,622    |
| High Fidelity Wraparound               | Children   | NYC          |           |                |                             |              |                           | \$185,128    |
| SUBTOTAL:                              |            |              |           |                |                             |              | 431                       | \$1,600,000  |
| Mount Sina                             |            |              |           |                |                             |              |                           |              |
| Mt. Sinai Partial                      | Adult      | NYC          |           | . –            |                             |              |                           |              |
| Hospitalization (15 slots)             |            |              |           | 15             | State Share of Medicaid:    | 1/28/2016    | 373                       | \$303,966    |
| 4 Assertive Community                  | Adult      | NYC          |           |                |                             |              |                           |              |
| Treatment Teams (68 slots              |            |              |           | 272            | State Chara of Madiasid     | 10/2/2010    | 561                       | ¢1 055 604   |
| each)<br>1 Assertive Community         | Adult      | NYC          |           | 212            | State Share of Medicaid:    | 10/3/2016    | 100                       | \$1,855,694  |
| Treatment Team (48 slots)              | Auun       |              |           | 48             | State Share of Medicaid:    | 4/1/2016     | 67                        | \$384,666    |
| Expanded Respite Capacity <sup>3</sup> | Adult      | NYC          |           |                |                             |              | See Table 3h <sup>3</sup> | \$374,093    |
| SUBTOTAL:                              |            |              |           |                |                             |              | 1,001                     | \$2,918,419  |
|  |            |              |           |                |                             |              |                           |              |
|  |            |              |           |                |                             | TOTAL:       | 3,284                     | \$10,254,130 |

Notes:

1. Waiver slots in Bronx County are funded by the NYC Aid to Localities reinvestment funding and Stony Lodge Article 28 funding. All waiver utilization is reported on the Table 3h - New York City to prevent duplication in the number of people served.

2. The Family Resource Center is funded by the Holliswood Art. 28 reinvestment funding and Stony Lodge Art. 28 reinvestment funding. The number of newly served individuals is only reflected in the Holliswood Reinvestment so as not to duplicate the number of individuals served.

3. This program funding is blended between Article 28 and State PC reinvestment. The number of newly served individuals in this table is only reported on the Table 3h, to prevent duplication in the number of people served.



|  |            | Table 3m: H      | uason Riv | er Region Artic | le 28 Hospital Reinvestmer |                    |                           |             |
|--|------------|------------------|-----------|-----------------|----------------------------|--------------------|---------------------------|-------------|
|  |            |                  |           | L —             | Investm                    | ent Plan Pro       |                           |             |
|  | -          |                  | <b>.</b>  | Reinvestment    |                            | <b>0</b> , , , , , | New                       | Annualized  |
|  | Target     |                  | Prior     | Expansion       |                            | Start Up           | Individuals               | Reinvestmen |
| Service  | Population | County           | Capacity  | (units)         | Status Update              | Date               | Served                    | Amount (\$) |
| Article 28:  |            | -                | N/A       |                 |                            |                    |                           |             |
| Stony Lodge/   |            |                  |           |                 |                            |                    |                           |             |
| HCBS Waiver Slots  | Children   | Albany           |           | 6               | State Share of Medicaid:   | 12/1/2015          | 18                        | \$157,704   |
|  |            | Saratoga         |           | 3               | State Share of Medicaid:   | 1/1/2015           | 21                        | \$78,803    |
|  |            | Warren           |           | 3               | State Share of Medicaid:   | 1/1/2015           | 12                        | \$78,803    |
|  |            | Westchester      |           | 6               | State Share of Medicaid:   | 1/1/2015           | 19                        | \$157,704   |
| SUBTOTAL:  |            |                  |           |                 |                            |                    | 70                        | \$473,014   |
| Article 28:  |            |                  | N/A       |                 |                            |                    |                           |             |
| Supported Housing  | Adult      | Albany           |           | 2               |                            | 9/1/2015           | 9                         | \$20,118    |
|  |            | Greene           |           | 5               |                            | 3/1/2015           | 19                        | \$50,295    |
|  |            | Rensselaer       |           | 7               |                            | 5/1/2015           | 17                        | \$70,413    |
|  |            | Schenectady      |           | 7               |                            | 10/1/2015          | 19                        | \$70,413    |
| Mobile Crisis Services   | Adult      | Columbia         |           |                 |                            | 7/1/2015           | 2,470                     | \$180,636   |
|  |            | Greene           |           |                 |                            | 7/1/2015           | 2,452                     | \$203,859   |
|  |            | Sullivan         |           |                 |                            | 11/24/2014         | See Table 3i <sup>1</sup> | \$81,447    |
| Hospital Diversion Respite   | Adult      | Columbia         |           |                 |                            | 11/1/2015          | 31                        | \$43,560    |
|  |            | Greene           |           |                 |                            | 3/1/2015           | 9                         | \$20,337    |
| Respite Services   | Children   | Columbia         |           |                 |                            | 3/30/2015          | 16                        | \$15,750    |
|  |            | Greene           |           |                 |                            | 3/30/2015          | 70                        | \$65,670    |
|  |            | Orange           |           |                 |                            | 6/30/2015          | 33                        | \$30,000    |
|  |            | Sullivan         |           |                 |                            | 4/1/2015           | 54                        | \$25,000    |
| Respite Services   | Adult      | Dutchess         |           |                 |                            |                    |                           |             |
| Respite Services   | Addit      |                  |           |                 |                            | 3/1/2015           | 365                       | \$25,000    |
|  |            | Orange<br>Putnam |           |                 |                            | 3/20/2015          | 189                       | \$60,000    |
|  |            |                  |           |                 |                            | 6/1/2015           | 14                        | \$25,000    |
|  | A -1, .14  | Westchester      |           |                 |                            | 6/1/2015           | 83                        | \$136,460   |
| Self Help Program  | Adult      | Dutchess         |           |                 |                            | 2/12/2015          | 1,051                     | \$60,000    |
|  |            | Orange           |           |                 |                            | 6/17/2015          | 61                        | \$30,000    |
| Family Overant Oraniana  | Children   | Westchester      |           |                 |                            | 4/8/2015           | 199                       | \$388,577   |
| Family Support Services  | Children   | Orange           |           |                 |                            | 2/18/2015          | 345                       | \$30,000    |
|  |            | Schoharie        |           |                 |                            | 2/23/2015          | 593                       | \$170,000   |
| Adult Mobile Crisis Team (5<br>Counties: Rensselaer,<br>Saratoga, Schenectady,<br>Warren-Washington) | Adult      | Rensselaer       |           |                 |                            | 10/1/2015          | 2,111                     | \$1,000,190 |
| Capital Region Respite<br>Services (3 Counties: Albany,<br>Rensselaer, Schenectady)                  | Children   | Rensselaer       |           |                 |                            | 7/8/2015           | 63                        | \$30,000    |
| Mobile Crisis Intervention   | Adult      | Rockland         |           |                 |                            | 3/30/2015          | See Table 3i <sup>1</sup> | \$400,000   |
|  |            | Ulster           |           | <u> </u>        |                            | 2/9/2015           | See Table 3i <sup>1</sup> | \$300,000   |
| Mobile Crisis Team (Tri-<br>County: Saratoga, Warren-<br>Washington)                                 | Children   | Warren           |           |                 |                            | 1/1/2016           | 1,022                     | \$545,092   |
| Home Based Crisis<br>Intervention (Tri-County:<br>Saratoga, Warren-<br>Washington)                   | Children   | Warren           |           |                 |                            | 11/26/2013         | 400                       | \$100,000   |
| SUBTOTAL:  |            |                  |           |                 |                            |                    | 11,695                    | \$4,177,817 |
|  |            |                  |           |                 |                            |                    |                           |             |

#### Notes:

1. Mobile Crisis programs in Rockland, Sullivan and Ulster Counties are funded by the Rockland PC Aid to Localities funding and Stony Lodge-Rye Article 28 funding. The number of newly served individuals is only reflected on the Rockland PC table so as not to duplicate the number of individuals served.



|                            |              | Table 3n: L   | ong Islan | d Region Article | e 28 Hospital Reinvestment |              |              |              |
|----------------------------|--------------|---------------|-----------|------------------|----------------------------|--------------|--------------|--------------|
|                            |              |               |           |                  | Investme                   | ent Plan Pro | gress        |              |
|                            |              |               |           | Reinvestment     |                            |              | New          | Annualized   |
|                            | Target       |               | Prior     | Expansion        |                            | Start Up     | Individuals  | Reinvestment |
| Service                    | Population   | County        | Capacity  | (units)          | Status Update              | Date         | Served       | Amount (\$)  |
| Article 28:                |              |               | N/A       |                  |                            |              |              |              |
| Long Beach Medical Center  |              |               |           | Hospitalization  |                            |              |              |              |
| Prog                       | ram Operated | by Pederson-K | irag      |                  |                            |              |              |              |
| HCBS Waiver Slots          | Children     | Suffolk       |           | 6                | State Share of Medicaid:   |              | 31           | \$165,400    |
| SUBTOTAL:                  |              |               |           |                  |                            |              | 31           | \$165,400    |
|                            |              |               |           |                  |                            |              |              |              |
| Article 28:                |              |               |           |                  |                            |              |              |              |
| (6) Mobile Residential     | Adult        | Nassau        |           |                  |                            |              |              |              |
| Support Teams              |              |               |           |                  |                            | 7/1/2015     | 494          | \$1,544,000  |
| Mobile Crisis Team         | Adults &     | Nassau &      |           |                  |                            |              |              |              |
| Expansion <sup>1</sup>     | Children     | Suffolk       |           |                  |                            | 8/1/2015     | 7,677        | \$212,000    |
| Satellite Clinic Treatment | Adult        | Nassau        |           |                  |                            |              |              |              |
| Services                   |              |               |           |                  | State Share of Medicaid:   | 8/1/2016     | 161          | \$200,000    |
| (2) OnSite Rehabilitation  | Adult        | Nassau        |           |                  |                            | 2/1/2016     | 124          | \$200,000    |
| Help/Hot Line Expansion    | Adult        | Nassau        |           |                  |                            | 9/1/2018     | 1,715        | \$50,000     |
| On-Site MH Clinic          | Children     | Nassau        |           |                  |                            | 9/1/2018     | 15           | \$50,000     |
| (3) Clinic Treatment       | Adults &     | Nassau        |           |                  |                            |              |              |              |
| Services                   | Children     |               |           |                  |                            | 8/18/2016    | 1,889        | \$375,000    |
| Family Advocate            | Children     | Nassau        |           |                  |                            | 9/1/2017     | 1,153        | \$84,000     |
| Peer Outreach <sup>2</sup> | Adult        | Suffolk       |           |                  |                            |              | See Table 3e | \$30,000     |
| SUBTOTAL:                  |              |               |           |                  |                            |              | 13,228       | \$2,745,000  |

TOTAL: 13,259 \$2,910,400

\*Gross Medicaid projected \$420,800

Notes:

1. The Mobile Crisis programs in Nassau and Suffolk Counties are funded by Long Island Art. 28 reinvestment funding, Sagamore and Pilgrim PC Aid to Localities funding. The number of newly served individuals is only reflected on the Long Island Art. RIV table (Table 3n) so as not to duplicate the number of individuals served.

2. Long Island Article 28 reinvestment funding for Peer Outreach program on Table 3n is blended with Pilgrim PC Aid to Localities reinvestment funding for Hospital Alternative respite program on Table 3e. The number of newly served individuals on Table 3n is only reported on Table 3e, to prevent duplication in the number of people served.



## Table 4: NYS OMH State Psychiatric Center Inpatient Discharge Metrics

|   | Metrics F   | Post Discharge  |
|---|---|---|
| State Inpatient Facilities <sup>1</sup> | Readmission <sup>2</sup>  | ER Utilization <sup>3</sup>   |
|   | For discharge cohort (Jan, 2020-Mar,<br>2020), % Having Psychiatric<br>Readmission within 30 days | For discharge cohort (Jan, 2020-Mar, 2020),<br>% Utilizing Psychiatric Emergency Room<br>within 30 days |
| Adult                                   |   |   |
| Bronx                                   | 8.0%  | 8.3%*   |
| Buffalo                                 | 11.8%*  | 10.0%*  |
| Capital District                        | 0.0%*   | 0.0%*   |
| Creedmoor                               | 12.1%   | 13.6%   |
| Elmira                                  | 0.0%*   | 0.0%*   |
| Greater Binghamton                      | 0.0%*   | 0.0%*   |
| Hutchings                               | 19.0%   | 14.3%*  |
| Kingsboro                               | 20.0%   | 5.6%*   |
| Manhattan                               | 18.2%   | 0.0%  |
| Pilgrim                                 | 4.5%  | 0.0%  |
| Rochester                               | 0.0%*   | 0.0%*   |
| Rockland                                | 2.4%  | 10.5%*  |
| South Beach                             | 4.5%  | 3.6%  |
| St. Lawrence                            | 42.9%*  | 0.0%*   |
| Washington Heights                      | 4.3%  | 5.0%  |
| Total                                   | 8.9%  | 5.3%  |
| Children & Youth                        |   |   |
| Elmira                                  | 0.0%*   | 6.7%*   |
| Greater Binghamton                      | 3.4%  | 4.3%  |
| Hutchings                               | 7.1%  | 10.8%   |
| Mohawk Valley                           | 5.4%  | 8.2%  |
| NYC Children's Center                   | 10.0%   | 9.8%  |
| Rockland CPC                            | 5.7%  | 12.1%   |
| Sagamore CPC                            | 0.0%*   | 22.2%*  |
| South Beach                             | 0.0%*   | 0.0%*   |
| St. Lawrence                            | 10.0%   | 16.1%   |
| Western NY CPC                          | 11.1%   | 10.5%*  |
| Total                                   | 7.0%  | 10.7%   |
| Forensic                                |   |   |
| Central New York                        | 1.9%  | 0.0%  |
| Kirby                                   | 0.0%*   | 7.1%*   |
| Mid-Hudson                              | 11.8%   | 6.3%  |
| Rochester                               | 0.0%*   | 0.0%*   |
| Total                                   | 4.5%  | 3.6%  |
| Updated as of Jan 26, 2021              | •   | •   |

Updated as of Jan 26, 2021

Notes:

1. Research units and Sexual Offender Treatment Programs (SOTP) were excluded.

2. Readmissions were defined as State PC and Medicaid (Article 28 /31) psychiatric inpatient readmission events occurring within 1 to 30 days after the State PC discharge. The first readmission within the 30 days window was counted. The denominator for this measure was based on State inpatient discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort but who had a state operated service in the 3 months post discharge were retained in the discharge cohort.

3. ER utilization was identified using Medicaid claims and encounters only. The numerator included the first Psychiatric ER/CPEP event that occurred within thirty days post discharge. The denominator for this measure was based on State inpatient discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort.

\*Note this rate may not be stable due to small denominator (less than 20 discharges in the denominator).



|             |                     | · · · ·   |            |          |                            |         |         |   | Metrics Post | د Discharge <sup>4</sup>  |               |                 |  |
|-------------|---------------------|---|------------|----------|----------------------------|---------|---------|---|--------------|---|---------------|-----------------|--|
|             |                     |   |            |          |                            |         |         | Readmissi                                     | on⁵          |   | ER Utilizatio | on <sup>7</sup> |  |
|             |                     |   |            | Capad    | city (as of 0 <sup>.</sup> | 1/1/21) | 2020)   | arge cohort (<br>, % Having P<br>nission with | •            | For discharge cohort (Jan, 2020<br>Mar, 2020), % Utilizing Psychiat<br>Emergency Room within 30 day |               | Psychiatric     |  |
| Region      | County <sup>2</sup> | Hospital Name <sup>3</sup>  | Auspice    | Total    | Adults                     | Child   | Total   | Adult <sup>6</sup>                            | Child        | Total   | Adult         | Child           |  |
| Central     | Broome              | United Health Services Hospitals, Inc.  | Article 28 | 56       | 56                         | 0       | 12.0%   | 12.0%   |              | 16.2%   | 16.2%         |                 |  |
| Central     | Cayuga              | Auburn Community Hospital   | Article 28 | 14       | 14                         | 0       | 20.8%   | 20.8%   |              | 20.8%   | 20.8%         |                 |  |
| Central     | Clinton             | Champlain Valley Physicians Hospital Med Ctr.8  | Article 28 | 30       | 18                         | 12      | 10.6%   | 9.1%  | 13.3%        | 7.1%  | 5.5%          | 10.0%           |  |
| Central     | Cortland            | Cortland Regional Medical Center, Inc.  | Article 28 | 11       | 11                         | 0       | 20.6%   | 20.6%   |              | 11.8%   | 11.8%         |                 |  |
| Central     | Franklin            | Adirondack Medical Center   | Article 28 | 12       | 12                         | 0       | 11.1% * | 11.1% *                                       |              | 11.1% *   | 11.1% *       |                 |  |
| Central     | Jefferson           | Samaritan Medical Center  | Article 28 | 32       | 32                         | 0       | 11.1%   | 11.1%   |              | 9.9%  | 9.9%          |                 |  |
| Central     | Montgomery          | St. Mary's Healthcare   | Article 28 | 20       | 20                         | 0       | 12.9%   | 12.9%   |              | 16.1%   | 16.1%         |                 |  |
| Central     | Oneida              | Faxton - St. Luke's Healthcare  | Article 28 | 26       | 26                         | 0       | 15.5%   | 15.5%   |              | 10.3%   | 10.3%         |                 |  |
| Central     | Oneida              | Rome Memorial Hospital, Inc.  | Article 28 | 12       | 12                         | 0       | 0.0% *  | 0.0% *  |              | 0.0% *  | 0.0% *        |                 |  |
| Central     | Oneida              | St. Elizabeth Medical Center  | Article 28 | 24       | 24                         | 0       | 15.2%   | 15.2%   |              | 10.6%   | 10.6%         |                 |  |
| Central     | Onondaga            | St. Joseph's Hospital Health Center   | Article 28 | 30       | 30                         | 0       | 16.0%   | 16.0%   |              | 24.4%   | 24.4%         |                 |  |
| Central     | Onondaga            | SUNY Health Science Center-University Hospital <sup>9</sup>                                 | Article 28 | 57       | 49                         | 8       | 21.3%   | 22.6%   | 13.0%        | 20.8%   | 20.6%         | 21.7%           |  |
| Central     | Oswego              | Oswego Hospital, Inc.   | Article 28 | 28       | 28                         | 0       | 19.8%   | 19.8%   |              | 14.0%   | 14.0%         |                 |  |
| Central     | Otsego              | Bassett Healthcare  | Article 28 | 20       | 20                         | 0       | 16.7%   | 16.7%   |              | 16.7%   | 16.7%         |                 |  |
| Central     | Saint Lawrence      | Claxton-Hepburn Medical Center  | Article 28 | 28       | 28                         | 0       | 8.7%    | 8.7%  |              | 8.7%  | 8.7%          |                 |  |
| Hudson      | Albany              | Albany Medical Center   | Article 28 | 26       | 26                         | 0       | 16.5%   | 16.5%   |              | 15.8%   | 15.8%         |                 |  |
| Hudson      | Columbia            | Columbia Memorial Hospital  | Article 28 | 22       | 22                         | 0       | 8.6%    | 8.6%  |              | 10.3%   | 10.3%         |                 |  |
| Hudson      | Dutchess            | Westchester Medical /Mid-Hudson Division  | Article 28 | 40       | 40                         | 0       | 20.4%   | 20.4%   |              | 16.9%   | 16.9%         |                 |  |
| Hudson      | Orange              | Bon Secours Community Hospital  | Article 28 | 24       | 24                         | 0       | 13.8%   | 13.8%   |              | 18.8%   | 18.8%         |                 |  |
| Hudson      | Orange              | Orange Regional Medical Center - Arden Hill Hospital  | Article 28 | 30       | 30                         | 0       | 8.2%    | 8.2%  |              | 10.2%   | 10.2%         |                 |  |
| Hudson      | Putnam              | Putnam Hospital Center  | Article 28 | 20       | 20                         | 0       | 21.1%   | 21.1%   |              | 17.5%   | 17.5%         |                 |  |
| Hudson      | Rensselaer          | Northeast Health - Samaritan Hospital <sup>10</sup>   | Article 28 | 60       | 60                         | 0       | 18.8%   | 18.8%   |              | 18.2%   | 18.2%         |                 |  |
| Hudson      | Rockland            | Nyack Hospital  | Article 28 | 26       | 26                         | 0       | 9.5%    | 9.5%  |              | 9.5%  | 9.5%          | -               |  |
| Hudson      | Saratoga            | FW of Saratoga, Inc.  | Article 31 | 88       | 31                         | 57      | 7.1%    | 10.6%   | 5.5%         | 5.2%  | 7.6%          | 4.1%            |  |
| Hudson      | Saratoga            | The Saratoga Hospital   | Article 28 | 16       | 16                         | 0       | 9.6%    | 9.6%  |              | 19.2%   | 19.2%         |                 |  |
| Hudson      | Schenectady         | Ellis Hospital  | Article 28 | 52       | 36                         | 16      | 8.6%    | 10.6%   | 4.8%         | 16.6%   | 16.8%         | 16.1%           |  |
| Hudson      | Sullivan            | Catskill Regional Medical Center  | Article 28 | 18       | 18                         | 0       | 19.1%   | 19.1%   | 11070        | 21.3%   | 21.3%         |                 |  |
| Hudson      | Ulster              | Health Alliance Hospital Mary's Ave Campus  | Article 28 | 40       | 40                         | 0<br>0  | 14.8%   | 14.8%   | •            | 16.7%   | 16.7%         |                 |  |
| Hudson      | Warren              | Glens Falls Hospital  | Article 28 | 30       | 30                         | 0       | 11.6%   | 11.6%   | ·            | 13.0%   | 13.0%         |                 |  |
| Hudson      | Westchester         | Four Winds, Inc.  | Article 31 | 178      | 28                         | 150     | 14.1%   | 20.0%   | 13.4%        | 9.5%  | 2.2%          | 10.3%           |  |
| Hudson      | Westchester         | Montefiore Mount Vernon Hospital, Inc.  | Article 28 | 22       | 20                         | 0       | 16.7%   | 16.7%   | 10.470       | 18.8%   | 18.8%         | 10.070          |  |
| Hudson      | Westchester         | New York Presbyterian Hospital <sup>11</sup>  | Article 28 | 233      | 188                        | 45      | 17.9%   | 20.4%   | 7.2%         | 15.3%   | 15.8%         | 13.3%           |  |
| Hudson      | Westchester         | Northern Westchester Hospital Center  | Article 28 | 15       | 15                         | 45<br>0 | 0.0% *  | 20.4 %<br>0.0% *                              | 1.2/0        | 0.0% *  | 0.0% *        | 10.070          |  |
| Hudson      | Westchester         | Phelps Memorial Hospital Center   | Article 28 | 22       | 22                         | 0       | 18.4%   | 18.4%   |              | 0.0 <i>%</i><br>15.8%   | 15.8%         | ·               |  |
| Hudson      | Westchester         | St Joseph's Medical Center <sup>12</sup>  | Article 28 | 152      | 139                        | 13      | 15.5%   | 17.8%   | 0.0%         | 10.5%   | 10.7%         | 9.1%            |  |
|             |                     | Westchester Medical Center  | Article 28 | 101      | 66                         | 35      | 12.0%   | 12.6%   | 0.0% *       | 14.4%   | 14.3%         | 9.1%<br>16.7% * |  |
| Hudson      | Westchester         |   |            |          | 66<br>39                   | 35<br>0 |         |   | 0.0%         |   |               | 10.1%           |  |
| Long Island | Nassau              | Mercy Medical Center  | Article 28 | 39       |                            | 0<br>22 | 14.6%   | 14.6%   |              | 10.4%   | 10.4%         |                 |  |
| Long Island | Nassau              | Nassau Health Care Corp/Nassau Univ Med Ctr   | Article 28 | 128      | 106                        | 22      | 13.1%   | 13.4%   | 11.5%        | 15.4%   | 16.8%         | 7.7%            |  |
| Long Island | Nassau              | North Shore University Hospital @Syosset <sup>13</sup><br>South Nassau Communities Hospital | Article 28 | 20<br>36 | 20<br>36                   | 0       | 25.0%   | 25.0%   |              | 25.0%   | 25.0%         | •               |  |
| Long Island | Nassau              | South Nassau Communities Hospital   | Article 28 | 30       | 30                         | U       | 19.8%   | 19.8%   |              | 16.3%   | 16.3%         |                 |  |

# Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates<sup>1</sup>



|             |                     | vate Hospital 30-Day inpatient Readmiss                     |            |       |                |         | Metrics Post Discharge <sup>4</sup> |                              |        |           |  |                 |  |
|-------------|---------------------|---|------------|-------|----------------|---------|-------------------------------------|------------------------------|--------|-----------|--|-----------------|--|
|             |                     |   |            |       |                |         |                                     | Readmissi                    | on⁵    |           | ER Utilizatio  | on <sup>7</sup> |  |
|             |                     |   |            | Сарас | city (as of 01 | 1/1/21) | 2020)                               | , % Having P<br>nission with |        | Mar, 2020 | For discharge cohort (Jan, 202<br>Mar, 2020), % Utilizing Psychiat<br>Emergency Room within 30 day |                 |  |
| Region      | County <sup>2</sup> | Hospital Name <sup>3</sup>                                  | Auspice    | Total | Adults         | Child   | Total                               | Adult <sup>6</sup>           | Child  | Total     | Adult  | Child           |  |
| Long Island | Suffolk             | Brookhaven Memorial Hospital Medical Center                 | Article 28 | 20    | 20             | 0       | 22.2%                               | 22.2%                        |        | 15.6%     | 15.6%  |                 |  |
| Long Island | Suffolk             | Brunswick Hospital Center, Inc. <sup>14</sup>               | Article 31 | 146   | 131            | 15      | 22.1%                               | 24.8%                        | 8.6%   | 29.0%     | 31.7%  | 15.5%           |  |
| Long Island | Suffolk             | Huntington Hospital   | Article 28 | 21    | 21             | 0       | 9.8%                                | 9.8%                         |        | 19.5%     | 19.5%  |                 |  |
| Long Island | Suffolk             | John T. Mather Memorial Hospital                            | Article 28 | 37    | 27             | 10      | 11.8%                               | 13.5%                        | 6.3% * | 23.5%     | 23.1%  | 25.0% *         |  |
| Long Island | Suffolk             | St. Catherine's of Siena Hospital                           | Article 28 | 42    | 42             | 0       | 20.8%                               | 20.8%                        |        | 11.1%     | 11.1%  |                 |  |
| Long Island | Suffolk             | State University of NY at Stony Brook <sup>15</sup>         | Article 28 | 63    | 53             | 10      | 12.3%                               | 13.9%                        | 4.5%   | 10.0%     | 11.1%  | 4.5%            |  |
| Long Island | Suffolk             | The Long Island Home <sup>16</sup>                          | Article 31 | 150   | 98             | 52      | 14.1%                               | 16.6%                        | 9.2%   | 14.5%     | 18.3%  | 6.9%            |  |
| NYC         | Bronx               | Bronx-Lebanon Hospital Center <sup>17</sup>                 | Article 28 | 104   | 79             | 25      | 24.8%                               | 26.8%                        | 14.5%  | 26.3%     | 28.3%  | 15.8%           |  |
| NYC         | Bronx               | Montefiore Medical Center                                   | Article 28 | 55    | 55             | 0       | 15.3%                               | 15.3%                        |        | 21.3%     | 21.3%  |                 |  |
| NYC         | Bronx               | NYC-HHC Jacobi Medical Center                               | Article 28 | 107   | 107            | 0       | 16.7%                               | 16.7%                        |        | 22.0%     | 22.0%  |                 |  |
| NYC         | Bronx               | NYC-HHC Lincoln Medical & Mental Health Ctr.                | Article 28 | 60    | 60             | 0       | 16.6%                               | 16.6%                        |        | 21.7%     | 21.7%  |                 |  |
| NYC         | Bronx               | NYC-HHC North Central Bronx Hospital                        | Article 28 | 70    | 70             | 0       | 21.5%                               | 21.5%                        |        | 17.7%     | 17.7%  |                 |  |
| NYC         | Bronx               | St. Barnabas Hospital                                       | Article 28 | 49    | 49             | 0       | 21.8%                               | 21.8%                        |        | 21.2%     | 21.2%  |                 |  |
| NYC         | Kings               | Brookdale Hospital Medical Center                           | Article 28 | 61    | 52             | 9       | 10.7%                               | 11.3%                        | 8.6%   | 20.9%     | 20.4%  | 22.4%           |  |
| NYC         | Kings               | Interfaith Medical Center, Inc.                             | Article 28 | 120   | 120            | 0       | 19.4%                               | 19.4%                        |        | 22.5%     | 22.5%  |                 |  |
| NYC         | Kings               | Kingsbrook Jewish Medical Center <sup>18</sup>              | Article 28 | 55    | 55             | 0       | 20.8%                               | 20.8%                        |        | 8.3%      | 8.3%   |                 |  |
| NYC         | Kings               | Maimonides Medical Center                                   | Article 28 | 70    | 70             | 0       | 25.7%                               | 25.7%                        |        | 15.2%     | 15.2%  |                 |  |
| NYC         | Kings               | NYC-HHC Coney Island Hospital                               | Article 28 | 64    | 64             | 0       | 16.2%                               | 16.2%                        |        | 18.0%     | 18.0%  |                 |  |
| NYC         | Kings               | NYC-HHC Kings County Hospital Center <sup>19</sup>          | Article 28 | 190   | 145            | 45      | 15.9%                               | 17.0%                        | 8.0%   | 19.4%     | 20.5%  | 12.0%           |  |
| NYC         | Kings               | NYC-HHC Woodhull Medical & Mental Health Ctr. <sup>20</sup> | Article 28 | 112   | 112            | 0       | 16.9%                               | 16.9%                        |        | 20.9%     | 20.9%  |                 |  |
| NYC         | Kings               | New York Methodist Hospital                                 | Article 28 | 50    | 50             | 0       | 17.3%                               | 17.3%                        |        | 14.4%     | 14.4%  |                 |  |
| NYC         | Kings               | New York University Hospitals Center                        | Article 28 | 35    | 35             | 0       | 19.0%                               | 19.0%                        |        | 16.3%     | 16.3%  |                 |  |
| NYC         | New York            | Beth Israel Medical Center                                  | Article 28 | 92    | 92             | 0       | 17.1%                               | 17.1%                        |        | 20.2%     | 20.2%  |                 |  |
| NYC         | New York            | Lenox Hill Hospital   | Article 28 | 27    | 27             | 0       | 27.5%                               | 27.5%                        |        | 25.0%     | 25.0%  |                 |  |
| NYC         | New York            | Mount Sinai Medical Center <sup>21</sup>                    | Article 28 | 46    | 46             | 0       | 7.4%                                | 7.4%                         |        | 7.4%      | 7.4%   |                 |  |
| NYC         | New York            | NYC-HHC Bellevue Hospital Center                            | Article 28 | 330   | 285            | 45      | 18.2%                               | 19.1%                        | 14.3%  | 23.7%     | 25.1%  | 17.9%           |  |
| NYC         | New York            | NYC-HHC Harlem Hospital Center                              | Article 28 | 52    | 52             | 0       | 22.0%                               | 22.0%                        |        | 29.3%     | 29.3%  |                 |  |
| NYC         | New York            | NYC-HHC Metropolitan Hospital Center                        | Article 28 | 122   | 104            | 18      | 16.9%                               | 18.3%                        | 10.5%  | 26.4%     | 30.4%  | 8.8%            |  |
| NYC         | New York            | New York Gracie Square Hospital, Inc. <sup>22</sup>         | Article 31 | 133   | 133            | 0       | 21.8%                               | 21.8%                        |        | 24.3%     | 24.3%  |                 |  |
| NYC         | New York            | New York Presbyterian Hospital                              | Article 28 | 91    | 91             | 0       | 21.0%                               | 21.0%                        |        | 20.5%     | 20.5%  |                 |  |
| NYC         | New York            | New York University Hospitals Center                        | Article 28 | 22    | 22             | 0       | 19.0%                               | 19.0%                        |        | 16.3%     | 16.3%  |                 |  |
| NYC         | New York            | St. Luke's-Roosevelt Hospital Center                        | Article 28 | 110   | 93             | 17      | 12.6%                               | 14.3%                        | 8.3%   | 14.0%     | 16.3%  | 8.3%            |  |
| NYC         | Queens              | Episcopal Health Services Inc.                              | Article 28 | 43    | 43             | 0       | 17.8%                               | 17.8%                        |        | 23.8%     | 23.8%  |                 |  |
| NYC         | Queens              | Jamaica Hospital Medical Center <sup>23</sup>               | Article 28 | 56    | 56             | 0       | 13.2%                               | 13.2%                        |        | 19.8%     | 19.8%  |                 |  |
| NYC         | Queens              | Long Island Jewish Medical Center                           | Article 28 | 226   | 204            | 22      | 14.9%                               | 15.6%                        | 10.4%  | 14.3%     | 14.6%  | 12.5%           |  |
| NYC         | Queens              | NYC-HHC Elmhurst Hospital Center <sup>24</sup>              | Article 28 | 176   | 150            | 26      | 18.7%                               | 19.5%                        | 15.0%  | 16.3%     | 17.3%  | 11.7%           |  |
| NYC         | Queens              | NYC-HHC Queens Hospital Center <sup>25</sup>                | Article 28 | 53    | 53             | 0       | 19.4%                               | 19.4%                        |        | 22.4%     | 22.4%  |                 |  |
| NYC         | Queens              | New York Flushing Hospital and Medical Center               | Article 28 | 18    | 18             | 0       | 30.2%                               | 30.2%                        |        | 23.3%     | 23.3%  |                 |  |
| NYC         | Richmond            | Richmond University Medical Center                          | Article 28 | 65    | 55             | 10      | 17.1%                               | 18.2%                        | 13.3%  | 48.8%     | 47.5%  | 53.3%           |  |
| NYC         | Richmond            | Staten Island University Hospital <sup>26</sup>             | Article 28 | 35    | 35             | 0       | 17.7%                               | 17.7%                        |        | 22.6%     | 22.6%  |                 |  |
| Western     | Cattaraugus         | Olean General Hospital                                      | Article 28 | 14    | 14             | 0       | 18.3%                               | 18.3%                        |        | 8.5%      | 8.5%   |                 |  |

## Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates<sup>1</sup>



| Table 5: General and Private Hospital | 30-Day Inpatient Readmission and ER Utilization Rates |
|---------------------------------------|---|

|                 |                     |   |            |       |               |         |         |  | Metrics Post | Discharge <sup>4</sup> |                                  |                |  |
|-----------------|---------------------|---|------------|-------|---------------|---------|---------|--|--------------|------------------------|----------------------------------|----------------|--|
|                 |                     |   |            |       |               |         |         | Readmissi  | on⁵          |                        | ER Utilizatio                    | n <sup>7</sup> |  |
|                 |                     |   |            | Сарас | tity (as of 0 | 1/1/21) | 2020)   | For discharge cohort (Jan, 2020-Mar<br>2020), % Having Psychiatric<br>Readmission within 30 days |              |                        | c Mar, 2020), % Utilizing Psychi |                |  |
| Region          | County <sup>2</sup> | Hospital Name <sup>3</sup>                    | Auspice    | Total | Adults        | Child   | Total   | Adult <sup>6</sup>   | Child        | Total                  | Adult                            | Child          |  |
| Western         | Chautauqua          | TLC Health Network                            | Article 28 | 20    | 20            | 0       | 15.4% * | 15.4% *  |              | 23.1% *                | 23.1% *                          |                |  |
| Western         | Chautauqua          | Woman's Christian Assoc. of Jamestown, NY     | Article 28 | 40    | 30            | 10      | 27.8%   | 35.7%  | 0.0% *       | 25.0%                  | 32.1%                            | 0.0% *         |  |
| Western         | Chemung             | St. Joseph's Hospital                         | Article 28 | 25    | 25            | 0       | 0.0%*   | 0.0%*  |              | 0.0%*                  | 0.0%*                            | •              |  |
| Western         | Erie                | Brylin Hospitals, Inc. <sup>27</sup>          | Article 31 | 88    | 63            | 25      | 9.5%    | 8.3%   | 10.6%        | 18.9%                  | 27.1%                            | 10.6%          |  |
| Western         | Erie                | Erie County Medical Center <sup>28</sup>      | Article 28 | 160   | 144           | 16      | 12.2%   | 12.2%  | 12.1%        | 13.4%                  | 13.9%                            | 9.1%           |  |
| Western         | Monroe              | Rochester General Hospital                    | Article 28 | 30    | 30            | 0       | 8.3%    | 8.3%   | •            | 15.3%                  | 15.3%                            |                |  |
| Western         | Monroe              | The Unity Hospital of Rochester               | Article 28 | 40    | 40            | 0       | 6.9%    | 6.9%   |              | 12.1%                  | 12.1%                            |                |  |
| Western         | Monroe              | Univ of Roch Med Ctr/Strong Memorial Hospital | Article 28 | 93    | 66            | 27      | 13.4%   | 14.8%  | 9.6%         | 17.5%                  | 16.2%                            | 21.2%          |  |
| Western         | Niagara             | Eastern Niagara Hospital, Inc.                | Article 28 | 12    | 0             | 12      | 0.0%*   |  | 0.0%*        | 0.0%*                  |                                  | 0.0%*          |  |
| Western         | Niagara             | Niagara Falls Memorial Medical Center         | Article 28 | 54    | 54            | 0       | 14.1%   | 14.1%  |              | 15.5%                  | 15.5%                            |                |  |
| Western         | Ontario             | Clifton Springs Hospital and Clinic           | Article 28 | 18    | 18            | 0       | 6.5%    | 6.5%   | •            | 19.4%                  | 19.4%                            |                |  |
| Western         | Tompkins            | Cayuga Medical Center at Ithaca, Inc.         | Article 28 | 26    | 20            | 6       | 10.3%   | 9.1%   | 14.3% *      | 6.9%                   | 6.8%                             | 7.1% *         |  |
| Western         | Wayne               | Newark-Wayne Community Hospital, Inc.         | Article 28 | 16    | 16            | 0       | 0.0%    | 0.0%   |              | 10.4%                  | 10.4%                            |                |  |
| Western         | Wyoming             | Wyoming County Community Hospital             | Article 28 | 12    | 12            | 0       | 16.9%   | 16.9%  |              | 7.7%                   | 7.7%                             |                |  |
| Western         | Yates               | Soldiers & Sailors Memorial Hospital          | Article 28 | 10    | 10            | 0       | 0.0%*   | 0.0%*  | -            | 0.0%*                  | 0.0%*                            |                |  |
| Statewide Total |                     |   |            | 5,874 | 5,116         | 758     | 16.6%   | 17.5%  | 10.4%        | 18.1%                  | 18.9%                            | 12.6%          |  |

Updated as of Jan 26 2021

Source: Concerts, Medicaid, MHARS

Notes:

1. Private (Article 31) hospitals are classified as Institutes for Mental Diseases (IMD), and as such, are not reimbursed by Medicaid for inpatient treatment in their facilities for persons aged 22-64.

2. Data are presented by county of discharging hospital location and age group (child or adult). If an entity operates more than one hospital and county is not available on the records (e.g., managed care encounters), the discharges and readmissions are assigned to one of the hospitals.

3. Hospitals that closed prior to 01/1/2021 are excluded.

4. The denominators for the metrics were based on discharges to the community. The discharge cohort has a 6-month lag to allow time for completion of Medicaid claim submissions. The discharges that were no longer qualified for Medicaid services (lost Medicaid eligibility, had Medicare or third party insurance) were excluded from the discharge cohort.

5. Readmissions were defined as State PC and Medicaid psychiatric (Article 28 /31) inpatient events occurring within 1 to 30 days after the Article 28 /31 discharge. The readmission was only counted once.

6. When the psychiatric unit is a child or adolescent unit, persons aged 21 or younger are counted as a child. For adult units, persons aged 16 or older are counted as adults.

7. ER data were extracted from Medicaid claims and encounters only. The numerator included the first Psychiatric ER/CPEP event that occurred within thirty days post discharge.

8. Change at Champlain Valley Physicians Hospital Med Ctr. was reduced by 4 adult beds (from 22 to 18) effective on 5/25/2017.

9.Change at SUNY Health Science Center-University Hospitalchild capacity was expended by 8 bed from 0 to 8 effective on 01/21/2020.

10. Change at Northeast Health - Samaritan Hospital adult capacity was reduced by 3 bed from 63 to 60 effective on 10/21/2019.

11. Change at New York Presbyterian Hospital adult capacity was reduced by 17 bed from 205 to 188 effective on 10/2/2019.

12.Change at The St. Joseph's Medical Center adult capacity is expended by 3 bed from 136 to 139, effective on 9/1/2019.

13. North Shore University Hospital @ Syosset was not appearing in this report prior to June 2017, due to a Medicaid data matching issue that has now been resolved.

14. Changes at Brunswick Hospital Center, Inc. were expended by 44 adult bed from 87 to 131 and reduced by 22 child beds from 37 to 15 effective on 9/18/2020.

15. Changes at The State University of NY at Stony Brook were expended by 23 adult bed from 30 to 53 due to it took over the operation of Eastern Long Island Hospital, effective on 7/1/2019.

16. Changes at The Long Island Home were reduced by 43 adult bed from 141 to 98 and reduced by 13 child beds from 65 to 52 effective on 8/6/2018.

17. Change at Bronx-Lebanon Hospital Center was expanded by 6 adult bed from 73 to 79 effective on 10/20/2017.

18. Change at Kingsbrook Jewish Medical Center was reduced by 3 adult bed from 58 to 55 effective on 10/15/2018.

19. Change at NYC-HHC Kings County Hospital Center Was reduced by 15 adult bed from 160 to 145 effective on 12/19/2019.



## Table 5: General and Private Hospital 30-Day Inpatient Readmission and ER Utilization Rates<sup>1</sup>

|        |                     |                            |         |       |                |       |                                |  | Metrics Post     | ost Discharge <sup>4</sup> |               |   |  |
|--------|---------------------|----------------------------|---------|-------|----------------|-------|--------------------------------|--|------------------|----------------------------|---------------|---|--|
|        |                     |                            |         |       |                |       |                                | Readmissi                                      | ion <sup>5</sup> |                            | ER Utilizatio | on <sup>7</sup>                             |  |
|        |                     |                            |         | Capac | tity (as of 01 |       | 2020)                          | arge cohort (<br>), % Having F<br>mission with | •                | Mar, 2020                  |               | (Jan, 2020-<br>I Psychiatric<br>hin 30 days |  |
| Region | County <sup>2</sup> | Hospital Name <sup>3</sup> | Auspice | Total | Adults         | Child | Total Adult <sup>6</sup> Child |  | Total            | Adult                      | Child         |   |  |

20. Change at NYC-HHC Woodhull Medical & Mental Health Ctr. Was reduced by 23 adult bed from 135 to 112 effective on 11/30/2017.

21. Change at Mount Sinai Medical Center was reduced by 30 adult bed from 76 to 46 effective on 7/1/2016.

22. Change at New York Gracie Square Hospital, Inc. was reduced by 24 adult bed from 157 to 133 effective on 9/15/2017.

23. Change at Jamaica Hospital Medical Center was expanded by 4 adult bed from 52 to 56 effective on 12/22/2017. However, this change was updated in Concerts on 3/20/2018, so they are not captured in the overall capacity previously.

24. Change at NYC-HHC Elmhurst Hospital Center was reduced by 1 adult bed from 151 to 150 effective on 12/20/2018.

25. Change at NYC-HHC Queens Hospital Center was reduced by 18 adult bed from 71 to 53 effective on 10/16/2017.

26. Change at Staten Island University Hospital was reduced by 29 adult bed from 64 to 35 due to one of units has been functionally closed and effective on 7/15/2016.

27.Change at Brylin Hospitals, Inc adult capacity was reduced by 5 bed from 68 to 63 effective on 07/27/2020.

28. Change at Erie County Medical Center was expanded by 24 adult non-operational beds from 120 to 144 due to consolidation of services from Kaleida hospital effective on July 2017. However, these 24 non-operational beds were just entered in Concerts in June, 2018 so they are not captured in the overall capacity previously.

\*Note: This rate may not be stable due to small denominator (less than 20 discharges in the denominator).

